1915(i) RISE Initiative Provider Webinar

July 2025

Agenda

- **Introduction to the Kentucky 1915(i) RISE Initiative**

- **Participant Journey**

- **Provider Journey**

- **Provider Certification and Onboarding Steps**

- **Provider Agency and Staff Training**

- **Fee Schedule**

- **References and Support**

- **Q&A**

Need for the 1915(i) RISE Initiative

Legislative Directive to Implement a New Medicaid Program:

- In 2022, Senate Joint Resolution 72 directed the Cabinet for Health and Family Services CHFS to create a Medicaid program to address current needs for individuals living with serious mental illness (SMI) in Kentucky.
  - The resolution stated the need for supported housing, supported employment, and medical respite.

Additional Indication of Behavioral Health Needs:

- CHFS interviewed multiple behavioral health advocates and community partners to identify additional needs for individuals living with SMI and addiction.
- CHFS decided to create a program to support individuals with a primary diagnosis of SMI or individuals with co-occurring SMI and addiction.

What is a 1915(i) State Plan Amendment?

States can develop a 1915(i) State Plan Amendment (SPA) program to do the following:

- Establish a new Medicaid eligibility group to receive home and community-based services (HCBS).

- Define supports and services included in the benefit.

- Tailor a program and its services to one or more populations using needs-based eligibility criteria.

- Offer the benefit statewide to eligible individuals. Services offered within Medicaid state plans are available statewide with no capped slots.

- Offer HCBS to people who do not yet meet the institutional level of care requirements (e.g., qualify for a nursing home).

Kentucky's 1915(i) SPA – The RISE Initiative

- RISE stands for recovery, independence, support, and engagement.
- The 1915(i) RISE Initiative provides services to adults with a primary diagnosis of SMI or co-occurring SMI with addiction.
- The 1915(i) RISE initiative is administered jointly by the Department of Medicaid Services (DMS) and the Department for Behavioral Health, Developmental and Intellectual Disabilities (DBHDID).

1915(i) RISE Initiative Principles

1915(i) RISE reflects the initiative's focus: helping individuals RISE above their challenges through services that promote and support recovery, independence, and community engagement.

Recovery • Independence • Support • Engagement

Enhance Community-Based Supports

Foster Participant Independence

Prevent Institutionalization

Promote Person-Centered Care Approach

Requirements and Assessment

1915(i) SPA Guidelines

- Independent and unbiased assessments.

- Conflict-free case management.

- Provide appropriate and reasonable provider standards to meet the needs of the target population.

- Ensure services are provided in accordance with a person-centered service plan (PCSP).

- Establish quality assurance, monitoring, and improvement strategy.

1915(i) RISE Initiative Eligibility Criteria – Medicaid Enrolled

Age and Diagnosis
18+ with a primary diagnosis of SMI or SMI with co-occurring addiction with specific duration and functional need criteria.

Assessed Level of Need
Determined by the interRAI Community Mental Health (CMH) functional assessment tool.

Housing-Related Services
To be eligible for housing-related services, a participant must demonstrate one of the following homelessness risk factors:
- Homeless.
- At risk of homelessness (per 24 CFR § 578.3).
- History of frequent (i.e., more than one per year) stays in nursing home/inpatient settings.
- Experienced homelessness in the past 24 months or formerly homeless; now residing in U.S. Department of Housing and Urban Development assisted housing.
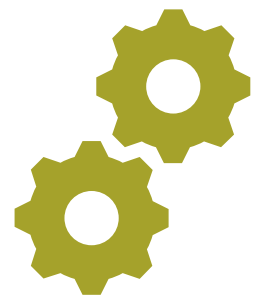
Selected Assessment Tool

interRAI CMH:

- Community-based settings.

- Ages 18 and up.

- Informs PCSP.

Assessment Cadence:

- Upon initial eligibility.

- At least annually.

- Significant needs change.

- Change in care setting.

- Long-term change in unpaid caregiver capacity.

- Limited progress toward goals and objectives.

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Overview of Services

1915(i) RISE Initiative Services

1. Assistive Technology

2. Case Management

3. Housing and Tenancy Supports

4. In-Home Independent Living Supports

5. Medication Management

6. Planned Respite for Caregivers

7. Supervised Residential Care

8. Supported Education

9. Supported Employment

10. Transportation

How Case Management Connects to This Initiative

Case Management

1915(i) RISE Services

1915(i) RISE Services

1915(i) RISE Services

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Conflict-Free Case Management

- Conflict-free case management requires coordination of services to be separate from the delivery of services.

- An agency that provides case management services to a 1915(i) participant cannot provide other 1915(i) services to that participant.*

- The case management agency must be independent in helping a participant identify the services and providers they choose.

- The case manager is responsible for monitoring the quality and effectiveness of the services provided according to the participant's needs and PCSP.

- The case manager will link the participant to additional 1915(i) or other clinical/professional services as needs are identified.

Case management providers can provide other 1915(i) services if they are not the case manager of participants receiving those other services.

Person-Centered Service Planning

- The goal of person-centered service planning is to empower participants to build the life they choose or aspire to at any age across their lifespan.

- The PCSP must reflect both the participant's needs identified through assessments and their preferences for service delivery.

- The setting in which the participant resides is chosen by them, supporting full access to the community, employment opportunities, and control over personal resources.

- Reflects the participant's strengths, preferences, goals, and desired outcomes.

- The participant leads the planning process where possible with support from chosen individuals.

Housing-Related Services

1. Tenancy Supports

- Pre-tenancy and tenancy sustaining supports.
- Housing model based upon evidence-based practice of permanent supportive housing model.
- Service goal is to assist participants in identifying, obtaining, and sustaining housing in independent community settings.

2. In-Home Independent Living Supports

- Independent living supports.
- Housing model based upon evidence-based practice of permanent supportive housing model.
- Service goal is to provide participants with assistance and training related to activities of daily living and instrumental activities of daily living.

3. Supervised Residential Care

- 24/7 staffed residential care.
- Housing model based on evidence-based practice of permanent supportive housing model.
- Service goal is to provide participants with residential supports while empowering community transitions, as appropriate.

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Supported Education and Supported Employment

The 1915(i) RISE Initiative will offer supported education and supported employment, both evidence-based practices, to promote engagement and sustain participation in a community setting.

Supported Education

Supported Employment

Individualized Placement & Support (IPS) Model: Promotes engagement and sustained participation and restores a participant's ability to function in the learning environment.

IPS Model: Offers supports and services to obtain and maintain employment in a competitive environment.

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Additional Services

Assistive Technology: Provides funding and support to obtain equipment, software, and/or products that increase independence and support education, employment, recreation, and activities of daily living.

Non-Medical Transportation Services: Provides access to covered, **non-medical transportation** to community services, leisure activities, and resources.

Medication Management: Provides support and monitoring for program participants, as well as **education** and **training** to facilitate a participant's adherence to their prescribed medication regimen.

Planned Respite for Caregivers: Provides opportunities for primary caregivers to receive **short-term relief** from the duties associated with providing unpaid care for participants that require ongoing supervision.

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1915(i) RISE Initiative – Participant Journey

A Step-by-Step Guide for New Participants

Contact Us

📞 502-564-9189

✉️ 1915iRISEInitiative@ky.gov

🌐 https://dbhdid.ky.gov/1915iriseinitiative

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1 Awareness

- Discover and understand the 1915(i) RISE Initiative through a peer, provider, or other outreach.
- Learn about the covered services offered through the 1915(i) RISE Initiative.

2 Connection

- You or a family member may reach out to a provider or trusted support network member for help getting connected.
- You or your support network member reach out to the 1915(i) RISE Initiative via:
  - The 1915(i) RISE Initiative website: dbhdid.ky.gov/1915iriseinitiative.
  - The 1915(i) RISE Initiative inbox: 1915iRISEInitiative@ky.gov.

3 Screening

- You receive help from an intake specialist in completing an initial eligibility screening, including the following:
  - Medicaid enrollment or eligibility.
  - Diagnosed primary SMI or primary SMI with co-occurring SUD.
  - Housing and safety needs.

4 Assessment

- You meet with a trained assessor to complete a personalized assessment to understand your situation better and determine eligibility.
- You may be asked to provide relevant documentation for the assessment.
- Assessment discussion includes the following:
  - Mental health and substance use history.
  - What you are able to do in your daily life, such as walking, cooking, working, and taking care of yourself.
  - Housing and hospitalization history.
  - Living environment and educational and/or employment status.

5 Approval

- Assessment results are reviewed by trained staff.
- You receive an approval and enrollment notice from 1915(i) RISE Initiative staff.
- Your intake specialist assists you in choosing a 1915(i) RISE Initiative case manager and scheduling your first meeting.

6 Onboarding

- You meet with your case manager and your chosen person-centered planning team.
- Get to know each other and begin planning services together:
  - Review of assessment results, identified strengths, and service needs.
  - Discuss your preferences and goals.

7 Service Selection

- Begin building a customized plan that incorporates 1915(i) RISE and other Medicaid services that are important to your unique needs and preferences.
- Select services and providers.
- Develop a specific plan for each chosen service and align progression goals with your personal goals.

8 Services Begin

- You receive a comprehensive wellness plan, a walkthrough on service expectations, and any additional tools or technologies needed to assist you in achieving your goals.
- Your 1915(i)RISE case manager coordinates the referral process with your selected service providers once your plan is developed and approved.
- **Services Begin!** Providers from the chosen provider agency contact you to begin services.

9 Ongoing Support

- Receive monthly support with your 1915(i) RISE case manager.
- Meet regularly (weekly-monthly) with other service providers.
- Provide feedback to 1915(i) RISE to help improve services for others.
- Complete re-assessment every year to continue eligibility and make any needed service plan changes.
- You are always able to change your plan and join in on new opportunities.

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Kentucky
1915(i) RISE Initiative – Provider Journey

A Step-by-Step Guide for New Providers

Contact Us

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🌐 https://dbhdid.ky.gov/1915iriseinitiative

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1 Learn About the Opportunity and Access Website

- Understand the 1915(i) RISE Initiative and the 1915(i) benefit.
- All 10 services included in the 1915(i) RISE Initiative are Medicaid-reimbursable.
- Navigate to dbhdid.ky.gov/1915iriseinitiative to learn more.
- Online information includes the following:
  - Overview of 1915(i) services.
  - Provider agency qualifications.
  - Pre-requisite requirements.
  - Summary of Certification steps and process timeline.
  - General instructions video for provider enrollment in New Provider Agency Orientation.

2 Online Agency Level 1 Training

- Follow link for self-registration to the Adobe Learning Manager (ALM) system.
- Complete 1915(i) RISE Level 1 Training.
  - Providers who have an existing ALMS account should email 1915iRISEprovider@ky.gov for access to training.

3 Submit Certification Packet

- Includes the following:
  - Level 1 Training completion notice.
  - Completed provider certification packet.
  - Checklist of services.

4 DBHDID Submission Verification

- Verification of packet for completeness and alignment with service model.
- Feedback provided within 10 business days: approval, request for edits, or denial.
- Technical Assistance (TA) available for corrections or clarification.

5 Level 2 Training Completion

- Executive director or equivalent completes online Level 2 Trainings.
- Examples of topics include the following:
  - Participant rights and self-determination.
  - Claims, billing, and reimbursement.
  - Medicaid enrollment process.

6 Formal Certification Review

- While the provider completes Level 2 Training, DBHDID conducts a review of the following:
  - Certification packet.
  - Staff credentials and experience.
  - Service alignment with fidelity model.
  - Tax ID, business licenses, insurance.

7 On-Site Pre-Service Review

- After Level 2 Training and Certification Review, DBHDID schedules an On-Site Pre-Service Readiness Review.
- Verifies safety, staff training records, and operational readiness of provider agency.

8 Agency Certification Decision and Orientation

- Provider receives a decision letter from DBHDID by email.
- If approved, providers receive a pre-certification letter and guidance on next steps.

9 Enroll in Medicaid and Therap

- Set up Medicaid profile and complete application in Medicaid Partner Portal Application.
- If not already registered for an account with Therap, create an account.
- Receive Medicaid ID, billing instructions, and enrollment confirmation from DMS.
- Access staff training modules in ALMS.

10 Deliver Services and Maintain Records

- Begin delivery of services as per PCSP.
- Use required forms and service tracking logs.
- Submit claims via Medicaid system.

11 Participate in Continuous Quality Improvement

- Participate in 90-day compliance check.
- Maintain staff training and regular service documentation.
- Engage in continuing education opportunities.
- Participate in periodic fidelity reviews and optional TA sessions.
- Prepare for re-certification every two years or less.

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Step 1: Providers Access Website

- Providers can access the 1915(i) RISE Initiative website for general information.

- Click the link on the 1915(i) RISE Initiative website provider page to access the self-registration link to the ALM system for New Provider Orientation Level 1 training (NPO L1).

- Existing users must email 1915iRISEprovider@ky.gov to gain access to NPO L1.

Step 2: Complete New Provider Orientation – Level I

- NPO L1 is required to be completed by the agency's director before submitting a certification packet.

- Providers access NPO L1 through ALMs and complete the self-paced modules.

- Upon completion of the training, ALMs provides the following:

  - Notice of completion.

  - Link to the 1915(i) Provider Certification Packet.

Step 3: Submit Certification Documents

- Providers submit the following materials to <u>1915iRISEprovider@ky.gov</u>:
  - NPO L1 completion notice.
  - Checklist of services requesting to provide.
  - Completed certification packet.

Step 4: Submission Verification

- Preliminary check of the submission is completed within 10 business days.

- Based on the submission verification review, one of the following will apply to providers:

  - Gain access to NPO L2.

  - Must correct or complete the packet within 10 business days.

  - Informed of ineligibility.

Step 5: NPO Level 2 Completion

- Providers complete NPO L2 and submit verification of completion to 1915iRISEprovider@ky.gov.

- Training must be completed by the agency's director or equivalent.

- Required before pre-service site evaluation and final certification approval.

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Step 6: Formal Certification Packet Review

- While the provider completes NPO L2, the 1915(i) RISE certification team conducts a comprehensive certification packet review that includes, but is not limited to, the following:

  - Thorough review of certification materials submitted.

  - Verification of licenses, staff qualifications, insurance, and tax ID.

  - Review of service descriptions / alignment with 1915(i) RISE requirements.

  - Evaluation of internal policies.

- Based on the certification packet review, providers are notified of next steps.

Step 7: On-Site Pre-Service Review

- On-site pre-service reviewers evaluate providers' on-site readiness including, but not limited to the following:

  - Safety and readiness of the physical space.

  - Staff training records.

  - Operational readiness to deliver services.

Step 8: Agency Certification Decision and Orientation

- Upon completion of the certification packet review and site visit, providers receive a certification approval or denial notice via email.

- After certification, service staff must complete the training as specified in the Provider Agency & Staff Certification Training Outline.

  - Phase I: Staff Training (required before working independently).

  - Phase II: Staff Training (completed within six months of hire).

  - Phase III: Service-Specific and Optional Training.

Step 9: Enroll in Medicaid and Therap

- Certified providers enroll in Medicaid on the [Medicaid Partner Portal Application (MPPA)](#).

- Once providers are certified and enrolled in Medicaid, the provider will be prompted to complete registration and system training in Therap.

Step 10: Deliver Services and Maintain Records

- Service delivery commences according to PCSP.

- Service delivery must be documented in DMS-approved system for case management services or in provider agency system for other 1915(i) RISE services.

- Claims are submitted to Medicaid for reimbursement.

Step 11: Participate in Continuous Quality Improvement

- The initial provider certification is valid for six months.

- After the initial certification, DBHDID performs a 90-day quality assurance check.

- Ongoing monitoring of providers is completed by DBHDID on a quarterly basis and through periodic site visits and audits.

- Certified providers must recertify at least every two years.

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Provider Agency and Staff Training (1 of 2)

Introduction to the 1915(i) RISE Initiative – via website

- Overview of 1915(i) RISE services.

- List of approved participant services.

- Provider agency qualifications.

- List of prerequisite requirements.

- Kentucky Administrative Regulations.

- Summary of certification steps and process timeline.

- Provider agency welcome and general instructions video for enrolling in new provider agency orientation.

Level 1: Provider Orientation

- Introduction to 1915(i) RISE Initiative.

- Provider agency eligibility assessment and certification/enrollment process.

- Specific roles and responsibilities.

Provider Agency and Staff Training (2 of 2)

Level 2: Provider Orientation

- Participant rights and self-determination.

- Confidentiality and Health Insurance Portability and Accountability Act compliance.

- Quality measures; reporting requirements.

- Regulatory and statutory compliance.

- Recognizing and reporting abuse, neglect, and exploitation.

- Medicaid enrollment process.

- Claims, billing, and reimbursement.

Level 3: Staff Training Requirements

- Phase I – Core curriculum (required of all staff before working independently; within six months of hire).

- Phase II – Provider core competency (required of all staff within six months of hire).

- Phase III – Optional and/or position-specific trainings.

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$ Fee Schedule

1915(i) RISE Initiative Fee Schedule

Code	Modifier	Service	Unit	Render Service/ Billing Provider Type	FFS Rate	Limitations
T2035	HE	Assistive Technology	1	51	Per Item	$10,000 per individual/year
T2022	HE	Case Management	Month	51	$425.93	1 unit/month
S5136	HE	In-Home Independent Living Supports	Day	51	$112.50	1 unit/calendar day
H0034	HE	Medication Management	15 minutes	51	$30.25	Max 728 units or 182 hours/year
T1005	HE	Planned Respite for Caregivers	15 minutes	51	$5.92	*21 hours/month OR 200 hours/year
T2016	HE	Supervised Residential Care	Day	51	$300.00	1 unit/calendar day
H2025	HE	Supported Education (Sed)	15 Minutes	51	$10.73	*Max of 480 units per 180-day authorization period
H0039	HE	Supported Employment (IPS-SE)	15 Minutes	51	$13.65	*Max of 480 units per 180-day authorization period
H0043	HE	Tenancy Supports	Day	51	$85.60	*1 unit/calendar day; max of 30 days per 180-day authorization period
A0428/A0425	HE	Transportation	Per Trip/Per Mile	51	$55.00/$2.00	$2500/year

References

- [KY 1915(i) RISE Initiative Website](.).
- [KY 1915(i) SPA](.).
- [KY 1915(i) Regulations](.).

Systems and Process Support

ALM

- For NPO Level 1 and Level 2 training and provider staff training.

MPPA

- For provider enrollment and maintenance into the Medicaid program.

Therap (interim solution)

- For case management, referral processes, and incident reporting.

Systems Contacts and Support

Provider Certification, Training, and General Provider Inquiries

- 1915iRISEprovider@ky.gov.

Participant, Program, and Other General Inquiries

- 1915iRISEinitiative@ky.gov.

1915(i) RISE Initiative Phone Number

- 502-564-9189.

MPPA

- Medicaidpartnerportal.info@ky.gov.

- 877-838-5085.

Gainwell Tech Provider Billing Inquiry

- Ky_provider_inquiry@gainwelltechnologies.com.

- 800-807-1232.

Questions and Contact Information

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