

# I: State Information

## State Information

### State DUNS Number

Number 927049767  
Expiration Date 8/29/2015 12:00:00 AM

### I. State Agency to be the Grantee for the Block Grant

Agency Name Cabinet for Health and Family Services  
Organizational Unit Department for Behavioral Health, Developmental and Intellectual Disabilities  
Mailing Address 275 East Main Street 4W-G  
City Frankfort  
Zip Code 40621

### II. Contact Person for the Grantee of the Block Grant

First Name Michele  
Last Name Blevins  
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### III. State Expenditure Period (Most recent State expenditure period that is closed out)

From 7/1/2013  
To 6/30/2014

### IV. Date Submitted

NOTE: This field will be automatically populated when the application is submitted.

Submission Date 12/1/2014 11:54:23 PM  
Revision Date

### V. Contact Person Responsible for Report Submission

First Name Michele  
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footnote:

## II: Annual Report

### MHBG Table 1 Priority Area and Annual Performance Indicators - Progress Report

Priority #: 1  
Priority Area: Pregnant Women  
Priority Type: SAT  
Population(s): PWWDC

Goal of the priority area:

Educate and offer treatment services for pregnant women with substance use disorder.

Strategies to attain the goal:

It has been found that the CMHCs are not asking about pregnancy upon first contact. Additional education and close monitoring of the CMHCs will be performed to address this deficiency.

More than 25% of pregnant women in Kentucky smoke. Other than a national cessation hotline, there are no smoking cessation services in the state. The Division will work closely with the Department for Public Health to develop local programs and a statewide hotline.

A new statewide prevalence study for substance use during pregnancy is needed. Kentucky will let a Request for Proposal to update this study. The most recent was completed in 1990.

Residential services for pregnant women in Kentucky are scarce. The Commonwealth will continue to fund "Independence House," a residential program for pregnant women.

#### Annual Performance Indicators to measure goal success

Indicator #: 1  
Indicator: Assure all Community Mental Health Centers are asking about pregnancy at first contact.  
Baseline Measurement: 16%  
First-year target/outcome measurement: 25%  
Second-year target/outcome measurement: 30%  
New Second-year target/outcome measurement (*if needed*):

Data Source:

National prevalence estimates and an outdated state survey.

New Data Source (*if needed*):

Description of Data:

1990 State Survey

New Description of Data: (*if needed*)

Data issues/caveats that affect outcome measures:

The state survey used is from 1990; Kentucky must obtain more up-to-date information to more accurately determine client numbers.

New Data issues/caveats that affect outcome measures:

#### Report of Progress Toward Goal Attainment

First Year Target:  Achieved  Not Achieved (*if not achieved, explain why*)

Reason why target was not achieved, and changes proposed to meet target:

How first year target was achieved (optional):

Priority #: 2  
Priority Area: Reduce consequences of underage drinking  
Priority Type: SAP  
Population(s): Other (Youth Aged 12 -20)

Goal of the priority area:

Reduce state 10th grade,  
30 day binge drinking rate by at least 1% .

Strategies to attain the goal:

KY Incentives for Prevention (KIP) 2012 survey will be used as a baseline. KIP 2014 data will be used to measure outcomes. Utilization of the Changing Social Norms and Policy protocol aimed at changing norms around acceptability of usage and limit availability of access in the following ways:  
• Limiting social and retail access of alcohol to underage youth through establishment of Social Host Ordinances, or strengthening enforcement of these ordinances in counties where they already exist.  
• Retail access strategies such as shoulder taps and compliance checks.  
• Expanding and intensifying the "I Won't Be the One" campaign – a large scale informational efforts at older adults about the legal and health consequences of providing alcohol to underage youth.

Annual Performance Indicators to measure goal success

Indicator #: 1  
Indicator: Implement local policies that target social access of alcohol to youth (social host & unruly gathering ordinances) in areas of identified need-as evidenced by high alcohol use by minors as reported on KIP survey  
Baseline Measurement: 0  
First-year target/outcome measurement: 3  
Second-year target/outcome measurement: 4  
New Second-year target/outcome measurement (if needed):

Data Source:

Regional Prevention Centers will report to the Division of Behavioral Health as local ordinances are created and KIP survey results will be evaluated.

New Data Source (if needed):

Description of Data:

Regional Prevention Center reports as processed through the State Prevention System. Each Regional Prevention Center is required to enter data monthly E.g.number of evidence-based programs implemented, number of people reached, number of ordinances passed, number of people served through universal direct, indirect etc. Reports are generated from the system by the Prevention Branch Data Manager. The Prevention Branch is in the process of changing some of its program codes and revamping its data system. As soon as the revisions are made we will submit baseline data.

New Description of Data (if needed)

Data issues/caveats that affect outcome measures:

Variables in the Commonwealth beyond control, including no ordinances/data in these locales.

New Data issues/caveats that affect outcome measures:

## Report of Progress Toward Goal Attainment

First Year Target:  Achieved  Not Achieved *(if not achieved, explain why)*

Reason why target was not achieved, and changes proposed to meet target:

How first year target was achieved *(optional)*:

During this reporting period, three social host ordinances were passed and a fourth was narrowly defeated. This brings the total number of ordinances in the Commonwealth to 24. Three responsible beverage server ordinances were passed, bringing the total number to 78. Two keg registration ordinances were passed during this reporting period, making a total of 10 in the Commonwealth. (source: The Kentucky Alcohol Prevention Enhancement site. <http://www.kyprevention.com>)

Priority #: 3  
Priority Area: Women with Dependent Children  
Priority Type: SAT  
Population(s): PWWDC

Goal of the priority area:

Escalate parents (women) with dependent children to priority population status.

Strategies to attain the goal:

Continued collaboration with the Kentucky Department for Community-Based Services (child protective services) in the sobriety treatment and recovery teams (START). Currently, there are six of fourteen regions throughout the state that provide family mentors (people in recovery) to team with child protective service workers to engage clients in services and keep children at home. These regions provide quick access to assessment and referral to the appropriate level of care. Kentucky would like to expand these services to at least one other region within FFY2014.

### Annual Performance Indicators to measure goal success

Indicator #: 1  
Indicator: Expand the number of START programs in Kentucky  
Baseline Measurement: 6  
First-year target/outcome measurement: 6  
Second-year target/outcome measurement: 7  
New Second-year target/outcome measurement *(if needed)*:

Data Source:

Kentucky Department for Community Based Services

New Data Source *(if needed)*:

Description of Data:

Because of a decrease in funding from child protective services, one of the currently implemented START programs is in danger of folding. For federal fiscal year 2014, it is the intent of the DBH to first stabilize the current programs, and attempt to implement one additional program in another region within Kentucky.

New Description of Data *(if needed)*

Data issues/caveats that affect outcome measures:

Reductions in funding.

New Data issues/caveats that affect outcome measures:

## Report of Progress Toward Goal Attainment

First Year Target:  Achieved  Not Achieved *(if not achieved, explain why)*

Reason why target was not achieved, and changes proposed to meet target:

How first year target was achieved *(optional)*:

Program funding has been moved (non-grant) from the Division of Behavioral Health to the Division of Protection & Permanency; this goal is no longer relevant.

Priority #: 4

Priority Area: Individuals with Substance Use Disorders and TB

Priority Type: SAT

Population(s): TB

Goal of the priority area:

Identify clients seeking treatment for substance use disorder who may currently have, or have in the past, had a tuberculosis diagnosis.

Strategies to attain the goal:

The Kentucky Department for Public Health (DPH) administers the tuberculosis control program in Kentucky. The Division of Behavioral Health will work in collaboration with DPH to share client diagnoses as they pertain to substance use disorder, or tuberculosis infection.

### Annual Performance Indicators to measure goal success

Indicator #: 1

Indicator: Increased knowledge of clients with Substance Use Disorder with Tuberculosis

Baseline Measurement: 1.8 per 100,000

First-year target/outcome measurement: 1.8 per 100,000

Second-year target/outcome measurement: 1.5 per 100,000

New Second-year target/outcome measurement *(if needed)*:

Data Source:

Cross-referenced with client data from the Kentucky Department for Public Health

New Data Source *(if needed)*:

Description of Data:

Client data pulled fro substance abuse clients with a secondary TB diagnosis.

New Description of Data: *(if needed)*

Data issues/caveats that affect outcome measures:

The Kentucky Division of Behavioral Health (DBH) seeks to share client data with the Kentucky Department for Public Health (DPH) in order to more accurately determine the number of clients in treatment for substance use disorder who have been, or currently are, being treated for Tuberculosis.

New Data issues/caveats that affect outcome measures:

## Report of Progress Toward Goal Attainment

First Year Target:  Achieved  Not Achieved *(if not achieved, explain why)*

Reason why target was not achieved, and changes proposed to meet target:

How first year target was achieved (optional):

Priority #: 5  
Priority Area: Individuals with Substance Use Disorders and HIV  
Priority Type: SAT  
Population(s): HIV EIS

Goal of the priority area:

For federal fiscal year 2014, as in years' past, the Commonwealth of Kentucky is not an HIV designated state. This performance indicator and priority area are not applicable.

Strategies to attain the goal:

Not applicable.

Annual Performance Indicators to measure goal success

Priority #: 6  
Priority Area: Suicide Prevention  
Priority Type: MHP  
Population(s): SMI, SED

Goal of the priority area:

All 14 Regional Boards will submit a Suicide Care in Systems Framework organizational readiness baseline assessment and a plan for systemic improvement of their suicide care.

Strategies to attain the goal:

Through contract and training/technical assistance offered to each Board, they will successfully meet the goal of improving their readiness and clinical protocols to improve the state's overall suicide rate among adults with SMI and children with SED.

Annual Performance Indicators to measure goal success

Indicator #: 1  
Indicator: Readiness Assessments and Care Plans Conducted & Created by the 14 Regional Boards  
Baseline Measurement: 0  
First-year target/outcome measurement: 14 Readiness Assessments submitted and approved  
Second-year target/outcome measurement: 14 Suicide Prevention Care Plans submitted and approved  
New Second-year target/outcome measurement (if needed):

Data Source:

Regional Boards will submit documentation to the Division of Behavioral Health and Division staff will review and approve (or work with the Board to adequately complete)

New Data Source (if needed):

Description of Data:

Data will be submitted through contract required protocol

New Description of Data (if needed):

Data issues/caveats that affect outcome measures:

N/A

New Data issues/caveats that affect outcome measures:

### Report of Progress Toward Goal Attainment

First Year Target:  Achieved  Not Achieved (if not achieved, explain why)

Reason why target was not achieved, and changes proposed to meet target:

How first year target was achieved (optional):

All regions within the Commonwealth have conducted readiness assessments.

Priority #: 7  
Priority Area: Adults with SMI who reside in Personal Care Homes  
Priority Type: MHS  
Population(s): SMI

Goal of the priority area:

Assist adults with SMI to move from living in a Personal Care Home (PCH) to an integrated community setting

Strategies to attain the goal:

Further develop services and supports to allow adults with SMI to move from PCHs to community housing of their choice.

### Annual Performance Indicators to measure goal success

Indicator #: 1  
Indicator: Number of Adults with SMI who move from a PCH to an integrated community residence  
Baseline Measurement: Estimated 2,000 persons with SMI currently residing in PCHs  
First-year target/outcome measurement: 200 Adults with SMI will move from a PCH to an integrated community residence  
Second-year target/outcome measurement: 200 (additional) Adults with SMI will move from a PCH to an integrated community residence  
New Second-year target/outcome measurement (if needed):

Data Source:

The Division of Behavioral Health will strictly monitor this along with an independent monitor

New Data Source (if needed):

Description of Data:

There are identified individuals that will be priority -provided by P&A

New Description of Data: (if needed)

Data issues/caveats that affect outcome measures:

N/A

New Data issues/caveats that affect outcome measures:

### Report of Progress Toward Goal Attainment

First Year Target:  Achieved  Not Achieved (if not achieved, explain why)

Reason why target was not achieved, and changes proposed to meet target:

Protection & Advocacy Interim Settlement Agreement with the CHFS established a goal of transitioning 100 individuals from PCH between October 1, 2013 and October 1, 2014, with 200 additional to move by October 1, 2015 and 300 additional to move by October 1, 2016, for a total of 600. Systems change activities and funding was initiated in January 2015 and progress escalated in June – September 2014. For the time period of October 1, 2013 – October 1, 2014, 56 individuals transitioned into the community with a revised goal of 244 to move by October 1, 2015. Housing capacity and funding is limited and local EBP services are slow to reach fidelity.

How first year target was achieved (optional):

Priority #: 8  
Priority Area: Youth with co-occurring SED and Substance Use Disorders (SUDs)  
Priority Type: MHS  
Population(s): SED

Goal of the priority area:

Increase the number of youth with co-occurring mental health and substance use disorders that receive services from the Regional Boards.

Strategies to attain the goal:

Utilize newly developed funding streams to enhance provider competence to screen, assess and treat youth with co-occurring MH and SU disorders. Utilize E BPs for youth with SUDs- 7 Challenges, Cannabis Youth Treatment (CYT), Motivational Interviewing, MET-CBT, Adolescent Community Reinforcement Approach (ACRA)

Annual Performance Indicators to measure goal success

Indicator #: 1  
Indicator: Number of youth with identified SED and Substance Use Disorders  
Baseline Measurement: Baseline Year - No date  
First-year target/outcome measurement: 150  
Second-year target/outcome measurement: 250 (Additional)  
New Second-year target/outcome measurement (if needed):

Data Source:

MHSIP data set used by the Department and the 14 Regional Boards

New Data Source (if needed):

Description of Data:

Data will show how many individuals served by diagnostic category and services type.

New Description of Data (if needed)

Data issues/caveats that affect outcome measures:

data currently available provides some detail to estimate current service numbers but diagnostic and services category type and actual numbers of youth with SED and youth with SUDs is available separately, but not a reliable count of youth with co-occurring.

New Data issues/caveats that affect outcome measures:

Report of Progress Toward Goal Attainment

First Year Target:

☑ Achieved      ☒ Not Achieved (if not achieved, explain why)  
OMB No. 0930-0168 Approved: 05/21/2013 Expires: 05/31/2016

Reason why target was not achieved, and changes proposed to meet target:

How first year target was achieved (optional):

Priority #: 9

Priority Area: Prescription Drug Use among Adults and Youth

Priority Type: SAP

Population(s): Other (Youth ages 12- 18 Adults 20-24)

Goal of the priority area:

Reduce the misuse of prescription drugs by adults and youth

Strategies to attain the goal:

Utilizing the Changing Social Norms and Policy protocol, focus on environmental strategies that aim to change norms around acceptability of usage and limit availability of access. Regions that are concentrating on prescription drugs will concentrate their efforts primarily on:

- Correcting three (3) youth misperceptions about prescription drugs - that they are: 1.) safer than street drugs, 2.) less addictive than street drugs, 3.) OK to share among friends and family
- Safe storage and disposal of prescription drugs
- Support for new Kentucky legislation which licenses pain clinics and mandates the use of the Kentucky All Scheduled Prescription Electronic Drug Reporting (KASPER) system for all doctors in the state
- Conducting large scale informational efforts directed at parents, caregivers and prescribers of prescription drugs

Annual Performance Indicators to measure goal success

Indicator #: 1

Indicator: Reduce misuse of prescription drugs

Baseline Measurement: Incidence of misuse as reported on NSDUH survey and the KIP survey for youth

First-year target/outcome measurement: 5% decrease in misuse

Second-year target/outcome measurement: 8% decrease in misuse

New Second-year target/outcome measurement (if needed):

Data Source:

NSDUH survey and the KIP survey for youth

New Data Source (if needed):

Description of Data:

The National Survey on Drug Use and Health (NSDUH) is an annual nationwide survey involving interviews with approximately 70,000 randomly selected individuals aged 12 and older.

Every even-numbered year, the Kentucky Division of Behavioral Health, with the support of the Governor's Office of Drug Control Policy and the Federal Center for Substance Abuse Prevention, jointly sponsor the KIP survey to assess the extent of alcohol, drug, and tobacco use among 11 to 18-year-olds throughout Kentucky, and to evaluate the impact of prevention efforts aimed at reducing substance use.

New Description of Data: (if needed)

Data issues/caveats that affect outcome measures:

There are no data issues. Data may show as skewed as the NSDUH is a smaller sample.

New Data issues/caveats that affect outcome measures:

# Report of Progress Toward Goal Attainment

First Year Target:



Achieved



Not Achieved *(if not achieved, explain why)*

Reason why target was not achieved, and changes proposed to meet target:

How first year target was achieved *(optional)*:

Priority #: 10

Priority Area: IVDUs - Intravenous drug users

Priority Type: SAT

Population(s): IVDUs

Goal of the priority area:

All 14 Community Mental Health Centers to screen for IV drug use upon initial contact.

Strategies to attain the goal:

Continued education and monitoring of client intake data. In addition, Kentucky shall work with the CMHCs to encourage them to follow the NQF Standard of Care regarding Withdrawal Management; specifically, "Supportive pharmacotherapy should be available and provided to manage the symptoms and adverse consequences of withdrawal, based on a systematic assessment of the symptoms and risk of serious adverse consequences of the withdrawal process." Kentucky will accomplish this by increasing detox services so that there is a minimum of one medically supported detox center in each of the 14 regions; more where population or geography requires.

Kentucky will continue to strive to follow the NQF Standard of Care regarding pharmacotherapy: "Pharmacotherapy should be recommended and available to adult patients diagnosed with opioid dependency and without medical contraindications." CMHCs should be required to recommend MAT when appropriate, and affordable MAT options need to be increased to provide services to indigent residents.

## Annual Performance Indicators to measure goal success

Indicator #: 1

Indicator: Increased number of clients screened for IV drug use at initial contact.

Baseline Measurement: 50%

First-year target/outcome measurement: 70%

Second-year target/outcome measurement: 80%

New Second-year target/outcome measurement *(if needed)*:

Data Source:

Kentucky Opioid Replacement Therapy Outcome Study (KORTOS)

New Data Source *(if needed)*:

Description of Data:

Client data collected through KORTOS

New Description of Data: *(if needed)*

Data issues/caveats that affect outcome measures:

Kentucky's addicted follow what seems to be the national norm in that the Opioid prescription drug users, when faced with no available source, turn to IV heroin use, which then shows a disproportionate number of users.

New Data issues/caveats that affect outcome measures:

# Report of Progress Toward Goal Attainment

First Year Target:



Achieved



Not Achieved *(if not achieved, explain why)*

Reason why target was not achieved, and changes proposed to meet target:

How first year target was achieved *(optional)*:

footnote:

### III: Expenditure Reports

MHBG Table 2 (URS Table 7) - State Agency Expenditure Report

Start Year:

End Year:

Activity	A. SA Block Grant	B. MH Block Grant	C. Medicaid (Federal, State, and Local)	D. Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare) SAMHSA, etc.)	E. State Funds	F. Local Funds (excluding local Medicaid)	G. Other
1. Substance Abuse Prevention and Treatment	\$	\$	\$	\$	\$	\$	\$
2. Primary Prevention	\$	\$	\$	\$	\$	\$	\$
3. Tuberculosis Services	\$	\$	\$	\$	\$	\$	\$
4. HIV Early Intervention Services	\$	\$	\$	\$	\$	\$	\$
5. State Hospital	\$	\$	\$33,400,000	\$12,200,000	\$79,900,000	\$0	\$2,700,000
6. Other 24 Hour Care	\$	\$	\$	\$	\$	\$	\$
7. Ambulatory/Community Non-24 Hour Care	\$	\$5,600,000	\$45,500,000	\$1,900,000	\$66,900,000	\$0	\$1,700,000
8. Administration (Excluding Program and Provider Level)	\$	\$200,000	\$400,000	\$800,000	\$8,200,000	\$0	\$0
9. Subtotal (Rows 1, 2, 3, 4, and 8)	\$	\$200,000	\$400,000	\$800,000	\$8,200,000	\$0	\$0
10. Subtotal (Rows 5, 6, 7, and 8)	\$	\$5,800,000	\$79,300,000	\$14,900,000	\$155,000,000	\$0	\$4,400,000
11. Total	\$	\$5,800,000	\$79,300,000	\$14,900,000	\$155,000,000	\$0	\$4,400,000

Please indicate the expenditures are actual or estimated.

Actual  Estimated

Footnotes:

### III: Expenditure Reports

MHBG Table 3 - MHBG Expenditures By Service.

Expenditure Period Start Date: 7/1/2013      Expenditure Period End Date: 6/30/2014

Service	Unduplicated Individuals	Units	Expenditures
Healthcare Home/Physical Health			\$0
Specialized Outpatient Medical Services			\$0
Acute Primary Care			\$0
General Health Screens, Tests and Immunizations			\$0
Comprehensive Care Management			\$0
Care coordination and Health Promotion			\$0
Comprehensive Transitional Care			\$0
Individual and Family Support			\$0
Referral to Community Services Dissemination			\$0
Prevention (Including Promotion)			\$0
Screening, Brief Intervention and Referral to Treatment			\$0
Brief Motivational Interviews			\$0
Screening and Brief Intervention for Tobacco Cessation			\$0
Parent Training			\$0
Facilitated Referrals			\$0
Relapse Prevention/Wellness Recovery Support			\$0
Warm Line			\$0
Substance Abuse (Primary Prevention)			\$0
Classroom and/or small group sessions (Education)			\$0
Media campaigns (Information Dissemination)			\$0
Systematic Planning/Coalition and Community Team Building(Community Based Process)			\$0
Parenting and family management (Education)			\$0

Education programs for youth groups (Education)			\$0
Community Service Activities (Alternatives)			\$0
Student Assistance Programs (Problem Identification and Referral)			\$0
Employee Assistance programs (Problem Identification and Referral)			\$0
Community Team Building (Community Based Process)			\$0
Promoting the establishment or review of alcohol, tobacco, and drug use policies (Environmental)			\$0
Engagement Services			\$0
Assessment			\$0
Specialized Evaluations (Psychological and Neurological)			\$0
Service Planning (including crisis planning)			\$0
Consumer/Family Education			\$0
Outreach			\$0
Outpatient Services			\$0
Evidenced-based Therapies			\$0
Group Therapy			\$0
Family Therapy			\$0
Multi-family Therapy			\$0
Consultation to Caregivers			\$0
Medication Services			\$0
Medication Management			\$0
Pharmacotherapy (including MAT)			\$0
Laboratory services			\$0
Community Support (Rehabilitative)			\$0
Parent/Caregiver Support			\$0
Skill Building (social, daily living, cognitive)			\$0
Case Management			\$0

Behavior Management			\$0
Supported Employment			\$0
Permanent Supported Housing			\$0
Recovery Housing			\$0
Therapeutic Mentoring			\$0
Traditional Healing Services			\$0
Recovery Supports			\$0
Peer Support			\$0
Recovery Support Coaching			\$0
Recovery Support Center Services			\$0
Supports for Self-directed Care			\$0
Other Supports (Habilitative)			\$0
Personal Care			\$0
Homemaker			\$0
Respite			\$0
Supported Education			\$0
Transportation			\$0
Assisted Living Services			\$0
Recreational Services			\$0
Trained Behavioral Health Interpreters			\$0
Interactive Communication Technology Devices			\$0
Intensive Support Services			\$0
Substance Abuse Intensive Outpatient (IOP)			\$0
Partial Hospital			\$0
Assertive Community Treatment			\$0
Intensive Home-based Services			\$0
Multi-systemic Therapy			\$0

Intensive Case Management			\$0
Out-of-Home Residential Services			\$0
Children's Mental Health Residential Services			\$0
Crisis Residential/Stabilization			\$0
Clinically Managed 24 Hour Care (SA)			\$0
Clinically Managed Medium Intensity Care (SA)			\$0
Adult Mental Health Residential			\$0
Youth Substance Abuse Residential Services			\$0
Therapeutic Foster Care			\$0
Acute Intensive Services			\$0
Mobile Crisis			\$0
Peer-based Crisis Services			\$0
Urgent Care			\$0
23-hour Observation Bed			\$0
Medically Monitored Intensive Inpatient (SA)			\$0
24/7 Crisis Hotline Services			\$0
Other (please list)			\$0

footnote:

### III: Expenditure Reports

MHBG Table 4 - Set-aside for Children's Mental Health Services

State Expenditures for Mental Health Services		
Actual SFY 2008	Actual SFY 2013	Estimated/Actual SFY 2014
\$9,483,648	\$10,039,237	\$10,246,212

States are required to not spend less than the amount expended in Actual SFY 2008. This is a change from the previous year, when the baseline for the state expenditures was 1994.

footnote:

### III: Expenditure Reports

#### MHBG Table 5 (URS Table 8) - Profile Of Community Mental Health Block Grant Expenditures For Non-Direct Service Activities

*This table is used to describe the use of CMHS BG funds for non-direct service activities that are sponsored, or conducted, by the State Mental Health Authority*

Service		Estimated Total Block Grant
MHA Technical Assistance Activities		\$42,114
MHA Planning Council Activities		\$11,436
MHA Administration		\$12,226
MHA Data Collection/Reporting		\$61,855
MHA Activities Other Than Those Above		\$138,189
Total Non-Direct Services		\$265,820
Comments on Data:	The other activities increased since 2013 is due to a shift of contractor from State Gen. Funds to MHBG funds.	
Footnotes:		

III: Expenditure Reports

MHBG Table 6 (URS Table 10) - Statewide Entity Inventory

Expenditure Period Start Date: 10/1/2011 Expenditure Period End Date: 9/30/2013

Entity Number	I-SATS ID (for SABG)	Area Served (Statewide or Sub-State Planning Area)	Provider/Program/Agency Name	Street Address	City	State	Zip	SAPT Block Grant - A. Block Grant Funds	SAPT Block Grant - B. Prevention (other than primary prevention) and Treatment Services	SAPT Block Grant - C. Pregnant Women and Women with Dependent Children	SAPT Block Grant - D. Primary Prevention	SAPT Block Grant - E. Early Intervention Services for HIV	CMHS Block Grant - F. Adults with serious mental illness	CMHS Block Grant - G. Children with serious emotional disturbance
1		Sub-State Planning Area	Four Rivers	425 Broadway	Paducah	KY	42001						\$185,139.00	\$67,210.00
2		Sub-State Planning Area	Pennyroyal	3999 Fort Campbell Blvd	Hopkinsville	KY	42241						\$269,467.00	\$68,980.00
3		Sub-State Planning Area	River Valley	1100 Walnut Street PO Box 1637	Owensboro	KY	42302						\$85,096.00	\$34,518.00
4		Sub-State Planning Area	Lifeskills	PO Box 6499	Bowling Green	KY	42102						\$243,676.00	\$100,317.00
5		Sub-State Planning Area	Communicare	107 Cranes Roost Court	Elizabethtown	KY	42701						\$185,747.00	\$73,084.00
6		Sub-State Planning Area	Seven Counties	101 West Muhammad Ali Blvd	Louisville	KY	40202						\$228,118.00	\$324,929.00
7		Sub-State Planning Area	North Key	502 Farrell Drive PO Box 2680	Covington	KY	41011						\$13,334.00	\$0.00
8		Sub-State Planning Area	Comprehend	611 Forest Avenue	Maysville	KY	41056						\$50,000.00	\$0.00
9		Sub-State Planning Area	Pathways	PO Box 790	Ashland	KY	41105						\$75,000.00	\$0.00
10		Sub-State Planning Area	Mountain	108 South Front Street	Prestonsburg	KY	41653						\$0.00	\$143,000.00
11		Sub-State Planning Area	Kentucky River	PO Box 794	Jackson	KY	41339						\$119,554.00	\$0.00
12		Sub-State Planning Area	Cumberland River	PO Box 568	Corbin	KY	40702						\$169,967.00	\$74,379.00
13		Sub-State Planning Area	Adanta	259 Parkers Mill Road	Somerset	KY	42501						\$150,190.00	\$0.00
14		Sub-State Planning Area	Bluegrass	1351 Newtown Pike	Lexington	KY	40511						\$186,882.00	\$79,685.00
15		Statewide	Kentucky Housing Corporation	1231 Louisville Road	Frankfort	KY	40601						\$342,484.00	\$116,644.00
16		Statewide	Department of Corrections	PO Box 2400	Frankfort	KY	40602						\$283,047.00	\$98,653.00
17		Statewide	Vocational Rehabilitation	500 Mero Street	Frankfort	KY	40601						\$636,357.00	\$349,572.00
18		Statewide	Kentucky Partnership for Families & Children (KPFCC)	1st Floor 207 Holmes Street	Frankfort	KY	40601						\$258,649.00	\$80,534.00
19		Statewide	NAMI Kentucky	808 Monticello Street	Somerset	KY	42501						\$35,045.00	\$43,638.00
20		Statewide	NAMI Lexington (KY) Inc.	498 Georgetown Street Suite 201	Lexington	KY	40508						\$212,432.00	\$224,295.00
Total								\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$3,730,184.00	\$1,879,438.00

Footnotes:

### III: Expenditure Reports

MHBG Table 7 - Maintenance of Effort for State Expenditures on Mental Health Services

Total Expenditures for SMHA		
Period (A)	Expenditures (B)	<u>B1(2012) + B2(2013)</u> 2 (C)
SFY 2012 (1)	\$20,509,460	
SFY 2013 (2)	\$20,505,083	\$20,507,272
SFY 2014 (3)	\$20,508,187	

Are the expenditure amounts reported in Column B "actual" expenditures for the State fiscal years involved?

SFY 2012	Yes	<u>X</u>	No	_____
SFY 2013	Yes	<u>X</u>	No	_____
SFY 2014	Yes	<u>X</u>	No	_____

If estimated expenditures are provided, please indicate when actual expenditure data will be submitted to SAMHSA: \_\_\_\_\_

footnote:

### III: Expenditure Reports

#### MHBG Table 8A & 8B (URS Table 5A and 5B) - Profile of Clients by Type of Funding Support

Table 8A

This table provides a summary of clients by Medicaid coverage. Since the focus of the reporting is on clients of the public mental health service delivery system, this table focuses on the clientele serviced by public programs that are funded or operated by the State Mental Health Authority. Persons are to be counted in the Medicaid row if they received a service reimbursable through Medicaid.

Please note that the same person may be served in both Medicaid and Non-Medicaid programs during the same reporting period.

	Total				American Indian or Alaska Native			Asian			Black or African American			Native Hawaiian or Other Pacific Islander			White			Hispanic * use only if data for URS table 5b are not available			More Than One Race Reported			Race Not Available		
	Female	Male	Not Avail	Total	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail
Medicaid (only Medicaid)	33,609	30,840	4	64,453	75	51	0	56	43	0	3,896	3,925	0	20	20	0	27,950	24,901	2	0	0	0	667	743	0	945	1,157	2
Non-Medicaid Sources (only)	29,415	28,267	21	57,703	52	58	1	57	31	0	2,062	2,372	0	18	7	0	24,856	22,627	12	0	0	0	252	231	0	2,118	2,941	8
People Served by Both Medicaid and Non-Medicaid Sources	18,196	15,930	0	34,126	35	30	0	27	20	0	1,970	2,043	0	12	7	0	15,529	13,062	0	0	0	0	294	340	0	329	428	0
Medicaid Status Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Served</b>	<b>81,220</b>	<b>75,037</b>	<b>25</b>	<b>156,282</b>	<b>162</b>	<b>139</b>	<b>1</b>	<b>140</b>	<b>94</b>	<b>0</b>	<b>7,928</b>	<b>8,340</b>	<b>0</b>	<b>50</b>	<b>34</b>	<b>0</b>	<b>68,335</b>	<b>60,590</b>	<b>14</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,213</b>	<b>1,314</b>	<b>0</b>	<b>3,392</b>	<b>4,526</b>	<b>10</b>

Ⓔ Data Based on Medicaid Services

Ⓔ Data Based on Medical Eligibility, not Medicaid Paid Services

Ⓔ 'People Served By Both' includes people with any Medicaid

Comments on Data (for Race):

Comments on Data (for Gender):

Comments on Data (Overall):

Each row should have a unique (unduplicated) count of clients: (1) Medicaid Only, (2) Non-Medicaid Only, (3) Both Medicaid and Other Sources funded their treatment, and (4) Medicaid Status Not Available.

If a state is unable to unduplicate between people whose care is paid for by Medicaid only or Medicaid and other funds, then all data should be reported into the 'People Served by Both Medicaid and Non-Medicaid Sources' and the 'People Served by Both includes people with any Medicaid' check box should be checked.

Table 8B

Of the total persons covered by Medicaid, please indicate the gender and number of persons who are Hispanic/Latino or not Hispanic/Latino. Total persons covered by Medicaid would be the total indicated in Table 5A.

Please note that the same person may be served in both Medicaid and Non-Medicaid programs during the same reporting period.

	Not Hispanic or Latino			Hispanic or Latino			Hispanic or Latino Origin Unknown			Total			
	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Total
Medicaid Only	32,826	29,876	2	704	903	0	79	61	2	33,609	30,840	4	64,453
Non-Medicaid Only	28,717	27,553	17	402	389	1	296	325	3	29,415	28,267	21	57,703
People Served by Both Medicaid and Non-Medicaid Sources	17,799	15,452	0	278	327	0	119	151	0	18,196	15,930	0	34,126
Medicaid Status Unknown	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Served	79,342	72,881	19	1,384	1,619	1	494	537	5	81,220	75,037	25	156,282

Comments on Data (for Ethnicity):

Comments on Data (for Gender):

Comments on Data (Overall):

Each row should have a unique (unduplicated) count of clients: (1) Medicaid Only, (2) Non-Medicaid Only, (3) Both Medicaid and Other Sources funded their treatment, and (4) Medicaid Status Unknown.

Footnotes:

## IV: Populations and Services Reports

### MHBG Table 9 (URS Table 1) - Profile of the State Population by Diagnosis

*This table summarizes the estimates of adults residing within the State with serious mental illness (SMI) and children residing within the state with serious emotional disturbances (SED). The table calls for estimates for two time periods, one for the report year and one for three years into the future. CMHS will provide this data to States based on the standardized methodology developed and published in the Federal Register and the State level estimates for both adults with SMI and children with SED.*

	Current Report Year	Three Years Forward
Adults with Serious Illness (SMI)	<input type="text"/>	<input type="text"/>
Children with Serious Emotional Disturbances (SED)	<input type="text"/>	<input type="text"/>

*Note: This Table will be completed for the States by CMHS.*

Footnotes:
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# IV: Populations and Services Reports

## MHBG Table 10 (URS Table 12) - State Mental Health Agency Profile

The purpose of this profile is to obtain information that provides a context for the data provided in the tables. This profile covers the populations served, services for which the state mental health agency is responsible, data reporting capacities, especially related to duplication of numbers served as well as certain summary administrative information.

### Population Served

1. Which of the following populations receive services operated or funded by the state mental health agency? Please indicate if they are included in the data provided in the tables. (Check all that apply.)

	Populations Covered:		Included in Data	
	State Hospitals	Community Programs	State Hospitals	Community Programs
1. Aged 0 to 3	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> Yes
2. Aged 4 to 17	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> Yes
3. Adults Aged 18 and over	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> Yes
4. Forensics	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> Yes
Comments on Data:	KY operates 1 forensics facility, KY Correctional Psychiatric Center (KCPC) independently of CMHC and state hosp. KCPC is reported on tables 20b, 3 & 6 under new CLD definition of State Psychiatric Hospitals where it was once "Other Psych Inpatient."			

2. Do all of the adults and children served through the state mental health agency meet the Federal definitions of serious mental illness and serious emotional disturbances?

Serious Mental Illness

Serious Emotional Disturbances

2.a. If no, please indicate the percentage of persons served for the reporting period who met the federal definitions of serious mental illness and serious emotional disturbance?

2.a.1. Percent of adults meeting Federal definition of SMI:

2.a.2. Percentage of children/adolescents meeting Federal definition of SED:

3. Co-Occurring Mental Health and Substance Abuse:

3.a. What percentage of persons served by the SMHA for the reporting period have a dual diagnosis of mental illness and substance abuse?

3.a.1. Percentage of adults served by the SMHA who also have a diagnosis of substance abuse problem:

5.0 %

3.a.2. Percentage of children/adolescents served by the SMHA who also have a diagnosis of substance abuse problem:

1.0 %

3.b. What percentage of persons served for the reporting period who met the Federal definitions of adults with SMI and children/adolescents with SED have a dual diagnosis of mental illness and substance abuse?

3.b.1. Percentage of adults meeting Federal definition of SMI who also have a diagnosis of substance abuse problem:

2.0 %

3.b.2. Percentage of children/adolescents meeting the Federal definition of SED who also have a diagnosis of substance abuse problem:

1.0 %

3.b.3. Please describe how you calculate and count the number of persons with co-occurring disorders.

We mined 2014 client file for consumer counts who have both MH-rec and SA-rec markers true, and for 3b, we similarly used the "SMI" and "SED" markers according to the Dept.'s client marker definitions. Age was calculated as of June 30, 2014.

4. State Mental Health Agency Responsibilities

a. Medicaid: Does the State Mental Health Agency have any of the following responsibilities for mental health services provided through Medicaid? (Check All that Apply)

1. State Medicaid Operating Agency

2. Setting Standards

- 3. Quality Improvement/Program Compliance
- 4. Resolving Consumer Complaints
- 5. Licensing
- 6. Sanctions
- 7. Other

b. Managed Care (Mental Health Managed Care)

Are Data for these programs reported on URS Tables?

- 4.b.1 Does the State have a Medicaid Managed Care initiative?  Yes  Yes
- 4.b.2 Does the State Mental Health Agency have any responsibilities for mental health services provided through Medicaid Managed Care?  Yes
- If yes, please check the responsibilities the SMHA has:
- 4.b.3 Direct contractual responsibility and oversight of the MCOs or BHOs  Yes
- 4.b.4 Setting Standards for mental health services  Yes
- 4.b.5 Coordination with state health and Medicaid agencies  Yes
- 4.b.6 Resolving mental health consumer complaints  Yes
- 4.b.7 Input in contract development  Yes
- 4.b.8 Performance monitoring  Yes
- 4.b.9 Other

5. Data Reporting: Please describe the extent to which your information systems allows the generation of unduplicated client counts between different parts of your mental health system. Please respond in particular for Table 2, which requires unduplicated counts of clients served across your entire mental health system.

*Are the data reporting in the tables?*

- 5.a. Unduplicated: counted once even if they were served in both State hospitals and community programs and if they were served in community mental health agencies responsible for different geographic or programmatic areas.
- 5.b. Duplicated: across state hospital and community programs
- 5.c. Duplicated: within community programs
- 5.d. Duplicated: Between Child and Adult Agencies
- 5.e. Plans for Unduplication: If you are not currently able to provide unduplicated client counts across all parts of your mental health system, please describe your plans to get unduplicated client counts by the end of your Data Infrastructure Grant.

Plan to integrate our Facilities Information System and Community Mental Health Center Data are included in our hopes for a centralized 'data warehouse'. Implementation for this are low priority due to poor economic times.

6. Summary Administrative Data

- 6.a. Report Year:
- 6.b. State Identifier:
- Summary Information on Data Submitted by SMHA:*
- 6.c. Year being reported: 7/1/2013 12:00:00 AM to 6/30/2014 12:00:00 AM
- 6.d. Person Responsible for Submission: Hope Barrett
- 6.e. Contact Phone Number: (502) 782-6147
- 6.f. Contact Address: 275 East Main Street 4W-G Frankfort, Ky 40621
- 6.g. E-mail: hope.barrett@ky.gov

Footnotes:

# IV: Populations and Services Reports

## MHBG Table 11 A and MHBG Table 11 B (URS Tables 2A and 2B) - Profile of Persons Served, All Programs by Age, Gender and Race/Ethnicity

This table provides an aggregate profile of persons in the reporting year. The reporting year should be the latest state fiscal year for which data are available. This profile is based on a client receiving services in programs provided or funded by the state mental health agency. The client profile takes into account all institutional and community services for all such programs. Please provide unduplicated counts if possible.

Please report the data under the categories listed - "Total" are calculated automatically.

Table 11A

	Total			American Indian or Alaska Native			Asian			Black or African American			Native Hawaiian or Other Pacific Islander			White			Hispanic * use only if data for Table 2b are not available			More Than One Race Reported			Race Not Available			
	Female	Male	Not Available	Total	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available
0-12 years	13,460	22,342	3	35,805	28	33	1	17	29	0	1,463	2,751	0	11	15	0	10,300	16,575	1	0	0	0	454	767	0	1,187	2,172	1
13-17 years	11,055	11,598	1	22,654	11	9	0	20	20	0	1,241	1,482	0	8	1	0	9,131	9,408	1	0	0	0	298	313	0	346	365	0
18-20 years	3,305	3,164	2	6,471	8	8	0	7	4	0	359	367	0	5	0	0	2,721	2,586	1	0	0	0	63	43	0	142	156	1
21-24 years	4,965	4,011	3	8,979	11	5	0	9	6	0	565	483	0	1	2	0	4,125	3,266	0	0	0	0	67	35	0	187	214	3
25-44 years	25,591	19,167	6	44,764	45	42	0	46	26	0	2,348	1,856	0	15	9	0	22,107	16,272	5	0	0	0	241	113	0	789	849	1
45-64 years	20,300	14,264	5	34,569	55	44	0	36	10	0	1,788	1,516	0	8	6	0	17,788	12,018	4	0	0	0	87	43	0	538	627	1
65-74 years	2,345	1,277	0	3,622	4	0	0	6	1	0	160	89	0	1	0	0	2,062	1,081	0	0	0	0	6	2	0	106	104	0
75+ years	793	422	5	1,220	0	0	0	3	0	0	49	15	0	1	1	0	634	343	2	0	0	0	1	2	0	105	61	3
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	81,814	76,245	25	158,084	162	141	1	144	96	0	7,973	8,559	0	50	34	0	68,868	61,549	14	0	0	0	1,217	1,318	0	3,400	4,548	10
Pregnant Women	671	0	0	671	1			0			63			0			590			0			11			6		

Are these numbers unduplicated?

Unduplicated

Duplicated : between Hospitals and Community

Duplicated : Among Community Programs

Duplicated between children and adults

Other : describe

Comments on Data (for Age):

Comments on Data (for Gender):	
Comments on Data (for Race/Ethnicity):	
Comments on Data (Overall):	

Of the total persons served, please indicate the age, gender and the number of persons who are Hispanic/Latino or not Hispanic/Latino. Total persons served would be the total as indicated in Table 11A.

Please report the data under the categories listed - "Total" are calculated automatically.

Table 11B

	Not Hispanic or Latino			Hispanic or Latino			Hispanic or Latino Origin Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Total
0-12 years	12,928	21,310	3	416	817	0	116	215	0	13,460	22,342	3	35,805
13-17 years	10,663	11,175	1	312	350	0	80	73	0	11,055	11,598	1	22,654
18-20 years	3,208	3,018	2	56	52	0	41	94	0	3,305	3,164	2	6,471
21-24 years	4,817	3,813	2	72	51	0	76	147	1	4,965	4,011	3	8,979
25-44 years	24,910	18,329	4	325	214	1	356	624	1	25,591	19,167	6	44,764
45-64 years	19,824	13,684	5	181	119	0	295	461	0	20,300	14,264	5	34,569
65-74 years	2,265	1,186	0	17	10	0	63	81	0	2,345	1,277	0	3,622
75+ years	727	366	2	5	6	0	61	50	3	793	422	5	1,220
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	79,342	72,881	19	1,384	1,619	1	1,088	1,745	5	81,814	76,245	25	158,084
Pregnant Women	651			10			10			671	0	0	671

Comments on Data (for Age):	
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Comments on Data (for Gender):	
Comments on Data (for Race/Ethnicity):	
Comments on Data (Overall):	

Footnotes:

## IV: Populations and Services Reports

MHBG Table 12 (URS Table 3) - Profile Of Persons Served In The Community Mental Health Settings, State Psychiatric Hospitals And Other Settings

*This table provides a profile for the clients that received public funded mental health services in community mental health settings, in state psychiatric hospitals, in other psychiatric inpatient programs, and in residential treatment centers for children.*

*Note: Clients can be duplicated between Rows: e.g., The same client may be served in both state psychiatric hospitals and community mental health centers during the same year and thus would be reported in counts for both rows.*

Service Setting	Age 0-17			Age 18-20			Age 21-64			Age 65+			Age Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Total
Community Mental Health Programs	24,515	33,938	4	3,286	3,095	2	50,356	36,400	14	3,063	1,604	5	0	0	0	81,220	75,037	25	156,282
State Psychiatric Hospitals	3	5	0	98	208	0	2,331	3,479	0	188	197	0	0	0	0	2,620	3,889	0	6,509
Other Psychiatric Inpatient	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Residential Treatment Centers	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Comments on Data (for Age):

Comments on Data (for Gender):

Comments on Data (Overall):

Footnotes:

# IV: Populations and Services Reports

MHBG Tables 13 A, B, C (URS Tables 14A/14B) - Profile of Persons With SMI/SED Served by Age, Gender and Race/Ethnicity

Table 13A,B

This is a developmental table similar to Table 2A. and 2B. This table requests counts for persons with SMI or SED using the definitions provided by the CMHS. Table 2A. and 2B. included all clients served by publicly operated or funded programs. This table counts only clients who meet the CMHS definition of SMI or SED. For many states, this table may be the same as Tables 2A. and 2B. For 2007, states should report using the Federal Definitions of SMI and SED if they can report them, if not, please report using your state's definitions of SMI and SED and provide information below describing your state's definition. Please report the data under the categories listed - "Total" are calculated automatically.

	Total			American Indian or Alaska Native			Asian			Black or African American			Native Hawaiian or Other Pacific Islander			White			Hispanic * use only if data for Table 14b are not available			More Than One Race Reported			Race Not Available		
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available
0-12 years	6,257	11,348	0	12	20	0	4	8	0	788	1,606	0	4	13	0	5,021	8,906	0	0	0	0	237	473	0	191	322	0
13-17 years	5,551	6,320	0	5	3	0	7	10	0	642	820	0	3	0	0	4,633	5,161	0	0	0	0	151	190	0	110	136	0
18-20 years	1,069	1,075	0	4	2	0	2	1	0	137	127	0	0	0	0	874	905	0	0	0	0	30	20	0	22	20	0
21-64 years	22,801	15,126	2	66	54	0	40	21	0	2,434	1,801	0	8	10	0	19,619	12,752	1	0	0	0	218	95	0	416	393	1
65-74 years	1,437	643	0	1	0	0	3	1	0	125	54	0	1	0	0	1,261	549	0	0	0	0	2	2	0	44	37	0
75+ years	376	141	2	0	0	0	1	0	0	33	9	0	1	0	0	281	111	2	0	0	0	1	2	0	59	19	0
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	37,491	34,653	4	88	79	0	57	41	0	4,159	4,417	0	17	23	0	31,689	28,384	3	0	0	0	639	782	0	842	927	1

Comments on Data (for Age):	
Comments on Data (for Gender):	
Comments on Data (for Race/Ethnicity):	Race/Ethnicity is self-reported. In this same year the number of multi-race increased and the number of white decreased. The racial distribution in the state may be changing or perceived acceptance of multi-race has increased. See General Notes.
Comments on Data (Overall):	

1. State Definitions Match the Federal Definitions

Yes  No Adults with SMI, if No describe or attach state definition:

Diagnoses included in the state SMI definition:

Table 13C

Of the total persons served, please indicate the age, gender and the number of persons who meet the Federal definition of SMI and SED and who are Hispanic/Latino or not Hispanic/Latino. The total persons served who meet the Federal definition of SMI or SED should be the total as indicated in Table 14A. Please report the data under the categories listed - "Total" are calculated automatically.

	Not Hispanic or Latino			Hispanic or Latino			Hispanic or Latino Origin Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Total
0-12 years	6,021	10,801	0	203	456	0	33	91	0	6,257	11,348	0	17,605
13-17 years	5,338	6,084	0	163	194	0	50	42	0	5,551	6,320	0	11,871
18-20 years	1,043	1,047	0	18	18	0	8	10	0	1,069	1,075	0	2,144
21-64 years	22,452	14,901	0	240	173	1	109	52	1	22,801	15,126	2	37,929
65-74 years	1,414	627	0	11	7	0	12	9	0	1,437	643	0	2,080
75+ years	362	131	2	2	3	0	12	7	0	376	141	2	519
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>36,630</b>	<b>33,591</b>	<b>2</b>	<b>637</b>	<b>851</b>	<b>1</b>	<b>224</b>	<b>211</b>	<b>1</b>	<b>37,491</b>	<b>34,653</b>	<b>4</b>	<b>72,148</b>
Comments on Data (for Age):													
Comments on Data (for Gender):													
Comments on Data (for Race/Ethnicity):													
Comments on Data (Overall):													

Footnotes:



# IV: Populations and Services Reports

MHBG Table 14 (URS Table 6) - Profile of Client Turnover

Profile of Service Utilization	Total Served at Beginning of Year (unduplicated)	Admissions During the year (duplicated)	Discharges During the year (duplicated)	Length of Stay (in Days): Discharged Patients		For Clients in Facility for 1 Year or Less: Average Length of Stay (in Days): Residents at end of year		For Clients in Facility More Than 1 Year: Average Length of Stay (in Days): Residents at end of year	
				Average (Mean)	Median	Average (Mean)	Median	Average (Mean)	Median
State Hospitals	446	8,699	8,726	10	8	2	2	0	0
Children (0 to 17 years)	0	6	4	10	8	2	2	0	0
Adults (18 yrs and over)	446	8,693	8,722	16	7	36	14	1,438	812
Age Not Available	0	0	0	0	0	0	0	0	0
Other Psychiatric Inpatient	0	0	0	0	0	0	0	0	0
Children (0 to 17 years)	0	0	0	0	0	0	0	0	0
Adults (18 yrs and over)	0	0	0	0	0	0	0	0	0
Age Not Available	0	0	0	0	0	0	0	0	0
Residential Tx Centers	0	0	0	0	0	0	0	0	0
Children (0 to 17 years)	0	0	0	0	0	0	0	0	0
Adults (18 yrs and over)	0	0	0	0	0	0	0	0	0
Age Not Available	0	0	0	0	0	0	0	0	0
Community Programs	57,530	118,536	0	0	0	0	0	0	0
Children (0 to 17 years)	22,893	43,294							
Adults (18 yrs and over)	34,637	75,232							
Age Not Available	0	10							

Comments on Data (State Hospital):

Comments on Data (Other Inpatient):

Comments on Data (Residential Treatment):

Comments on Data (Community Programs):

Comments on Data (Overall):

Footnotes:

## V: Performance Indicators and Accomplishments

MHBG Table 15 (URS Table 17) - Profile of Adults with Serious Mental Illnesses Receiving Specific Services During the Year

*This table provides a profile of adults with serious mental illness receiving specific evidence-based practices in the reporting year. The reporting year should be the latest state fiscal year for which data are available.*

ADULTS WITH SERIOUS MENTAL ILLNESS				
	Receiving Family Psychoeducation	Receiving Integrated Treatment for Co-occurring Disorders (MH/SA)	Receiving Illness Self Management and Recovery	Receiving Medication Management
Age				
18-20	0	0	0	0
21-64	0	0	0	0
65-74	0	0	0	0
75+	0	0	0	0
Not Available	0	0	0	0
TOTAL	0	0	0	0
Gender				
Female	0	0	0	0
Male	0	0	0	0
Not Available	0	0	0	0
Race				
American Indian or Alaska Native	0	0	0	0
Asian	0	0	0	0
Black or African American	0	0	0	0
Native Hawaiian or Pacific Islander	0	0	0	0
White	0	0	0	0

Hispanic *	0	0	0	0
More Than One Race	0	0	0	0
Unknown	0	0	0	0

Hispanic / Latino Origin				
Hispanic / Latino origin	0	0	0	0
Non Hispanic / Latino	0	0	0	0
Not Available	0	0	0	0

Do you monitor fidelity for this service?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
IF YES,				
What fidelity measure do you use?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Who measures fidelity?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
How often is fidelity measured?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Is the SAMHSA EBP Toolkit used to guide EBP Implementation?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
Have staff been specifically trained to implement the EBP?	<input type="checkbox"/> Yes <input type="checkbox"/> No			

\*Hispanic is part of the total served.  Yes  No

Comments on Data (overall): <input type="text" value="KY has not implemented these EBPs according to the definitions in URS."/>
Comments on Data (Family Psychoeducation): <input type="text"/>
Comments on Data (Integrated Treatment for Co-occurring Disorders): <input type="text"/>
Comments on Data (Illness Self Management and Recovery): <input type="text"/>
Comments on Data (Medication Management): <input type="text"/>

\*Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as a Ethnic Origin are not available

Footnotes: <input type="text"/>
------------------------------------

# V: Performance Indicators and Accomplishments

MHBG Table 16A (URS Table 4) - Profile of Adult Clients By Employment Status

This table describes the status of adults clients served in the report year by the public mental health system in terms of employment status. The focus is on employment for the working age population, recognizing, however, that there are clients who are disabled, retired or who are homemakers, care-givers, etc and not a part of the workforce. These persons should be reported in the "Not in Labor Force" category. This category has two subcategories: retired and other. (The totals of these two categories should equal the number in the row for "Not in Labor Force"). Unemployed refers to persons who are looking for work but have not found employment.

Data should be reported for clients in non-institutional settings at time of discharge or last evaluation.

Adults Served	18-20			21-64			65+			Age Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Avail	Total
Employed: Competitively Employed Full or Part Time (includes Supported Employment)	454	316	0	8,280	6,264	2	114	91	0	0	0	0	8,848	6,671	2	15,521
Unemployed	968	833	0	12,911	11,081	1	122	75	0	0	0	0	14,001	11,989	1	25,991
Not In Labor Force: Retired, Sheltered Employment, Sheltered Workshops, Other (homemaker, student, volunteer, disabled, etc.)	1,749	1,766	1	27,405	16,870	6	2,568	1,221	3	0	0	0	31,722	19,857	10	51,589
Not Available	115	180	1	1,760	2,185	5	259	217	2	0	0	0	2,134	2,582	8	4,724
<b>Total</b>	<b>3,286</b>	<b>3,095</b>	<b>2</b>	<b>50,356</b>	<b>36,400</b>	<b>14</b>	<b>3,063</b>	<b>1,604</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>56,705</b>	<b>41,099</b>	<b>21</b>	<b>97,825</b>

How Often Does your State Measure Employment Status?

At Admission
  At Discharge
  Monthly
  Quarterly
  Other, describe:

What populations are included:  All clients  Only selected groups, describe:

---

Comments on Data (for Age):

---

Comments on Data (for Gender):

---

Comments on Data (Overall):

---

Footnotes:

## V: Performance Indicators and Accomplishments

MHBG Table 16B (URS Table 4A) - Profile of Adult Clients By Employment Status: By Primary Diagnosis Reported

*The workgroup exploring employment found that the primary diagnosis of consumers results in major differences in employment status. The workgroup has recommended that we explore the ability of states to report employment by primary diagnosis and the impact of diagnosis on employment. The workgroup recommended 5 diagnostic clusters for reporting.*

Clients Primary Diagnosis	Employed: Competitively Employed Full or Part Time (includes Supported Employment)	Unemployed	Not In Labor Force: Retired, Sheltered Employment, Sheltered Workshops, Other (homemaker, student, volunteer, disabled, etc.)	Employment Status Not Available	Total
Schizophrenia & Related Disorders (295)	403	822	6,847	476	8,548
Bipolar and Mood Disorders (296, 300.4, 301.11, 301.13, 311)	8,199	13,853	28,498	2,071	52,621
Other Psychoses (297, 298)	186	404	1,257	331	2,178
All Other Diagnoses	6,733	10,912	14,987	1,846	34,478
No Dx and Deferred DX (799.9, V71.09)	0	0	0	0	0
Diagnosis Total	15,521	25,991	51,589	4,724	97,825

Comments on Data (for Diagnosis):

Footnotes:

## V: Performance Indicators and Accomplishments

### MHBG Table 17 (URS Table 15) - Living Situation Profile

Number of Clients in Each Living Situation as Collected by the Most Recent Assessment in the Reporting Period  
All Mental Health Programs by Age, Gender, and Race/Ethnicity

Please provide unduplicated counts, if possible. This table provides an aggregate profile of persons served in the reporting year. The reporting year should be the latest state fiscal year for which data are available. This profile is based on a client's last known Living Situation.

Please report the data under the Living Situation categories listed - "Total" are calculated automatically.

	Private Residence	Foster Home	Residential Care	Crisis Residence	Children's Residential Treatment	Institutional Setting	Jail / Correctional Facility	Homeless / Shelter	Other	NA	Total
0-17	55,819	1,761	361	0	0	28	17	127	0	346	58,459
18-64	52,837	188	3,705	0	0	3,401	730	4,515	0	29,407	94,783
65+	3,245	7	333	0	0	585	2	35	0	635	4,842
Not Available	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>111,901</b>	<b>1,956</b>	<b>4,399</b>	<b>0</b>	<b>0</b>	<b>4,014</b>	<b>749</b>	<b>4,677</b>	<b>0</b>	<b>30,388</b>	<b>158,084</b>
Female	59,514	935	2,057	0	0	1,563	332	1,868	0	15,545	81,814
Male	52,373	1,021	2,341	0	0	2,449	417	2,809	0	14,835	76,245
Not Available	14	0	1	0	0	2	0	0	0	8	25
<b>TOTAL</b>	<b>111,901</b>	<b>1,956</b>	<b>4,399</b>	<b>0</b>	<b>0</b>	<b>4,014</b>	<b>749</b>	<b>4,677</b>	<b>0</b>	<b>30,388</b>	<b>158,084</b>

American Indian/Alaska Native	208	5	7	0	0	6	1	12	0	65	304
Asian	168	1	2	0	0	7	2	8	0	52	240
Black/African American	11,182	200	559	0	0	447	60	886	0	3,198	16,532
Hawaiian/Pacific Islander	61	1	0	0	0	3	0	2	0	17	84
White/Caucasian	92,487	1,579	3,677	0	0	3,313	667	3,448	0	25,260	130,431
Hispanic *	0	0	0	0	0	0	0	0	0	0	0
More than One Race Reported	2,069	66	32	0	0	18	7	44	0	299	2,535
Race/Ethnicity Not Available	5,726	104	122	0	0	220	12	277	0	1,497	7,958
<b>TOTAL</b>	<b>111,901</b>	<b>1,956</b>	<b>4,399</b>	<b>0</b>	<b>0</b>	<b>4,014</b>	<b>749</b>	<b>4,677</b>	<b>0</b>	<b>30,388</b>	<b>158,084</b>

	Private Residence	Foster Home	Residential Care	Crisis Residence	Children's Residential Treatment	Institutional Setting	Jail / Correctional Facility	Homeless / Shelter	Other	NA	Total
Hispanic or Latino Origin	2,390	77	44	0	0	24	7	70	0	392	3,004
Non Hispanic or Latino Origin	108,854	1,863	4,330	0	0	2,152	739	4,593	0	29,711	152,242
Hispanic or Latino Origin Not	657	16	25	0	0	1,838	3	14	0	285	2,838

Available											
TOTAL	111,901	1,956	4,399	0	0	4,014	749	4,677	0	30,388	158,084

Comments on Data:	See General Notes.
How Often Does your State Measure Living Situation?	<input checked="" type="radio"/> At Admission <input type="radio"/> At Discharge <input type="radio"/> Monthly <input type="radio"/> Quarterly <input type="radio"/> Other: Describe <input type="text"/>

*\*Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as an Ethnic Origin are not available*

Footnotes:

## V: Performance Indicators and Accomplishments

MHBG Table 18 (URS Table 19B) - Profile of Change in School Attendance

1. This is a developmental measure. To assist in the development process, we are asking states to report information on the school attendance outcomes of mental health consumers with their December 2007 MHBG submission.
2. The SAMHSA National Outcome Measure for School Attendance measures the change in days attended over time. The DIG Outcomes Workgroup pilot tested 3 consumer self-report items that can be used to provide this information. If your state has used the 3 Consumer Self-Report items on School Attendance, you may report them here.
3. If your SMHA has data on School Attendance from alternative sources, you may also report that here. If you only have data for School attendance for consumers in this year, please report that in the T2 columns. If you can calculate the change in the Attendance from T1 to T2, please use all these columns.
4. Please complete the check boxes at the bottom of the table to help explain the data sources that you used to complete this table.
5. Please tell us anything else that would help us to understand your indicator (e. g., list survey or MIS questions; describe linking methodology and data sources; specify time period for criminal justice involvement; explain whether treatment data are collected).

For Consumers in Service for at least 12 months

T1			T2			T1 to T2 Change						Impact of Services					
"T1" Prior 12 months (more than 1 year ago)			"T2" Most Recent 12 months (this year)			If Suspended at T1 (Prior 12 Months)			If Not Suspended at T1 (Prior 12 Months)			Over the last 12 months, the number of days my child was in school have					
# Suspended or Expelled	# Not Suspended or Expelled	No Response	# Suspended or Expelled	# Not Suspended or Expelled	No Response	# with an Expelled or Suspended in T2	# with No Suspension or Expulsion at T2	No Response	# with an Expelled or Suspended in T2	# with No Suspension or Expulsion at T2	No Response	# Greater (Improved)	# Stayed the Same	# Fewer days (gotten worse)	# Not Applicable	No Response	Total Responses
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Gender																	
Female	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Male	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Age																	
Under 18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

For Consumers Who Began Mental Health Services during the past 12 months

T1			T2			T1 to T2 Change						Impact of Services					
"T1" 12 months prior to beginning services			"T2" Since Beginning Services (this year)			If Suspended at T1 (Prior 12 Months)			If Not Suspended at T1 (Prior 12 Months)			Since starting to receive MH Services, the number of days my child was in school have					

	# Suspended or Expelled	# Not Suspended or Expelled	No Response	# Suspended or Expelled	# Not Suspended or Expelled	No Response	# with an Expelled or Suspended in T2	# with No Suspension or Expulsion at T2	No Response	# with an Expelled or Suspended in T2	# with No Suspension or Expulsion at T2	No Response	# Greater (Improved)	# Stayed the Same	# Fewer days (gotten worse)	# Not Applicable	No Response	Total Responses
Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Gender																		
Female	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Male	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Age																		
Under 18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Source of School Attendance Information:

- 1. Consumer survey (recommended items)
- 2. Other Survey: Please send us items
- 3. Mental health MIS
- 4. State Education Department
- 5. Local Schools/Education Agencies
- 6. Other (specify)

Measure of School Attendance:

- 1. School Attendance
- 2. Other (specify):

Mental health programs include:

- 1. Children with SED only
- 2. Other Children (specify)
- 3. Both

Region for which data are reported:

- 1. The whole state
- 2. Less than the whole state (please describe)

What is the Total Number of Persons Surveyed or for whom School Attendance Data Are Reported?

Child/Adolescents:

1. If data is from a survey, what is the total number of people from which the sample was drawn?
2. What was your sample size? (How many individuals were selected for the sample)?
3. How many survey contacts were made? (surveys to valid phone numbers or addresses)
4. How many surveys were completed? (survey forms returned or calls completed) If data source was not a Survey, how many persons were data available for?
5. What was your response rate? (number of Completed surveys divided by number of Contacts)


State Comments/Notes:

The outcomes instrument from which this data previously was collected from a subset of KY SED number changed during SFY2014; thus, the data for the school attendance was not collected during SFY2014.

Footnotes:

## V: Performance Indicators and Accomplishments

MHBG Table 19 (URS Table 9) - Social Connectedness and Improved Functioning

Adult Consumer Survey Results		Number of Positive Responses	Responses	Percent Positive (calculated)
1. Social Connectedness		4,507	5,975	75%
2. Functioning		4,402	5,999	73%
Child/Adolescent Consumer Survey Results		Number of Positive Responses	Responses	Percent Positive (calculated)
3. Social Connectedness		3,478	3,861	90%
4. Functioning		2,698	3,861	70%
Comments on Data:				

### Adult Social Connectedness and Functioning Measures

- Did you use the recommended new Social Connectedness Questions?  Yes  No  
Measure used
- Did you use the recommended new Functioning Domain Questions?  Yes  No  
Measure used
- Did you collect these as part of your MHSIP Adult Consumer Survey?  Yes  No  
If No, what source did you use?

### Child/Family Social Connectedness and Functioning Measures

- Did you use the recommended new Social Connectedness Questions?  Yes  No  
Measure used
- Did you use the recommended new Functioning Domain Questions?  Yes  No  
Measure used
- Did you collect these as part of your YSS-F Survey?  Yes  No  
If No, what source did you use?

Footnotes:

## V: Performance Indicators and Accomplishments

MHBG Table 20A (URS Table 11) - Summary Profile of Client Evaluation of Care

Adult Consumer Survey Results:	Number of Positive Responses	Responses	Confidence Interval*
1. Reporting Positively About Access.	5,275	6,035	
2. Reporting Positively About Quality and Appropriateness for Adults	5,371	5,998	
3. Reporting Positively About Outcomes.	4,053	5,910	
4. Adults Reporting on Participation In Treatment Planning.	5,254	6,053	
5. Adults Positively about General Satisfaction with Services.	5,675	6,209	

Child/Adolescent Consumer Survey Results:	Number of Positive Responses	Responses	Confidence Interval*
1. Reporting Positively About Access.	3,589	3,976	
2. Reporting Positively about General Satisfaction for Children.	3,510	3,908	
3. Reporting Positively about Outcomes for Children.	2,492	3,845	
4. Family Members Reporting on Participation In Treatment Planning for their Children	3,616	3,921	
5. Family Members Reporting High Cultural Sensitivity of Staff.	3,570	3,772	

Please enter the number of persons responding positively to the questions and the number of total responses within each group. Percent positive will be calculated from these data.

\* Please report Confidence Intervals at the 95% level. See directions below regarding the calculation of confidence intervals.

Comments on Data:

### Adult Consumer Surveys

1. Was the Official 28 Item MHSIP Adult Outpatient Consumer Survey Used?  Yes  No
  - 1.a. If no, which version:
    1. Original 40 Item Version  Yes
    2. 21-Item Version  Yes
    3. State Variation of MHSIP  Yes
    4. Other Consumer Survey  Yes
  - 1.b. If other, please attach instrument used.
  - 1.c. Did you use any translations of the MHSIP into another language?  1. Spanish

2. Other Language:

Adult Survey Approach

2. Populations covered in survey? (Note all surveys should cover all regions of state)  1. All Consumers In State  2. Sample of MH Consumers

- 2.a. If a sample was used, what sample methodology was used?  1. Random Sample  
 2. Stratified / Random Stratified Sample  
 3. Convenience Sample  
 4. Other Sample:

2.b. Do you survey only people currently in services, or do you also Survey Persons no longer in service?  1. Persons Currently Receiving Services  
 2. Persons No Longer Receiving Services

3. Please Describe the populations included in your sample: (e.g., all adults, only adults with SMI, etc.)  1. All Adult Consumers In State  
 2. Adults With Serious Mental Illness  
 3. Adults Who Were Medicaid Eligible Or In Medicaid Managed Care  
 4. Other, describe (for example, if you survey anyone served in the last 3 months, describe that here):

4. Methodology of collecting data? (Check all that apply)

	Self-Administered	Interview
Phone	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
Mail	<input type="checkbox"/> Yes	
Face-to-face	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
Web-Based	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes

4.b. Who administered the Survey? (Check all that apply)  1. MH Consumers  
 2. Family Members  
 3. Professional Interviewers  
 4. MH Clinicians  
 5. Non Direct Treatment Staff  
 6. Other, describe:

5. Are Responses Anonymous, Confidential and/or Linked to other Patient Databases?  1. Responses are Anonymous  
 2. Responses are Confidential

3. Responses are Matched to Client Databases

6. Sample Size and Response Rate

6.a. How Many Surveys were Attempted (sent out or calls initiated)?

6.b. How many survey Contacts were made? (surveys to valid phone numbers or addresses)?

6.c. How many surveys were completed? (survey forms returned or calls completed)

6.d. What was your response rate? (number of Completed surveys divided by number of Contacts)

6.e.

If you receive "blank" surveys back from consumers (surveys with no responses on them), did you count these surveys as "completed" for the calculation of response rates?

Yes

No

7. Who Conducted the Survey

7.a. SMHA Conducted or contracted for the Survey (survey done at state level)

Yes

No

7.b. Local Mental Health Providers/County mental health providers conducted or contracted for the survey (survey was done at the local or regional level)

Yes

No

7.c. Other, describe:

\* Report Confidence Intervals at the 95% confidence level

Note: The confidence interval is the plus-or-minus figure usually reported in newspaper or television opinion poll results. For example, if you use a confidence interval of 4 and 47% percent of your sample picks an answer you can be "sure" that if you had asked the question of the entire relevant population between 43% (47-4) and 51% (47+4) would have picked that answer.

The confidence level tells you how sure you can be. It is expressed as a percentage and represents how often the true percentage of the population who would pick an answer lies within the confidence interval. The 95% confidence level means you can be 95% certain; the 99% confidence level means you can be 99% certain. Most researchers use the 95% confidence level.

When you put the confidence level and the confidence interval together, you can say that you are 95% sure that the true percentage of the population is between 43% and 51%. (From www.surveysystem.com)

Child / Family Consumer Surveys

1. Was the MHSIP Children / Family Survey (YSS-F) Used?

Yes

If no, what survey did you use?

If no, please attach instrument used.

1.c. Did you use any translations of the Child MHSIP into another language?

1. Spanish

2. Other Language:

Child Survey Approach

2. Populations covered in survey? (Note all surveys should cover all regions of state)

1. All Consumers In State

2. Sample of MH Consumers

2.a. If a sample was used, what sample methodology was used?

1. Random Sample

2. Stratified / Random Stratified Sample

3. Convenience Sample

4. Other Sample:

2.b. Do you survey only people currently in services, or do you also Survey Persons no longer in service?

1. Persons Currently Receiving Services

2. Persons No Longer Receiving Services

2a. If yes to 2, please describe how your survey persons no longer receiving services.

3. Please Describe the populations included in your sample: (e.g., all children, only children with SED, etc.)

1. All Child Consumers In State

- 2. Children with Serious Emotional Disturbances
- 3. Children who were Medicaid Eligible or in Medicaid Managed Care
- 4. Other, describe (for example, if you survey anyone served in the last 3 months, describe that here):

4. Methodology of collecting data? (Check all that apply)

	Self-Administered	Interview
Phone	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
Mail	<input type="checkbox"/> Yes	
Face-to-face	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
Web-Based	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes

4.b. Who administered the Survey? (Check all that apply)

- 1. MH Consumers
- 2. Family Members
- 3. Professional Interviewers
- 4. MH Clinicians
- 5. Non Direct Treatment Staff
- 6. Other, describe:

5. Are Responses Anonymous, Confidential and/or Linked to other Patient Databases?

- 1. Responses are Anonymous
- 2. Responses are Confidential
- 3. Responses are Matched to Client Databases

6. Sample Size and Response Rate

6.a. How Many Surveys were Attempted (sent out or calls initiated)?

6.b. How many survey Contacts were made? (surveys to valid phone numbers or addresses)?

6.c. How many surveys were completed? (survey forms returned or calls completed)

6.d. What was your response rate? (number of Completed surveys divided by number of Contacts)

6.e.

If you receive "blank" surveys back from consumers (surveys with no responses on them), did you count these surveys as "completed" for the calculation of response rates?

Yes

No

7. Who Conducted the Survey

7.a. SMHA Conducted or contracted for the Survey (survey done at state level)

Yes

No

7.b. Local Mental Health Providers/County mental health providers conducted or contracted for the survey (survey was done at the local or regional level)

Yes

No

7.c. Other, describe:

Footnotes:



## V: Performance Indicators and Accomplishments

MHBG Table 20B (URS Table 11A) - Consumer Evaluation of Care By Consumer Characteristics: Race/Ethnicity

### Adult Consumer Survey Results:

\*State used the 2 question version for Hispanic Origin  Yes  No Please check the appropriate box on the left. The "Totals" formula will automatically adjust to account for which method your state used to ask about Hispanic Origin/Status

Indicators	Total		American Indian or Alaska Native		Asian		Black or African American		Native Hawaiian or Other Pacific Islander		White		More than One Race Reported		Other / Not Available		Hispanic Origin*	
	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses
1. Reporting Positively About Access.	5,275	6,035	83	104	12	15	433	546	9	9	4,226	4,626	0	0	512	735	70	89
2. Reporting Positively About Quality and Appropriateness.	5,371	5,998	74	94	15	15	512	557	7	7	4,195	4,692	0	0	568	633	78	90
3. Reporting Positively About Outcomes.	4,053	5,910	62	104	11	15	410	561	6	9	3,302	4,849	0	0	262	372	66	92
4. Reporting Positively about Participation in Treatment Planning	5,254	6,053	81	102	14	16	463	558	9	9	4,260	4,845	0	0	427	523	70	91
5. Reporting Positively about General Satisfaction	5,675	6,209	96	105	16	17	523	584	9	9	4,664	5,012	0	0	367	482	87	95
6. Social Connectedness	4,507	5,975	76	107	12	15	416	573	4	9	3,762	4,945	0	0	237	326	73	90
7. Functioning	4,402	5,999	69	108	14	15	410	567	5	9	3,678	4,946	0	0	226	354	79	92

### Child/Adolescent Family Survey Results:

\*State used the 2 question version for Hispanic Origin  Yes  No Please check the appropriate box on the left. The "Totals" formula will automatically adjust to account for which method your state used to ask about Hispanic Origin/Status

Indicators	Total		American Indian or Alaska Native		Asian		Black or African American		Native Hawaiian or Other Pacific Islander		White		More than One Race Reported		Other / Not Available		Hispanic Origin*	
	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses
Reporting Positively About Access.	3,589	3,976	29	30	4	7	351	399	2	2	2,823	3,101	0	0	380	437	156	173
Reporting Positively About General	3,510	3,908	27	29	4	7	348	395	1	2	2,760	3,046	0	0	370	429	151	169

Satisfaction																		
Reporting Positively About Outcomes.	2,492	3,845	16	29	5	7	227	388	1	2	2,008	3,008	0	0	235	411	104	165
Reporting Positively Participation in Treatment Planning for their Children.	3,616	3,921	27	29	5	7	358	393	1	2	2,832	3,058	0	0	393	432	158	171
Reporting Positively About Cultural Sensitivity of Staff.	3,570	3,772	27	28	4	6	369	390	1	2	2,780	2,928	0	0	389	418	160	169
6. Social Connectedness	3,478	3,861	25	29	5	7	349	394	2	2	2,775	3,050	0	0	322	379	145	168
7. Functioning	2,698	3,861	19	30	4	6	256	389	2	2	2,156	3,023	0	0	261	411	114	167

Comments on Data:

Please enter the number of persons responding positively to the questions and the number of total responses within each group. Percent positive will be calculated from these data.

Footnotes:

## V: Performance Indicators and Accomplishments

MHBG Table 21 (URS Table 19A) - Profile Of Criminal Justice Or Juvenile Justice Involvement

1. If your SMHA has data on Arrest records from alternatives sources, you may also report that here. If you only have data for arrests for consumers in this year, please report that in the T2 columns. If you can calculate the change in Arrests from T1 to T2, please use all those columns.
2. Please complete the check boxes at the bottom of the table to help explain the data sources that you used to complete this table.
3. Please tell us anything else that would help us to understand your indicator (e.g., list survey or MIS questions; describe linking methodology and data sources; specify time period for criminal justice involvement; explain whether treatment data are collected).

For Consumers in Service for at least 12 months

	T1			T2			T1 to T2 Change						Assessment of the Impact of Services					
	"T1" Prior 12 months (more than 1 year ago)			"T2" Most Recent 12 months (this year)			If Arrested at T1 (Prior 12 Months)			If Not Arrested at T1 (Prior 12 Months)			Over the last 12 months, my encounters with the police have...					
	Arrested	Not Arrested	No Response	Arrested	Not Arrested	No Response	# with an Arrest in T2	# with No Arrest at T2	No Response	# with an Arrest in T2	# with No Arrest at T2	No Response	# Reduced (fewer encounters)	# Stayed the Same	# Increased	# Not Applicable	No Response	Total Responses
Total	190	4347	0	101	4436	0	21	169	0	80	4267	0	175	4273	89	0	0	4537
Total Children/Youth (under age 18)	2	133	0	2	133	0	0	2	0	2	131	0	2	131	2	0	0	135
Female	1	38	0	1	38	0	0	1	0	1	37	0	1	37	1	0	0	39
Male	1	95	0	1	95	0	0	1	0	1	94	0	1	94	1	0	0	96
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Adults (age 18 and over)	188	4214	0	99	4303	0	21	167	0	78	4136	0	173	4142	87	0	0	4402
Female	89	2132	0	51	2170	0	11	78	0	40	2092	0	80	2096	45	0	0	2221
Male	99	2082	0	48	2133	0	10	89	0	38	2044	0	93	2046	42	0	0	2181
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

For Consumers Who Began Mental Health Services during the past 12 months

	T1			T2			T1 to T2 Change						Assessment of the Impact of Services					
	"T1" 12 months prior to beginning services			"T2" Since Beginning Services (this year)			If Arrested at T1 (Prior 12 Months)			If Not Arrested at T1 (Prior 12 Months)			Since starting to receive MH Services, my encounters with the police have...					

	Arrested	Not Arrested	No Response	Arrested	Not Arrested	No Response	# with an Arrest in T2	# with No Arrest at T2	No Response	# with an Arrest in T2	# with No Arrest at T2	No Response	# Reduced (fewer encounters)	# Stayed the Same	# Increased	# Not Applicable	No Response	Total Responses
Total	297	6176	0	271	6202	0	66	231	0	205	5971	0	244	5994	235	0	0	6473
Total Children/Youth (under age 18)	11	495	0	15	491	0	3	8	0	12	483	0	8	484	14	0	0	506
Female	2	181	0	4	179	0	0	2	0	4	177	0	2	177	4	0	0	183
Male	9	313	0	11	311	0	3	6	0	8	305	0	6	306	10	0	0	322
Not Available	0	1	0	0	1	0	0	0	0	0	1	0	0	1	0	0	0	1
Total Adults (age 18 and over)	286	5681	0	256	5711	0	63	223	0	193	5488	0	236	5510	221	0	0	5967
Female	138	2920	0	122	2936	0	30	108	0	92	2828	0	114	2838	106	0	0	3058
Male	148	2761	0	134	2775	0	33	115	0	101	2660	0	122	2672	115	0	0	2909
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Time period in which services were received: 7/1/2013-6/30/2014

Please Describe the Sources of your Criminal Justice Data

- Source of adult criminal justice information:
- 1. Consumer survey (recommended questions)
  - 2. Other Consumer Survey: Please send copy of questions
  - 3. Mental health MIS
  - 4. State criminal justice agency
  - 5. Local criminal justice agency
  - 6. Other (specify)
- Sources of children/youth criminal justice information:
- 1. Consumer survey (recommended questions)
  - 2. Other Consumer Survey: Please send copy of questions
  - 3. Mental health MIS
  - 4. State criminal/juvenile justice agency
  - 5. Local criminal/juvenile justice agency
  - 6. Other (specify)
- Measure of adult criminal justice involvement:
- 1. Arrests
  - 2. Other (specify)
- Measure of children/youth criminal justice involvement:
- 1. Arrests
  - 2. Other (specify)
- Mental health programs included:
- 1. Adults with SMI only
  - 2. Other adults (specify)
  - 3. Both (all adults)
  - 1. Children with SED only
  - 2. Other Children (specify)
  - 3. Both (all Children)
- Region for which adult data are reported:
- 1. The whole state
  - 2. Less than the whole state (please describe)
- Region for which children/youth data are reported:
- 1. The whole state
  - 2. Less than the whole state (please describe)

What is the Total Number of Persons Surveyed or for whom Criminal Justice Data Are Reported

1. If data is from a survey, What is the total Number of people from which the sample was drawn?
2. What was your sample size? (How many individuals were selected for the sample)?
3. How many survey Contacts were made? (surveys to valid phone numbers or addresses)
4. How many surveys were completed? (survey forms returned or calls completed) If data source was not a Survey, How many persons were CJ data available for?
5. What was your response rate? (number of Completed surveys divided by number of Contacts)

State Comments/Notes:      MIS currently only contains arrest information on a subset of the clients treated for mental health disorders. Generally, only those clients with substance abuse diagnoses (in addition to mental health disorders) are included in the data herein.

Footnotes:

# V: Performance Indicators and Accomplishments

MHBG Table 22 (URS Table 16) - Profile of Adults With Serious Mental Illnesses And Children With Serious Emotional Disturbances Receiving Specific Services

Age	Adults with Serious Mental Illness (SMI)				Children with Serious Emotional Disturbance (SED)			
	N Receiving Supported Housing	N Receiving Supported Employment	N Receiving Assertive Community Treatment	Total unduplicated N - Adults with SMI Served	N Receiving Therapeutic Foster Care	N Receiving Multi-Systemic Therapy	N Receiving Family Functional Therapy	Total unduplicated N - Children with SED
0-12 years	0	0	0	0	95	0	0	17,605
13-17 years	0	0	0	0	77	0	0	11,871
18-20 years	3	13	0	1,121	2	0	0	1,023
21-64 years	135	497	0	37,929	0	0	0	0
65-74 years	1	4	0	2,080	0	0	0	0
75+ years	0	0	0	519	0	0	0	0
Not Available	0	0	0	0	0	0	0	0
Total	139	514	0	41,649	174	0	0	30,499

Gender	Adults with Serious Mental Illness (SMI)				Children with Serious Emotional Disturbance (SED)			
	N Receiving Supported Housing	N Receiving Supported Employment	N Receiving Assertive Community Treatment	Total unduplicated N - Adults with SMI Served	N Receiving Therapeutic Foster Care	N Receiving Multi-Systemic Therapy	N Receiving Family Functional Therapy	Total unduplicated N - Children with SED
Female	98	248	0	25,196	64	0	0	12,295
Male	41	266	0	16,449	110	0	0	18,204
Not Available	0	0	0	4	0	0	0	0

Ethnicity	Adults with Serious Mental Illness (SMI)				Children with Serious Emotional Disturbance (SED)			
	N Receiving Supported Housing	N Receiving Supported Employment	N Receiving Assertive Community Treatment	Total unduplicated N - Adults with SMI Served	N Receiving Therapeutic Foster Care	N Receiving Multi-Systemic Therapy	N Receiving Family Functional Therapy	Total unduplicated N - Children with SED
American Indian / Alaska Native	0	1	0	123	0	0	0	44
Asian	0	1	0	68	0	0	0	30
Black / African American	12	127	0	4,584	16	0	0	3,992
Hawaiian / Pacific Islander	0	0	0	20	0	0	0	20

White	117	374	0	35,511	136	0	0	24,565
Hispanic *	0	0	0	0	0	0	0	0
More than one race	1	3	0	351	15	0	0	1,070
Not Available	9	8	0	992	7	0	0	778

Hispanic/Latino Origin	Adults with Serious Mental Illness (SMI)				Children with Serious Emotional Disturbance (SED)			
	N Receiving Supported Housing	N Receiving Supported Employment	N Receiving Assertive Community Treatment	Total unduplicated N - Adults with SMI Served	N Receiving Therapeutic Foster Care	N Receiving Multi-Systemic Therapy	N Receiving Family Functional Therapy	Total unduplicated N - Children with SED
Hispanic / Latino origin	2	7	0	461	4	0	0	1,028
Non Hispanic / Latino	136	507	0	40,980	170	0	0	29,243
Not Available	1	0	0	208	0	0	0	228

	Adults with Serious Mental Illness (SMI)				Children with Serious Emotional Disturbance (SED)			
	N Receiving Supported Housing	N Receiving Supported Employment	N Receiving Assertive Community Treatment	Total unduplicated N - Adults with SMI Served	N Receiving Therapeutic Foster Care	N Receiving Multi-Systemic Therapy	N Receiving Family Functional Therapy	Total unduplicated N - Children with SED

Do you monitor fidelity for this service?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
IF YES,								
What fidelity measure do you use?	<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	
Who measures fidelity?	<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	
How often is fidelity measured?	<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	
Is the SAMHSA EBP Toolkit used to guide EBP Implementation?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Have staff been specifically trained to implement the EBP?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

\* Hispanic is part of the total served.

Yes  No

Comments on Data (overall):

Comments on Data (Supported Housing):

Comments on Data (Supported Employment):

Comments on Data (Assertive Community Treatment):

KY BHDID does not have funding needed to support ACT. Although as of Jan 1, 2014, KY Department for Medicaid Services began funding ACT through managed care organizations.

Comments on Data (Therapeutic Foster Care):

Comments on Data (Multi-Systemic

Therapy):  
KY BHDID does not have funding needed to support MST.

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Comments on Data (Family  
Functional Therapy):  
KY BHDID does not have funding needed to support FFT.

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\* Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as a Ethnic Origin are not available

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Footnotes:

## V: Performance Indicators and Accomplishments

MHBG Table 23A (URS Table 20A) - Profile of Non-Forensic (Voluntary and Civil-Involuntary) Patients Readmission to Any State Psychiatric Inpatient Hospital Within 30/180 Days of Discharge

	Total number of Discharges in Year	Number of Readmissions to ANY STATE Hospital within		Percent Readmitted	
		30 days	180 days	30 days	180 days
TOTAL	8035	825	2121	10.27 %	26.40 %
<b>Age</b>					
0-12 years	0	0	0	0.00 %	0.00 %
13-17 years	4	0	0	0.00 %	0.00 %
18-20 years	322	24	69	7.45 %	21.43 %
21-64 years	7280	773	1976	10.62 %	27.14 %
65-74 years	307	21	56	6.84 %	18.24 %
75+ years	122	7	20	5.74 %	16.39 %
Not Available	0	0	0	0.00 %	0.00 %
<b>Gender</b>					
Female	3412	360	890	10.55 %	26.08 %
Male	4623	465	1231	10.06 %	26.63 %
Gender Not Available	0	0	0	0.00 %	0.00 %
<b>Race</b>					
American Indian/Alaska Native	5	1	1	20.00 %	20.00 %
Asian	21	2	9	9.52 %	42.86 %
Black/African American	886	76	251	8.58 %	28.33 %
Hawaiian/Pacific Islander	0	0	0	0.00 %	0.00 %

White	6976	732	1824	10.49 %	26.15 %
Hispanic *	62	7	24	11.29 %	38.71 %
More than one race	34	2	5	5.88 %	14.71 %
Race Not Available	51	5	7	9.80 %	13.73 %
Hispanic/Latino Origin					
Hispanic/Latino Origin	62	7	24	11.29 %	38.71 %
Non Hispanic/Latino	7973	818	2097	10.26 %	26.30 %
Hispanic/Latino Origin Not Available	0	0	0	0.00 %	0.00 %

Are Forensic Patients Included?  Yes  No

Comments on Data:

ARH, CSH, ESH, WSH only. Hispanic is reported as a Race in KY Facility data. "Asian" and "Pacific Islander" are reported in the same category in KY Facility data; "Hawaiian" is not collected by facility data (These notes apply only to Facility; not CMHC).

*\*Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as a Ethnic Origin are not available*

Footnotes:

## V: Performance Indicators and Accomplishments

MHBG Table 23B (URS Table 20B) - Profile of Forensic Patients Readmission to Any State Psychiatric Inpatient Hospital Within 30/180 Days of Discharge

	Total number of Discharges in Year	Number of Readmissions to ANY STATE Hospital within		Percent Readmitted	
		30 days	180 days	30 days	180 days
TOTAL	691	0	18	0.00 %	2.60 %
<b>Age</b>					
0-12 years	0	0	0	0.00 %	0.00 %
13-17 years	0	0	0	0.00 %	0.00 %
18-20 years	38	0	1	0.00 %	2.63 %
21-64 years	632	0	16	0.00 %	2.53 %
65-74 years	17	0	1	0.00 %	5.88 %
75+ years	4	0	0	0.00 %	0.00 %
Not Available	0	0	0	0.00 %	0.00 %
<b>Gender</b>					
Female	117	0	3	0.00 %	2.56 %
Male	574	0	15	0.00 %	2.61 %
Gender Not Available	0	0	0	0.00 %	0.00 %
<b>Race</b>					
American Indian/Alaska Native	0	0	0	0.00 %	0.00 %
Asian	0	0	0	0.00 %	0.00 %
Black/African American	183	0	8	0.00 %	4.37 %
Hawaiian/Pacific Islander	0	0	0	0.00 %	0.00 %

White	504	0	10	0.00 %	1.98 %
Hispanic *	4	0	0	0.00 %	0.00 %
More than one race	0	0	0	0.00 %	0.00 %
Race Not Available	0	0	0	0.00 %	0.00 %
Hispanic/Latino Origin					
Hispanic/Latino Origin	4	0	0	0.00 %	0.00 %
Non Hispanic/Latino	687	0	18	0.00 %	2.62 %
Hispanic/Latino Origin Not Available	0	0	0	0.00 %	0.00 %

Comments on Data:

Represents KCPC only - this facility serves only people age 18 and over.

*\*Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as a Ethnic Origin are not available*

Footnotes:

## V: Performance Indicators and Accomplishments

MHBG Table 24 (URS Table 21) - Profile of Non-Forensic (Voluntary and Civil-Involuntary Patients) Readmission to Any Psychiatric Inpatient Care Unit (State Operated or Other Psychiatric Inpatient Unit) within 30/180 Days of Discharge

	Total number of Discharges in Year	Number of Readmissions to ANY Psychiatric Inpatient Care Unit Hospital within		Percent Readmitted	
		30 days	180 days	30 days	180 days
TOTAL	8726	828	2188	9.49 %	25.07 %
Age					
0-12 years	0	0	0	0.00 %	0.00 %
13-17 years	4	0	0	0.00 %	0.00 %
18-20 years	360	24	73	6.67 %	20.28 %
21-64 years	7912	776	2034	9.81 %	25.71 %
65-74 years	324	21	61	6.48 %	18.83 %
75+ years	126	7	20	5.56 %	15.87 %
Not Available	0	0	0	0.00 %	0.00 %
Gender					
Female	3529	361	906	10.23 %	25.67 %
Male	5197	467	1282	8.99 %	24.67 %
Gender Not Available	0	0	0	0.00 %	0.00 %
Race					
American Indian/Alaska Native	5	1	1	20.00 %	20.00 %
Asian	21	2	9	9.52 %	42.86 %
Black/African American	1069	76	270	7.11 %	25.26 %
Hawaiian/Pacific Islander	0	0	0	0.00 %	0.00 %

White	7480	735	1872	9.83 %	25.03 %
Hispanic *	66	7	24	10.61 %	36.36 %
More than one race	34	2	5	5.88 %	14.71 %
Race Not Available	51	5	7	9.80 %	13.73 %
Hispanic/Latino Origin					
Hispanic/Latino Origin	66	7	24	10.61 %	36.36 %
Non Hispanic/Latino	8660	821	2164	9.48 %	24.99 %
Hispanic/Latino Origin Not Available	0	0	0	0.00 %	0.00 %

1. Does this table include readmission from state psychiatric hospitals?  Yes  No

2. Are Forensic Patients Included?  Yes  No

Comments on Data:

ARH, CSH, ESH, WSH & KCPC. Hispanic is reported as a Race in KY Facility data. "Asian" and "Pacific Islander" are reported in the same category in KY Facility data; "Hawaiian" is not collected by facility data (These notes apply only to Facility; not CMHC).

*\*Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as a Ethnic Origin are not available*

Footnotes: