

For over 11 years, the Kentucky Commission on Services and Supports for Individuals with Intellectual and Other Developmental Disabilities has served in an advisory capacity to the Governor and General Assembly. Last year's Annual Report highlighted the Commission's Short Term Initiatives (1-3 years) and Long Term Initiatives (5-10 years). For FY 2011, the annual report will chart the progress toward implementation of the Commission's short and long term goals.

The following is a progress report of general short term initiatives:

- Utilize the Supports Intensity Scale (SIS) as the method to evaluate needs of the individual as well as to develop individualized budget for supports based on assessed needs.

Status Update: Use of the Supports Intensity Scale (SIS) as a method to develop standardized budgets began in July 2011. Implementation for participants will be phased in based on the participant's birth month.

- Streamline and reduce administrative burden.

Status Update: The Department for Behavioral Health Developmental and Intellectual Disabilities (DBHDID) has worked with Department for Medicaid Services (DMS) on improvements to the Supports for Community Living and Michelle P. waivers improvements included lengthened certification review period option, a streamlined medication error reporting process and consistency in service options in the two waivers. DBHDID continues to collaborate with DMS and the Department for Aging and Independent Living (DAIL) to promote consistency in the waivers and a system that is easier to navigate.

- Commission members will actively engage in the development of the SCL waiver re-write.

Status Update: Commission members participated in statewide forums hosted by the Kentucky Council on Developmental Disabilities (KCDD). They also provided input DBHDID and DMS input regarding proposed changes to the SCL waiver at each of their four commission meetings.

- Evaluate the value/impact of independent case management.

Status Update: Conflict Free Case Management was approved by the Centers for Medicaid Services (CMS) in the SCL and Michele P. waivers and will be implemented in the near future. The value and impact will be measured through National Core Indicator Surveys as well as program outcomes and reviews.

- Determine the relationship between case management and support brokerage for intellectual and developmental disability supports.

Status Update: The amended SCL and Michele P. waivers allow an individual to have a case manager as well as an optional community guide to assist with directing their own services. Community guide services do not duplicate case management services but provide information to ensure the participant understands the

- responsibilities involved with directing his or her own services. Assistance provided the community guide is determined by the needs of the person and may include assistance with recruiting, hiring, training, managing, evaluating, and changing employees; scheduling and outlining the duties of employees; developing and managing the individual budget; understanding provider qualifications; record keeping; and other requirements.
- Establish mechanism to expand “Provider Profiles” to assist individuals and their families in making good choices about supports and services delivery.

Status Update: Division for Developmental and Intellectual Disabilities is exploring avenues to expand current profiles through input from the Quality and Best Practices Subcommittee.
 - Develop and implement a central point of contact regional and statewide source of information and referral.

Status Update: Medicaid is working to transition responsibility for the Michele P. waiver and participant direction to DBHDID in order to make navigating the DD service system less complicated for participants.
 - Explore customized and self-employment options that will lead to a career path.

Status Update: Kentucky is forming an Alliance for Full Participation State Team to explore and promote customized employment options for participants of SCL and Michele P. waivers. In addition, DBHDID has developed an action plan to increase employment opportunities for individuals through collaboration with the Office of Vocational Rehabilitation (OVR) to improve coordination and implementation of policies and processes for supported employment.

The following is a progress report of short term initiatives addressing Direct Support Professional (DSP) issues:

- Foster and develop peer mentoring and orient and provide a realistic job preview.

Status Update: BHDID is currently working with Support Professional Employees Association of Kentucky on the development of a training program related to peer mentoring that will be offered regionally by the end of FY 2011-2012;
- An emphasis on DSP certification using all existing resources including SPEAK.

Status Update: DBHDID and KCDD collaborated to contract with SPEAK to expand DSP membership and professional development activities that support DSP certification.
- Expansion of SPEAK for DSP recruiting/retention and mentoring support policy changes that affect wage and benefits.

- Status Update: The 2011-2012 contract with SPEAK has deliverables regarding DSP recruiting, retention and mentoring. Key deliverables include the development and provision of peer mentoring and training that align with BHDID initiatives and person-centered philosophy, as well as the provision of a methodology/tool for provider use related to the Realistic Job Preview, which has the potential to improve recruitment and retention of qualified DSPs.
- Develop recruitment strategies for DSPs in agency and participant-directed situations.

Status Update: A voluntary credentialing program for that incorporates standards and skills sets from agencies such as the College of Direct Support, U.S. Department of Labor Direct Service Worker Apprenticeship Program, American Network of Community Options (ANCOR) and National Alliance for Direct Support professionals (NADSP). A draft has been given to waiver providers with a final version should available before the end of 2011.
 - Develop a career path that provide opportunities for advancement, recognition by peers and supervisors, supportive management and supervision, a statewide professional association or coalition that will improve status, image and ongoing professional development.

Status Update: A career path is under development that will allow DSPs various points of entry and the ability to capitalize on education, training, and experience (or a combination thereof) to achieve one or more credential levels. Ongoing collaboration between DBHDID, KCDD and SPEAK continues to focus on increased SPEAK membership, access to professional development, and recognition of the work of DSPs;
 - Strengthen partnerships between health and human services agencies and the public workforce system to increase recruitment of DSPs.

Status Update: In progress, a credential pathway provides opportunities for collaboration with agencies such as the Kentucky Community and Technical College System (KCTCS) and the Kentucky Office of Career and Technical Education (also known as KY Tech) as avenues for recruitment.
 - Adopt DSPs Core Competencies across sectors.

Status Update: DSP Core Competencies are under development as a part of the credentialing system, key competencies have been identified for evaluation of DSPs upon completion of Phase I and Phase II of College of Direct Support modules. To ensure DSPs' ability to apply key training objectives, specified timeframes have been established and;
 - Define the term "workforce" as it relates to the field of I/DD

Status Update: BHDID will seek input from the Workforce Development Subcommittee to define the term "workforce" as it relates to the field of I/DD.
 - Increase access to high quality training and lifelong learning.

Status Update: The College of Direct Support has been implemented statewide and is in use by all SCL waiver providers as well as by some participants and families. Curriculum and the provision of required New Provider Orientation modules will also utilize CDS. In addition other avenues are being explored to address the training needs of DSPs.

DDID will seek input from the Workforce Development Subcommittee regarding the following short term initiatives impacting DSPs:

- Examine how postsecondary education inclusion programs will provide additional choices of employment;
- Develop and support a workforce for all aspects of the system of care in Kentucky;
- Improve partnerships with the community including universities and other entities that teach and provide services to families and individuals with intellectual disabilities;
- Hire staff across disciplines who meet core competencies in their applicable field;
- Develop cross-sector partnerships to create a unified and mutual understanding about the workforce;
- Explore a statewide wage and compensation package specifically for DSPs is above “living wage” standards based on regional market and cost;
- Recognize the benefits of cultural diversity in the workplace and develop strategies for recruitment;
- Support the implementation of national standards and credentialing programs in the areas of I/DD;
- Participate in federal programs that offer incentives, reimbursements or other options that allow opportunities for improvement and status of DSPs;
- Explore the possibility of creating a health/medical management organization or fund for DSP position. Providers could “pool” their medical or health benefit dollars into a fund to provide medical insurance;
- Support employers, families and individuals to find and keep good workers by offering recruitment, retention and training interventions, participating in federal programs that offer incentives, reimbursements or other options that allow opportunities for improvement and status of DSPs; and
- Identify a screening and assessment tool that identifies candidates with core personality traits that lead to a successful career in direct services.

The following summarizes progress made towards long-term initiatives:

- Create a seamless system of services that include behavior supports personnel allowing people to be assisted in their own homes.

Status Update: One priority of the DBHDID is continuity of care. BHDID has assigned field staff to each state Intermediate Care Facility (ICF) and psychiatric hospital to provide technical assistance and to promote networking among the entities for successful transition to community based supports. The amended SCL waiver

- includes an exceptional supports protocol which will fund or increases for the support of persons assessed who require high intensity medical, psychiatric or behavior supports to sustain community participation.
- Evaluate including transportation as part of an individual's budget.

Status Update: Transportation was approved as a participant directed service option in the SCL and MP waivers.
 - Community education and outreach must be a combined effort to create awareness of need and available services.

Status Update: The KCDD and DDID will continue to host public forums across the Commonwealth. For individuals, advocates, and family members who desire to contribute or comment on aspect of I/DD services/supports delivery;
 - Promote inclusion of citizens with disabilities to increase natural supports in the community and in the workplace.

Status Update: SCL and Michele P. waiver services provide more access to community resources, events, and organizations by encouraging person centered supports and offering training and education to individuals who provide unpaid support, training, companionship and/or supervision for individuals in the community with I/DD.
 - Evaluate Quality Improvement Organization (QIO) to assist in quality improvement within system of care.

Status Update: The newly approved SCL and Michelle P. waivers provide for the transition of medical necessity review, plan of care, and prior authorization of services from DMS to BHDID. Quality Improvement is an emphasis of the Centers for Medicaid Services (CMS) and DDID;
 - Continue the development of supported education, supported employment, and supported self-employment strategies.

Status Update: DDID has developed an action plan to promote the expansion of customized employment and self-employment for individuals in the SCL and Michelle P. waivers that include the following elements:

 - Expand the capacity of Kentucky's supported employment system for people with DDID through well prepared providers who are committed to supplying high quality supports;
 - Reduce jobseeker's and family member's fears about joining the workforce;
 - Motivate employers to hire jobseekers with I/DD; and
 - Improve coordination and implementation of policies as they relate to jobseekers with disabilities throughout the Commonwealth.
 - People with disabilities and their families will have access to services and supports that meet their needs and expectation.

Status Update: The renewal of the Michele P. Waiver and the approved SCL amended waiver seek to improve access to services through:

- Advocating for additional funds to serve individuals in the community;
- Providing training and information to providers to prevent crises:
 - In addition to DDID informational brochures, discussions and presentations, each community mental health center (CMHC) provides outreach to inform the public of available supports including DD crisis services.
 - DDID conducts train the trainer opportunities for crisis prevention and intervention.
 - Technical assistance is provided by the DDID trainers as requested to staff, providers, individuals, families and guardians.
 - During July 2011, DDID sponsored five sessions of Person Centered Thinking training throughout the state. The foundation of this training and tools received are being integrated into existing training sessions and are the cornerstone of revised training for case management staff and their supervisors;
- Developing, increasing and improving access to services and supports: DDID is focusing on continuity of care in an effort to improve both access to and quality of supports;
- Shifting primary focus for public intermediate care facilities to expanding networks to provide continuum of health care within the individual's community.
 - Each of the state ICFS continues to expand collaboration with community providers to expand the resources and support options available in the community;
 - Individual's transition into community waiver homes or home with their family with the supports provided through the Money Follows the Person initiative and the Supports for Community Living Waiver program.;
 - Each state ICF provides ongoing support, training, and assistance to community providers following transition of the individual from the facility.;
 - Each state ICF provides ongoing medical, specialty, dental, and therapeutic services to individuals that have transitioned from their respective facility;
 - As individuals transition out, developing capacity to provide short-term crisis stabilization and temporary respite services;
 - Each of the state ICF's began accepting short term admissions for intensive assessment, stabilization and treatment focused on the individual's return to their community home;
 - DBHDID partnered with the DMS to submit an amendment to the Medicaid State Plan (SPA) requesting the Center for Medicare and Medicaid approval for Medicaid coverage of specialty medical, dental,

and therapeutic services for individuals with intellectual and developmental disabilities residing in the community.

- Upon receipt of CMS approval of the SPA, Medicaid regulations will be drafted for the coverage of these services at Specialty Intermediate Care Clinics located on the grounds of each of the state ICF's.
- Construction was recently completed and an ribbon cutting ceremony held at the new Bingham Gardens homes and Intermediate Care Specialty Clinic located on Louise Underwood Way in Louisville, Kentucky.

Along with commission members and the three subcommittees (Workforce Development, Quality and Best Practices, and Funding Sources), DBHDID will work towards accomplishing the following long- term initiatives in the next 5 to 10 years:

- Develop a service system projection to include the number of individuals who will need services and the provider base required to meet the demand;
- Utilize an open or ad hoc commission position for a representative from the education system;
- Advocate for adequate funding for a system of services and supports throughout the individual's lifespan;
- Identify improvements to the current system and project future needs of the infrastructure;
- Collaborate and support the Department of Public Health's efforts to prevent intellectual and developmental disabilities;
- Develop provider reimbursement based on the prevailing cost of service;
- Promote an increase in funding for human services programs to yield economic development on a local level;
- Engage legislators in the commission's subcommittee work;
- Partner the when necessary to focus on specific issues;
- The Commission will hold the Subcommittees and the DBHDID accountable for development of legislation and policies to improve services for individuals; and
- Engage the departments of Education and Transportation to develop partnerships for system improvement and to bring in more federal funding.

SCL Waiting List – August 31, 2011

Region	Waiting list	# emergency	# urgent	# future/planning
1	127	0	8	119
2	85	0	11	74
3	212	0	33	179
4	170	0	18	152
5	153	0	26	127
6	688	0	101	587
7	238	0	29	209
8	5	0	1	4
10	35	0	5	30
11	34	0	7	27
12	24	0	9	15
13	65	0	14	51
14	95	0	20	75
15	298	0	45	253
Total	2229	0	327	1902

➤ Michelle P Member Report Summary - FY 2011 (July 1, 2010 - June 30, 2011)

Members meeting Level of Care (LOC) without receiving services: 850

Members receiving 'Blended Services' (traditional and participant directed): 916

Members receiving 'Traditional Services': 2,285

Members receiving CDO only: 1,701

Total members 5,752

Members currently in Michelle P Waiver < 18: 2,498

Members currently in Michelle P Waiver = 18: 200

Members currently in Michelle P Waiver > 18: 3,054

Total members 5,752

Reporting Methodology:

LOC - Numbers reported represent members having an active level of care during FY2011.

Services - Numbers reported represent members having an active service plan during FY2011.

➤ **Acquired Brain Injury (ABI) Rehab Waiver and ABI Long Term Care Waiver (LTC)**

As of August 29, 2011, the current numbers for the ABI Rehab Waiver are:

Active	173
Allocated	7
Discharged/Transitioned	20
TOTAL	200
Waiting	209
Emergency Request	9

As of August 29, 2011, the current numbers for the ABI LTC Waiver are:

Active	171
Allocated	28
Discharged this waiver year	1
TOTAL	200
Waiting	9
Emergency Request	3

➤ **ICF Average Annual Census FY07 through YTD FY12**

The number of individuals with Intellectual Developmental Disabilities who reside in state Intermediate Care Facilities for individuals with Intellectual/Developmental Disabilities continues to decrease with the implementation of the statewide transition process.

Facility**	FY08	FY09	FY10	FY11	FY12YTD
Oakwood	227	205	173	134	122
Hazelwood*	167	162	161	134	149
Central State ICF	32	34	32	28	26
Outwood	65	63	59	50	47

*Hazelwood Center census includes the three 8-bed ICF community homes with a full census of 8.

**As part of the Settlement Agreement with the US Department of Justice, each of the state-owned ICFs is in the process of transforming into Centers of Excellence. These centers will serve as a resource to individuals, families, and community providers and provide specialized supports and services that are not accessible in the community.

➤ **Money Follows the Person/Kentucky Transition as of August 31, 2011**

	Elderly	Physically Disabled	ABI	DDID	Year Total	Cumulative Total
2008	1	3	1	0	5	5
2009	9	11	6	10	36	41
2010	20	19	23	54	116	157
2011						
Jan	2	2	0	4	8	165
Feb	2	2	1	4	9	174
Mar	5	2	3	3	13	187
Apr	4	3	2	9	18	205
May	6	2	2	3	13	218
June	6	2	0	5	13	231
July	2	7	2	7	18	249
Aug	3	5	4	7	19	268
2011 Totals	30	25	14	42	111	

Referrals received:	Dec-Aug 2011	404	Ineligibles/Declined:	Dec-Aug 2011	329
	Cumulative	1200		Cumulative	712

➤ **Consumer Directed Option (CDO) as of Aug 31, 2011**

- Home and Community Based Waiver (HCB) 2141
- Supports for Community Living Waiver (SCL) 103
- Acquired Brain Injury Waiver (ABI) 10
- Acquired Brain Injury Long Term Care Waiver 27
- Michele P. Waiver 2459
- Money Follows the Person/Kentucky Transitions 45

CLOSING THOUGHTS

Serving in an advisory capacity to the Governor and the General Assembly regarding the needs of persons with Intellectual and Developmental Disabilities has been a privilege for the Kentucky Commission on Services and Supports for Individuals with Intellectual and Other Developmental Disabilities. Along with the Department for Behavior Health Developmental and Intellectual Disabilities, we look forward to meeting the objectives of the short-term and long-term initiatives. Commission members extend their gratitude to the Governor and the General Assembly for their continued support.