

I: State Information

State Information

State DUNS Number

Number

927049767

Expiration Date

I. State Agency to be the Grantee for the Block Grant

Agency Name

Cabinet for Health and Family Services

Organizational Unit

Department for Behavioral Health, Developmental and Intellectual Disabilities

Mailing Address

100 Fair Oaks Lane 4ED

City

Frankfort

Zip Code

40621

II. Contact Person for the Grantee of the Block Grant

First Name

Louis

Last Name

Kurtz

Agency Name

Division of Behavioral Health

Mailing Address

100 Fair Oaks Lane 4 ED

City

Frankfort

Zip Code

40621

Telephone

502-564-4456

Fax

502-564-9010

Email Address

louis.kurtz@ky.gov

III. State Expenditure Period (Most recent State expenditure period that is closed out)

From

7/1/2011

To

6/30/2012

IV. Date Submitted

NOTE: this field will be automatically populated when the application is submitted.

Submission Date

12/3/2012 7:40:45 PM

Revision Date

V. Contact Person Responsible for Application Submission

First Name

Michele

Last Name

Blevins

Telephone

502-564-4456

Fax

502-564-9010

Email Address

Michele.blevins@ky.gov

Footnotes:

II:Annual Report

Table 1 - State Priorities

Number	Title	Description
1	Access	Ensure access to community based behavioral health services and supports across the Commonwealth.
2	Quality Services	Ensure availability of high quality (science-based) services and supports for all consumers of the publicly funded behavioral healthcare system by developing a baseline number of Peer Specialists who successfully complete the Core PS Training module of thirty (30) hours.
3	Integrated Health Services	Promote holistic, integrated physical and behavioral health services/supports, utilizing bi-directional models, across Kentucky's publicly funded healthcare system.
4	Reduce Premature Death	Reduce premature death of individuals with behavioral health disorders.
5	Priority Populations	Maintain focus on addressing the behavioral healthcare needs of targeted populations, including: <ul style="list-style-type: none"> • Persons who have mental health or substance use disorders; and are: <ul style="list-style-type: none"> o Pregnant; o Diagnosed with HIV/AIDS; o Intravenous drug users; o Diagnosed with tuberculosis; o Adolescents; or • Adults with Severe Mental Illness (SMI); • Children with Severe Emotional Disturbance (SED); or • Individuals with co-occurring mental health and substance abuse disorders.
6	Promotion and Prevention Services	Further develop evidence based substance abuse prevention and mental health promotion and prevention activities across the Commonwealth, particularly with regard to the implementation of programs and strategies aimed at reducing the consequences of under age binge drinking and prescription drug use and misuse among 10th graders in Kentucky. Underage binge drinking and prescription drug misuse and abuse were identified as state priorities in the most recent SEOW needs assesment completed in the spring of 2011. Kentucky is currently addressing these priorities through its statewide "Changing Social Norms and Policy" (CSNaP) initiative.
7	Criminal Justice Interface	Further develop behavioral health services and supports for adults with SMI and children/youth with SED involved with the juvenile and criminal justice systems.
8	Services for Military	Expand behavioral health (mental health and substance abuse) prevention and treatment services to military personnel and their families.
9	Anti-Stigma	Enhance knowledge and skills of behavioral health providers and others that could/do lend support to citizens with behavioral health disorders (first responders, law enforcement, courts, employers, human service agencies, etc.).

Footnotes:

II:Annual Report

Table 2 - Priority Area by Goal, Strategy, and Performance Indicator

Priority:	Access
Goal of the priority area:	Increase the number of individuals served by the Community Mental Health Centers (including their affiliates), who have behavioral health needs (mental health and substance abuse).
Strategies to attain the goal:	Expand capacity to serve those in need of behavioral health services through the state's publicly funded provider network.
Annual Performance Indicators to measure goal success	
Indicator:	Total number of individuals served annually, by the Regional Boards in mental health and substance abuse programs.
Description of Collecting and Measuring Changes in Performance Indicator:	KY's MIS client and event data set and prior year service data.
Achieved:	Yes
Proposed Changes:	

Reason Not Achieved:

Priority: Quality Services

Goal of the priority area:

Promote Peer Services as a necessary component of Recovery-Oriented Systems of Care for Individuals with Substance Abuse Disorders, Adults with SMI, Children with SED and individuals with co-Occurring Disorders.

Strategies to attain the goal:

Combine core competency training for all Recovery Coaches and Peer Specialists (across populations) and develop population specific modules to address peer services for:

- Individuals with substance abuse disorders;
- Adults with SMI;
- Individuals with co-occurring mental health and substance abuse; or
- Individuals with co-occurring behavioral health and physical health concerns.

Annual Performance Indicators to measure goal success

Indicator: Number of Peer Specialists trained. Baseline Number: Number of Peer Specialists who successfully complete the core training module (30 hours).

Description of Collecting and Measuring Changes in Performance Indicator:

Division of Behavioral Health maintains data base(s) of all individuals who participate in Peer Support trainings and certifies those who adequately complete and graduate from the core and secondary trainings.

Achieved: Yes

Proposed Changes:

Reason Not Achieved:

Priority: Integrated Health Services

Goal of the priority area:

Improve coordination between primary care and behavioral health care delivery.

Strategies to attain the goal:

Commit to the KY Primary Care Association to participate in the organization of five (5) stakeholder working forums to identify opportunities and begin planning for integration of physical and behavioral healthcare.

Annual Performance Indicators to measure goal success

Indicator: Number of forums and planning meetings attended by DBH staff.

Description of Collecting and Measuring Changes in Performance Indicator:

Division of Behavioral Health will ensure that designated staff attends all five (5) Integrated Care Forums planned for 2012-2013. Additional participation in meetings, conducting research or information gathering may be needed.

Additionally, staff will examine and pursue methods for gathering data to develop a baseline indicator for the number of individuals who have had a complete annual physical exam in the last year, the number of screenings completed related to physical health needs and the number of referrals to a primary care provider from a behavioral health treatment setting.

Achieved: In Progress

Proposed Changes:

Reason Not Achieved:

Priority: Reduce Premature Death

Goal of the priority area:

Decrease in 10th grade Rx use in targeted Counties

Strategies to attain the goal:

Determine 3 main misperceptions of students garnered from RPC Conducted focus group information around Rx drug misuse. Enlist the partnership of local high schools to participate in a youth contest that develops media messages that address of the 3 identified misperceptions

Annual Performance Indicators to measure goal success

Indicator: Number of flyers delivered, number of distribution points Increase in RPC time of spent on environmental strategies relating to Rx drugs in selected counties , number of contest winners, Number of youth who see the media messages

Description of Collecting and Measuring Changes in Performance Indicator:

The Prevention data set will be used to capture this data

Achieved: In Progress

Proposed Changes:

Reason Not Achieved:

Priority: Reduce Premature Death

Goal of the priority area:

Decrease the incidence of Suicide among all Kentucky citizens by enhancing public awareness of the signs, symptoms and most effective interventions, as well as vulnerable populations (youth, elderly, military, LGBTQ).

Strategies to attain the goal:

Implement statewide training initiative for behavioral health consumers in long-term care using the SPARK tool kit.

Annual Performance Indicators to measure goal success

Indicator: Number of training events conducted annually.

Description of Collecting and Measuring Changes in Performance Indicator:

Department will maintain database to track number of participants and number of individuals who are trained. This will begin in SFY 2012 and continue for SFY 2013. This is targeted to older adult population.

Achieved: In Progress

Proposed Changes:

Reason Not Achieved:

Priority: Reduce Premature Death

Goal of the priority area:

Decrease the incidence of Suicide among all Kentucky citizens by enhancing public awareness of the signs, symptoms and most effective interventions, as well as vulnerable populations (youth, older adults, military, LGBTQ).

Strategies to attain the goal:

Build capacity to provide training to personnel and students in KY's middle and high schools across the Commonwealth.

Annual Performance Indicators to measure goal success

Indicator: Percentage of schools in which personnel receive training and percentage of schools in which middle and high school students receive suicide prevention information.

Description of Collecting and Measuring Changes in Performance Indicator:

Department will maintain database to track number of middle and high schools in which personnel receive training and students receive

suicide prevention information.

Achieved: In Progress

Proposed Changes:

Reason Not Achieved:

Priority: Reduce Premature Death

Goal of the priority area:

Expand indicators related to prescription drug abuse

Strategies to attain the goal:

Revise KIP Survey to include questions on perceived availability peer usage, perception of risk of Rx drugs and favorable attitudes toward Rx drugs.

Annual Performance Indicators to measure goal success

Indicator: Number of new questions added to KIP concerning prescription drug abuse and misuse

Description of Collecting and Measuring Changes in Performance Indicator:

These questions will be integrated into the KIP School survey. Currently, the only data collected on Rx is lifetime, past year, past 30 day use.

Achieved: Yes

Proposed Changes:

Reason Not Achieved:

Priority: Reduce Premature Death

Goal of the priority area:

Improve access to nicotine replacement for individuals with behavioral health disorders who use tobacco products.

Strategies to attain the goal:

Commit to participating in the University of Kentucky's effort to develop a mechanism for tracking the number of individuals who receive nicotine replacement under the newly expanded nicotine replacement benefit under the Medicaid pharmacy program.

Annual Performance Indicators to measure goal success

Indicator: Establish baseline data on the number of Medicaid eligible individuals who have filled prescriptions for nicotine replacement pharmaceuticals.

Description of Collecting and Measuring Changes in Performance Indicator:

Division of Behavioral Health will have access to the UK data. DBH will attend all planning meetings, conduct research and participate in any additional information gathering needed.
Footnote: Additionally, staff will examine and pursue methods for gathering data and develop a baseline performance indicator for the number of Medicaid eligible individuals with a behavioral health disorder who have received a prescription for nicotine replacement and have had those filled.

Achieved: In Progress

Proposed Changes:

Reason Not Achieved:

Priority: Reduce Premature Death

Goal of the priority area:

Prevent or Reduce the Negative Consequences of Underage Drinking

Strategies to attain the goal:

Supporting/strengthening the enforcement of existing laws regarding adults providing alcohol to minors. Implement local policies that target social access of alcohol to youth (social host and unruly gathering ordinances).

Annual Performance Indicators to measure goal success

Indicator: Reduce 10th grade, 30 day binge drinking by at least 1% annually, in targeted counties.

Description of Collecting and Measuring Changes in Performance Indicator:

Percentage of youth reporting use of alcohol in the past thirty days on the annual Kentucky Incentives for Prevention (KIP) School Survey.
Footnote: Historical data is available.

Achieved: In Progress

Proposed Changes:

Reason Not Achieved:

Priority: Priority Populations

Goal of the priority area:

Track the number of individuals from the target populations served by the 14 Regional Boards (including their affiliates)

Strategies to attain the goal:

Track the number of individuals from the target populations served by the 14 Regional Boards (including their affiliates), including:
• Individuals with substance abuse disorders (using estimated prevalence rate of 10% of the adult population)

- Adults with SMI (using estimated prevalence rate of 2.6% of the adult population-age 18 and above)
 - Children/youth with SED (using estimated prevalence rate of 5% of the child population-under age 18)
- Concentrate efforts in FY 2012 and 2013 on data that is reliable and readily available and plan for improved data collection of additional priority populations in subsequent years.

Annual Performance Indicators to measure goal success

Indicator: Numerator: Total unduplicated number served in each service category, by the 14 Regional Boards and their affiliates.

Description of Collecting and Measuring Changes in Performance Indicator:

KY's MIS client and event data set. National prevalence rate estimate information and available Medicaid data from the KY Department of Medicaid Services (DMS) and the four Managed Care Organizations recently contracted to provide data to DBH.

Achieved: Yes

Proposed Changes:

Reason Not Achieved:

Priority: Promotion and Prevention Services

Goal of the priority area:

Integrate the prevention of mental illness into state and local substance abuse prevention efforts.

Strategies to attain the goal:

Provide training for Prevention staff (Central office staff and Regional Prevention Center staffs) regarding strategies and available resources to address the prevention of mental illness, including cultural awareness training of high risk populations (LGBTQ, Military & their Families, and Native Americans).

Annual Performance Indicators to measure goal success

Indicator: Baseline data will be collected. Number of trainings provided and the number of Central office staff and Regional Prevention Center staff.

Description of Collecting and Measuring Changes in Performance Indicator:

Prevention data set will be expanded to collect new data.

Achieved: In Progress

Proposed Changes:

Reason Not Achieved:

Priority: Criminal Justice Interface

Goal of the priority area:

To ensure that adults with SMI who are being released from specialized mental health treatment units in prison receive timely behavioral health services in their chosen community.

Strategies to attain the goal:

To work with providers and the Department of Corrections to enhance continuity of care for this vulnerable population.

Annual Performance Indicators to measure goal success
Indicator: The number of adults with SMI who are released from prison programs (CPTU and PCU) and who receive services (in the community), from the Regional Boards.

Description of Collecting and Measuring Changes in Performance Indicator:
Numerator: Number of adults with SMI who are released from CPTU and PCU prison programs and receive services from a Regional Board.
Denominator: Number of adults with SMI in released from CPTU and PCU prison programs.
DBHDID is currently working on a Memorandum of Understanding with the Department of Corrections for data sharing to track discharges and Regional Board admissions.

Achieved: Yes

Proposed Changes:

Reason Not Achieved:

Priority: Services for Military
Goal of the priority area:

To accurately track all individuals served by the Regional Boards who are active duty service members or veterans, or the family member of active duty service members or veterans.

Strategies to attain the goal:

Improve data collection system for capturing individuals served by the Regional Boards who are active duty service members or veterans, or the family member of active duty service members or veterans.

Annual Performance Indicators to measure goal success

Indicator: Establish accurate baseline by collecting the number of individuals served by the Regional Boards who are active duty service members or veterans, or the family member of active duty service members or veterans.

Description of Collecting and Measuring Changes in Performance Indicator:

KY's MIS client and event data system.

Note: During the 2011 fiscal year, the CMHCs in Kentucky reported treating 797 active duty Service members.

During the 2011 fiscal year, the CMHCs in Kentucky reported treating 2,216 Veteran. Data collection for family members is in development.

Achieved: Yes

Proposed Changes:

Reason Not Achieved:

Priority: Anti-Stigma

Goal of the priority area:

Reduce discrimination of individuals with behavioral health disorders by providing additional awareness training to targeted individuals and groups that interface with individuals with behavioral health disorders.

Strategies to attain the goal:

Provide formal training and public awareness campaigns to enhance knowledge and to reduce discrimination.

Annual Performance Indicators to measure goal success

Indicator: Develop data base to track the number of Mental Health First Aid trainings provided to targeted individuals and groups that interface with individuals with behavioral health disorders.

Description of Collecting and Measuring Changes in Performance Indicator:

Develop data base that includes Mental Health First Aid trainings, as well as other trainings and public awareness campaigns already in existence (e.g., Crisis Intervention Training, Children's Mental Health Awareness Day campaign), and others to be offered in SFYs 2012 and 2013.

Achieved: Yes

Proposed Changes:

Reason Not Achieved:

Footnotes:

Goal #1: Access - The 14 Regional Boards served a total of 177,667 unduplicated individuals in SFY 2011 and 180,509 unduplicated individuals in SFY 2012 for a 1.5% increase in the total number of clients served.

Goal #2 Quality Services: A total of 205 unique individuals have been trained and certified as Peer Specialists in KY through the end of SFY 2012; this is up from 185 at the end of SFY 2011.

Goal #3 Integrated Health Services - DBH staff have attended 2 Forums and 5 Planning Meetings in the SFY 2012 reporting period.

Goal #4: Rx Use among 10th Graders - Baseline data has been collected on past 30 day Rx use among 10th graders in all target counties that implement the KIP survey. This baseline will be compared to KIP 2012 data when the 2012 KIP data is available in March 2013. Data is currently being collected from KY's Prevention Data Set to establish baselines for the performance indicators related to this strategy.

Goal #5: Suicide Prevention among Vulnerable Populations- Older Adults- SPARK toolkits have been obtained and implementation planning has begun (e.g., training of state level and provider community staff in SPARK toolkit information). The lack of funding for this initiative continues to be a challenge.

Goal #6: Suicide Prevention among Vulnerable Populations- Middle and High School Youth- Considerable progress has occurred with this population and the vast majority of students across the state have received awareness/how to respond training.

Goal #9: KIP Survey - Baseline data has been collected on past 30 day binge drinking among 10th graders in all target counties that implement the KIP survey. Baseline data will be compared to KIP 2012 data when the 2012 KIP data is available in March 2013. The number of new Social Host Ordinances implemented (as a result of the data) will also be tracked.

Goal#11: In SFY 2012, DBHDID offered three (3) statewide trainings that addressed the integration of Mental Health and Substance Abuse. One training, held in April 2012 and facilitated by Michael Compton, drew 117 participants from Regional Prevention Centers, Regional Boards, and private treatment providers. Seventeen SMHA/SAPTA staff also participated. A second training focused on LGBTQ2S and behavioral health was attended by 71 participants, including Regional Prevention Center staff and community coalition members, as well as ten (10) state staff. A third training event, The Faith Hope Future Conference, targeted risk factors for mental health and substance use disorders among the military and their families. This event was attended by 77 participants. Currently, data is being extracted from the Prevention Data Set to determine what efforts our Regional Prevention Centers have taken to integrate mental health into their substance abuse prevention efforts.

Goal #12: Criminal Justice Interface - During SFY 2012, language was added to the Department's contracts with the Regional Boards requiring continuity of care for this population. These numbers are tracked by Department staff that work with the reintegration specialists at the prison. These reintegration specialists work directly with adults with SMI who are serving out of specific specialized mental health treatment units at the prison. Designated staff worked with 55 inmates from the KY State Reformatory (for men) and the Women's Penitentiary and all were connected with the regional boards for follow up services after release. The Division of Behavioral Health holds quarterly meeting with Corrections and service providers to ensure continuity of care and brainstorm challenges to access for services for the SMI population. On-going data collection and data sharing is one area of mutual interest at the meetings. The PBS documentary series Frontline is doing a follow-up story on a Kentucky inmate. They filmed last week at the prison and have asked to follow him when he returns to the community, wishing to accompany he and the worker to a mental health appointment.

III: State Agency Expenditure Reports

Table 3 A/B (URS Tables 5A/5B) - Profile of Clients by Type of Funding Support

Table 3A

This table provides a summary of clients by Medicaid coverage. Since the focus of the reporting is on clients of the public mental health service delivery system, this table focuses on the clientele serviced by public programs that are funded or operated by the State Mental Health Authority. Persons are to be counted in the Medicaid row if they received a service reimbursable through Medicaid.

Please note that the same person may be served in both Medicaid and Non-Medicaid programs during the same reporting period.

	Total				American Indian or Alaska Native			Asian			Black or African American			Hawaiian or Other Pacific Islander			White			Hispanic * use only if data for URS table 5b are not available			More Than One Race Reported			Race Not Available		
	Female	Male	Not Avail	Total	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail
Medicaid (only Medicaid)	33,167	30,452	16	63,635	62	56	0	50	30	0	4,193	4,313	1	20	25	0	27,671	24,699	14	0	0	0	556	565	0	615	764	1
Non-Medicaid Sources (only)	33,309	32,074	51	65,434	61	49	0	31	27	0	2,117	2,544	1	28	31	0	28,831	26,396	44	0	0	0	136	107	0	2,105	2,920	6
People Served by Both Medicaid and Non-Medicaid Sources	16,481	14,557	20	31,058	31	35	0	20	13	0	1,951	1,977	0	13	9	0	14,048	11,965	20	0	0	0	190	238	0	228	320	0
Medicaid Status Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Served	82,957	77,083	87	160,127	154	140	0	101	70	0	8,261	8,834	2	61	65	0	70,550	63,060	78	0	0	0	882	910	0	2,948	4,004	7

Ⓔ Data Based on Medicaid Services

Ⓔ Data Based on Medical Eligibility, not Medicaid Paid Services

Ⓔ 'People Served By Both' includes people with any Medicaid

Comments on Data (for Age):

Comments on Data (for Gender):

Comments on Data (Overall):

Each row should have a unique (unduplicated) count of clients: (1) Medicaid Only, (2) Non-Medicaid Only, (3) Both Medicaid and Other Sources funded their treatment, and (4) Medicaid Status Not Available.

If a state is unable to unduplicate between people whose care is paid for by Medicaid only or Medicaid and other funds, then all data should be reported into the 'People Served by Both Medicaid and Non-Medicaid Sources' and the 'People Served by Both includes people with any Medicaid' check box should be checked.

Table 3B

Of the total persons covered by Medicaid, please indicate the gender and number of persons who are Hispanic/Latino or not Hispanic/Latino. Total persons covered by Medicaid would be the total indicated in Table 3A.

Please note that the same person may be served in both Medicaid and Non-Medicaid programs during the same reporting period.

	Not Hispanic or Latino			Hispanic or Latino			Hispanic or Latino Origin Unknown			Total			
	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Female	Male	Not Avail	Total
Medicaid Only	32,413	29,563	15	695	829	0	59	60	1	33,167	30,452	16	63,635
Non-Medicaid Only	32,601	31,316	45	393	369	0	315	389	6	33,309	32,074	51	65,434
People Served by Both Medicaid and Non-Medicaid Sources	16,036	14,087	20	250	273	0	195	197	0	16,481	14,557	20	31,058
Medicaid Status Unknown	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Served	81,050	74,966	80	1,338	1,471	0	569	646	7	82,957	77,083	87	160,127

Comments on Data (for Age):

Comments on Data (for Gender):

Comments on Data (Overall):

Each row should have a unique (unduplicated) count of clients: (1) Medicaid Only, (2) Non-Medicaid Only, (3) Both Medicaid and Other Sources funded their treatment, and (4) Medicaid Status Unknown.

Footnotes:

III: State Agency Expenditure Reports

Table 4 (URS Table 7) - Profile of Mental Health Service Expenditures and Sources of Funding

Start Year:

End Year:

Activity	A. SA Block Grant	B. MH Block Grant	C. Medicaid (Federal, State, and Local)	D. Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare) SAMHSA, etc.)	E. State Funds	F. Local Funds (excluding local Medicaid)	G. Other
1. Substance Abuse Prevention and Treatment	\$	\$	\$	\$	\$	\$	\$
2. Primary Prevention	\$	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="160,000"/>	<input type="text" value="10,000"/>	<input type="text" value="0"/>	<input type="text" value="0"/>
3. Tuberculosis Services	\$	\$	\$	\$	\$	\$	\$
4. HIV Early Intervention Services	\$	\$	\$	\$	\$	\$	\$
5. State Hospital	\$	\$	<input type="text" value="34,128,000"/>	<input type="text" value="14,247,500"/>	<input type="text" value="0"/>	<input type="text" value="3,074,500"/>	<input type="text" value="0"/>
6. Other 24 Hour Care	\$	<input type="text" value="0"/>	<input type="text" value="19,230,200"/>	<input type="text" value="967,300"/>	<input type="text" value="7,847,900"/>	<input type="text" value="1,910,900"/>	<input type="text" value="0"/>
7. Ambulatory/Community Non-24 Hour Care	\$	<input type="text" value="5,255,000"/>	<input type="text" value="33,364,300"/>	<input type="text" value="31,646,900"/>	<input type="text" value="31,646,900"/>	<input type="text" value="0"/>	<input type="text" value="0"/>
8. Administration (Excluding Program and Provider Level)	\$	<input type="text" value="25,000"/>	<input type="text" value="447,000"/>	<input type="text" value="40,000"/>	<input type="text" value="1,749,400"/>	<input type="text" value="0"/>	<input type="text" value="0"/>
9. Subtotal (Rows 1, 2, 3, 4, and 8)	\$	\$25,000	\$447,000	\$200,000	\$1,759,400	\$0	\$0
10. Subtotal (Rows 5, 6, 7, and 8)	\$	\$5,280,000	\$87,169,500	\$46,901,700	\$41,244,200	\$4,985,400	\$0
11. Total	\$	\$5,280,000	\$87,169,500	\$47,061,700	\$41,254,200	\$4,985,400	\$0

Please indicate the expenditures are actual or estimated.

Actual Estimated

Footnotes:

III: State Agency Expenditure Reports

Table 5 - MHBG Expenditures By Service

Expenditure Period Start Date: 7/1/2011 Expenditure Period End Date: 6/30/2012

Service	Unduplicated Individuals	Units	Expenditures
Prevention (Including Promotion)			\$
Screening, Brief Intervention and Referral to Treatment	0	0.00	\$
Brief Motivational Interviews	0	0.00	\$
Screening and Brief Intervention for Tobacco Cessation	0	0.00	\$
Parent Training	0	0.00	\$
Facilitated Referrals	0	0.00	\$
Relapse Prevention/Wellness Recovery Support	0	0.00	\$
Warm Line	0	0.00	\$
Engagement Services			\$
Assessment			\$
Specialized Evaluations (Psychological and Neurological)			\$
Service Planning (including crisis planning)			\$
Consumer/Family Education			\$
Outreach			\$
Outpatient Services			\$
Individual evidenced based therapies			\$
Group therapy			\$
Family therapy			\$
Multi-family therapy			\$
Consultation to Caregivers			\$
Medication Services			\$
Medication management			\$

Pharmacotherapy (including MAT)			\$
Laboratory services			\$
Community Support (Rehabilitative)			\$
Parent/Caregiver Support			\$
Skill building (social, daily living, cognitive)			\$
Case management			\$
Continuing Care			\$
Behavior management			\$
Supported employment			\$
Permanent supported housing			\$
Recovery housing			\$
Therapeutic mentoring			\$
Traditional healing services			\$
Recovery Supports			\$
Peer Support			\$
Recovery Support Coaching			\$
Recovery Support Center Services			\$
Supports for Self Directed Care			\$
Other Supports (Habilitative)			\$
Personal care			\$
Homemaker			\$
Respite			\$
Supported Education			\$
Transportation			\$
Assisted living services			\$
Recreational services			\$

Trained behavioral health interpreters				\$
Interactive communication technology devices				\$
Intensive Support Services				\$
Substance abuse intensive outpatient (IOP)				\$
Partial hospital				\$
Assertive Community Treatment				\$
Intensive home based services				\$
Multi-systemic therapy				\$
Intensive Case Management				\$
Out-of-Home Residential Services				\$
Crisis residential/stabilization				\$
Adult Substance Abuse Residential				\$
Adult Mental Health Residential				\$
Youth Substance Abuse Residential Services				\$
Children's Residential Mental Health Services				\$
Therapeutic foster care				\$
Acute Intensive Services				\$
Mobile crisis				\$
Peer based crisis services				\$
Urgent care				\$
23 hr. observation bed				\$
Medically Monitored Intensive Inpatient				\$
24/7 crisis hotline services				\$
Other (please list)				\$

Footnotes:

Data is not captured in these specific categories and thus cannot be reported as such. KY understands that completion of this table is considered optional.

III: State Agency Expenditure Reports

Table 6 - Primary Prevention Expenditures Checklist

Start Year:

End Year:

Strategy	IOM Target	Block Grant FY 2012	MHBG Block Grant	Other Federal	State	Local	Other
Information Dissemination	Universal	\$ <input type="text"/>					
Information Dissemination	Selective	\$ <input type="text"/>					
Information Dissemination	Indicated	\$ <input type="text"/>					
Information Dissemination	Unspecified	\$ <input type="text"/>					
Information Dissemination	Total	\$ <input type="text"/>					
Education	Universal	\$ <input type="text"/>					
Education	Selective	\$ <input type="text"/>					
Education	Indicated	\$ <input type="text"/>					
Education	Unspecified	\$ <input type="text"/>					
Education	Total	\$ <input type="text"/>					
Alternatives	Universal	\$ <input type="text"/>					
Alternatives	Selective	\$ <input type="text"/>					
Alternatives	Indicated	\$ <input type="text"/>					
Alternatives	Unspecified	\$ <input type="text"/>					
Alternatives	Total	\$ <input type="text"/>					
Problem Identification and Referral	Universal	\$ <input type="text"/>					
Problem Identification and Referral	Selective	\$ <input type="text"/>					
Problem Identification and Referral	Indicated	\$ <input type="text"/>					
Problem Identification and Referral	Unspecified	\$ <input type="text"/>					

Referral							
Problem Identification and Referral	Total	\$	\$	\$	\$	\$	\$
Community-Based Process	Universal	\$	\$	\$	\$	\$	\$
Community-Based Process	Selective	\$	\$	\$	\$	\$	\$
Community-Based Process	Indicated	\$	\$	\$	\$	\$	\$
Community-Based Process	Unspecified	\$	\$	\$	\$	\$	\$
Community-Based Process	Total	\$	\$	\$	\$	\$	\$
Environmental	Universal	\$	\$	\$	\$	\$	\$
Environmental	Selective	\$	\$	\$	\$	\$	\$
Environmental	Indicated	\$	\$	\$	\$	\$	\$
Environmental	Unspecified	\$	\$	\$	\$	\$	\$
Environmental	Total	\$	\$	\$	\$	\$	\$
Section 1926 Tobacco	Universal	\$	\$	\$	\$	\$	\$
Section 1926 Tobacco	Selective	\$	\$	\$	\$	\$	\$
Section 1926 Tobacco	Indicated	\$	\$	\$	\$	\$	\$
Section 1926 Tobacco	Unspecified	\$	\$	\$	\$	\$	\$
Section 1926 Tobacco	Total	\$	\$	\$	\$	\$	\$
Other	Universal	\$	\$	\$	\$	\$	\$
Other	Selective	\$	\$	\$	\$	\$	\$
Other	Indicated	\$	\$	\$	\$	\$	\$
Other	Unspecified	\$	\$	\$	\$	\$	\$
Other	Total	\$	\$	\$	\$	\$	\$

Footnotes:

III: State Agency Expenditure Reports

Table 7 (URS Table 8) - Profile Of Community Mental Health Block Grant Expenditures For Non-Direct Service Activities

Profile of Community Mental Health Block Grant Expenditures for Non-Direct Service Activities

Service	Estimated Total Block Grant
MHA Technical Assistance Activities	\$22,083
MHA Planning Council Activities	\$10,132
MHA Administration	\$5,084
MHA Data Collection/Reporting	\$
MHA Activities Other Than Those Above	\$72,703
Total Non-Direct Services	\$110,002
Comments on Data:	MH TA: 2011 & prior years include only adult TA; 2012 include adult and childrens TA. MH Data Coll: 2011 BG part-funded a suicide prev FTE; since Aug2012, this FTE was instead funded by other fed grant.

Footnotes:

III: State Agency Expenditure Reports

Table 8 (URS Table 10) - Statewide Entity Inventory(Available in Dec. 2013)

Expenditure Period Start Date: 10/1/2008 Expenditure Period End Date: 9/30/2010

Entity Number	I-SATS ID (for SABG)	Area Served (Statewide or SubState Planning Area)	Provider / Program Name	Street Address	City	State	Zip	SAPT Block Grant - A. Block Grant Funds	SAPT Block Grant - B. Prevention (other than primary prevention) and Treatment Services	SAPT Block Grant - C. Pregnant Women and Women with Dependent Children	SAPT Block Grant - D. Primary Prevention	SAPT Block Grant - E. Early Intervention Services for HIV	CMHS Block Grant - F. Adults serious mental illness	CMHS Block Grant - G. Children with a serious emotional disturbance
		Sub-State Planning Area	Pathways	PO Box 790	Ashland	KY	41105						\$219,956.00	\$246,502.00
		Sub-State Planning Area	Comprehend	611 Forest Avenue	Maysville	KY	41056						\$33,304.00	\$46,847.00
		Sub-State Planning Area	North Key	502 Farrell Drive PO Box 2680	Covington	KY	41011						\$267,368.00	\$77,272.00
		Sub-State Planning Area	Seven Counties	101 West Muhammad Ali Blvd	Louisville	KY	40202						\$651,774.00	\$356,299.00
		Sub-State Planning Area	Lifeskills	PO Box 6499	Bowling Green	KY	42102						\$273,421.00	\$101,379.00
		Sub-State Planning Area	Communicare	107 Cranes Roost Court	Elizabethtown	KY	42701						\$222,817.00	\$96,213.00
		Sub-State Planning Area	River Valley	1100 Walnut Street PO Box 1637	Owensboro	KY	42302						\$194,939.00	\$81,564.00
		Sub-State Planning Area	Pennyroyal	3999 Fort Campbell Blvd	Hopkinsville	KY	42241						\$208,291.00	\$73,885.00
		Statewide	NAMI Lexington (KY) Inc.	498 Georgetown Street Suite 201	Lexington	KY	40508						\$150,190.00	\$0.00
		Sub-State Planning Area	Four Rivers	425 Broadway	Paducah	KY	42001						\$162,075.00	\$65,622.00
		Sub-State Planning Area	Mountain	108 South Front Street	Prestonsburg	KY	41653						\$170,022.00	\$66,796.00
		Sub-State Planning Area	Kentucky River	PO Box 794	Jackson	KY	41339						\$82,105.00	\$31,769.00
		Sub-State Planning Area	Cumberland River	PO Box 568	Corbin	KY	40702						\$244,190.00	\$97,213.00
		Sub-State Planning Area	Adanta	259 Parkers Mill Road	Somerset	KY	42501						\$130,734.00	\$71,392.00
		Statewide	Department of Corrections	PO Box 2400	Frankfort	KY	40602						\$50,000.00	\$0.00
		Statewide	Vocational Rehabilitation	500 Mero Street	Frankfort	KY	40601						\$75,000.00	\$0.00
		Statewide	Kentucky Partnership for Families & Children (KPFC)	1st Floor 207 Holmes Street	Frankfort	KY	40601						\$0.00	\$143,000.00
		Statewide	NAMI Kentucky	808 Monticello Street	Somerset	KY	42501						\$119,554.00	\$0.00
		Statewide	Kentucky Housing Corporation	1231 Louisville Road	Frankfort	KY	40601						\$13,334.00	\$0.00
		Sub-State Planning Area	Bluegrass	1351 Newtown Pike	Lexington	KY	40511						\$153,428.00	\$334,367.00

III: State Agency Expenditure Reports

Table 9 - Maintenance of Effort for State Expenditures on Mental Health Services

Total Expenditures for SMHA		
Period (A)	Expenditures (B)	<u>B1(2010) + B2(2011)</u> 2 (C)
SFY 2010 (1)	\$20,473,178	
SFY 2011 (2)	\$20,481,089	\$20,477,134
SFY 2012 (3)	\$20,509,460	

Are the expenditure amounts reported in Column B "actual" expenditures for the State fiscal years involved?

SFY 2010 Yes X No _____
 SFY 2011 Yes X No _____
 SFY 2012 Yes X No _____

If estimated expenditures are provided, please indicate when actual expenditure data will be submitted to SAMHSA: _____

Footnotes:

III: State Agency Expenditure Reports

Table 10 - Report on Set-aside for Children's Mental Health Services

State Expenditures for Mental Health Services		
Actual SFY 2008	Actual SFY 2011	Estimated/Actual SFY 2012
\$9483648.00	\$10220712.00	\$10416978.00

States are required to not spend less than the amount expended in Actual SFY 2008. This is a change from the previous year, when the baseline for the state expenditures was 1994.

Footnotes:

IV: Populations and Services Reports

Table 11 (URS Table 1) - Profile of the State Population by Diagnosis

This table summarizes the estimates of adults residing within the State with serious mental illness (SMI) and children residing within the state with serious emotional disturbances (SED). The table calls for estimates for two time periods, one for the report year and one for three years into the future. CMHS will provide this data to States based on the standardized methodology developed and published in the Federal Register and the State level estimates for both adults with SMI and children with SED.

	Current Report Year	Three Years Forward
Adults with Serious Illness (SMI)	<input type="text"/>	<input type="text"/>
Children with Serious Emotional Disturbances (SED)	<input type="text"/>	<input type="text"/>

Note: This Table will be completed for the States by CMHS.

Footnotes:

IV: Populations and Services Reports

Table 12 (URS Table 12) - State Mental Health Agency Profile

The purpose of this profile is to obtain information that provides a context for the data provided in the tables. This profile covers the populations served, services for which the state mental health agency is responsible, data reporting capacities, especially related to duplication of numbers served as well as certain summary administrative information.

Population Served

1. Which of the following populations receive services operated or funded by the state mental health agency? Please indicate if they are included in the data provided in the tables. (Check all that apply.)

	Populations Covered:		Included in Data	
	State Hospitals	Community Programs	State Hospitals	Community Programs
1. Aged 0 to 3	<input checked="" type="checkbox"/> Yes			
2. Aged 4 to 17	<input checked="" type="checkbox"/> Yes			
3. Adults Aged 18 and over	<input checked="" type="checkbox"/> Yes			
4. Forensics	<input checked="" type="checkbox"/> Yes			
Comments on Data:	<input type="text"/>			

2. Do all of the adults and children served through the state mental health agency meet the Federal definitions of serious mental illness and serious emotional disturbances?

Serious Mental Illness

Serious Emotional Disturbances

2.a. If no, please indicate the percentage of persons served for the reporting period who met the federal definitions of serious mental illness and serious emotional disturbance?

2.a.1. Percent of adults meeting Federal definition of SMI:

2.a.2. Percentage of children/adolescents meeting Federal definition of SED:

3. Co-Occurring Mental Health and Substance Abuse:

3.a. What percentage of persons served by the SMHA for the reporting period have a dual diagnosis of mental illness and substance abuse?

3.a.1. Percentage of adults served by the SMHA who also have a diagnosis of substance abuse problem:

3.a.2. Percentage of children/adolescents served by the SMHA who also have a diagnosis of substance abuse problem:

3.b. What percentage of persons served for the reporting period who met the Federal definitions of adults with SMI and children/adolescents with SED have a dual diagnosis of mental illness and substance abuse?

3.b.1. Percentage of adults meeting Federal definition of SMI who also have a diagnosis of substance abuse problem:

3.b.2. Percentage of children/adolescents meeting the Federal definition of SED who also have a diagnosis of substance abuse problem:

3.b.3. Percentage of children/adolescents meeting the Federal definition of SED who also have a diagnosis of substance abuse problem:

We mined 2012 client file for consumer counts who have both MH-rec and SA-rec markers true, and for 3b, we similarly used the "SMI" and "SED" markers according to the Dept.'s client marker definitions. Age was calculated as of June 30, 2012. The % has decreased some since 2010; perhaps due to reduced reporting of SA dx given managed care inception July 1, 2011.

4. State Mental Health Agency Responsibilities

a. Medicaid: Does the State Mental Health Agency have any of the following responsibilities for mental health services provided through Medicaid? (Check All that Apply)

1. State Medicaid Operating Agency

2. Setting Standards

3. Quality Improvement/Program Compliance

- 4. Resolving Consumer Complaints
- 5. Licensing
- 6. Sanctions
- 7. Other

KY SMHA provides to providers training in MH Case Management.

b. Managed Care (Mental Health Managed Care) Are Data for these programs reported on URS Tables?

- 4.b.1 Does the State have a Medicaid Managed Care initiative? Yes Yes
- 4.b.2 Does the State Mental Health Agency have any responsibilities for mental health services provided through Medicaid Managed Care? Yes
- If yes, please check the responsibilities the SMHA has:
- 4.b.3 Direct contractual responsibility and oversight of the MCOs or BHOs Yes
- 4.b.4 Setting Standards for mental health services Yes
- 4.b.5 Coordination with state health and Medicaid agencies Yes
- 4.b.6 Resolving mental health consumer complaints Yes
- 4.b.7 Input in contract development Yes
- 4.b.8 Performance monitoring Yes
- 4.b.9 Other

5. Data Reporting: Please describe the extent to which your information systems allows the generation of unduplicated client counts between different parts of your mental health system. Please respond in particular for Table 2, which requires unduplicated counts of clients served across your entire mental health system.

Are the data reporting in the tables?

- 5.a. Unduplicated: counted once even if they were served in both State hospitals and community programs and if they were served in community mental health agencies responsible for different geographic or programmatic areas.
- 5.b. Duplicated: across state hospital and community programs
- 5.c. Duplicated: within community programs
- 5.d. Duplicated: Between Child and Adult Agencies
- 5.e. Plans for Unduplication: If you are not currently able to provide unduplicated client counts across all parts of your mental health system, please describe your plans to get unduplicated client counts by the end of your Data Infrastructure Grant.

Plan to integrate our Facilities Information System and Community Mental Health Center Data are included in our hopes for a centralized 'data warehouse'. Implementation for this are low priority due to poor economic times.

6. Summary Administrative Data

- 6.a. Report Year:
- 6.b. State Identifier:
- Summary Information on Data Submitted by SMHA:*
- 6.c. Year being reported:
- 6.d. Person Responsible for Submission:
- 6.e. Contact Phone Number:
- 6.f. Contact Address:
- 6.g. E-mail:

Footnotes:

IV: Populations and Services Reports

Table 13 A/B (URS Tables 2A/2B)-Profile of Persons Served, All Programs by Age, Gender and Race/Ethnicity

This table provides an aggregate profile of persons in the reporting year. The reporting year should be the latest state fiscal year for which data are available. This profile is based on a client receiving services in programs provided or funded by the state mental health agency. The client profile takes into account all institutional and community services for all such programs. Please provide unduplicated counts if possible.

Please report the data under the categories listed - "Total" are calculated automatically.

Table 13A

	Total			American Indian or Alaska Native			Asian			Black or African American			Native Hawaiian or Other Pacific Islander			White			Hispanic * use only if data for table 2b are not available			More Than One Race Reported			Race Not Available			
	Female	Male	Not Available	Total	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available
0-12 years	14,088	23,502	12	37,602	18	41	0	10	13	0	1,608	3,035	0	12	21	0	11,073	17,899	12	0	0	0	357	614	0	1,010	1,879	0
13-17 years	10,840	12,161	6	23,007	16	23	0	9	13	0	1,295	1,663	0	8	8	0	9,037	10,007	6	0	0	0	238	176	0	237	271	0
18-20 years	3,702	3,473	9	7,184	6	3	0	7	4	0	392	419	0	2	1	0	3,123	2,875	9	0	0	0	51	26	0	121	145	0
21-24 years	5,013	4,102	5	9,120	4	6	0	9	6	0	531	443	0	6	6	0	4,265	3,426	5	0	0	0	47	12	0	151	203	0
25-44 years	26,428	19,597	31	46,056	49	34	0	31	24	0	2,471	1,948	1	21	20	0	23,119	16,756	29	0	0	0	134	56	0	603	759	1
45-64 years	20,513	14,117	19	34,649	60	33	0	36	12	0	1,828	1,481	1	10	9	0	18,030	12,008	16	0	0	0	57	26	0	492	548	2
65-74 years	2,227	1,232	1	3,460	1	0	0	1	1	0	168	68	0	1	0	0	1,927	1,042	1	0	0	0	1	1	0	128	120	0
75+ years	915	453	4	1,372	0	1	0	1	0	0	42	18	0	1	0	0	654	329	0	0	0	0	0	0	0	217	105	4
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	83,726	78,637	87	162,450	154	141	0	104	73	0	8,335	9,075	2	61	65	0	71,228	64,342	78	0	0	0	885	911	0	2,959	4,030	7
Pregnant Women	664	0	0	664	0			0			83			1			563			0			8			9		

Are these numbers unduplicated? Unduplicated Duplicated : between Hospitals and Community Duplicated : Among Community Programs Duplicated between children and adults Other : describe

Comments on Data (for Age):	<input type="text"/>
Comments on Data (for Gender):	<input type="text"/>

Comments on Data (for Race/Ethnicity):	<input type="text"/>
Comments on Data (Overall):	<input type="text"/>

Of the total persons served, please indicate the age, gender and the number of persons who are Hispanic/Latino or not Hispanic/Latino. Total persons served would be the total as indicated in Table 13A.

Please report the data under the categories listed - "Total" are calculated automatically.

Table 13B

	Not Hispanic or Latino			Hispanic or Latino			Hispanic or Latino Origin Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Total
0-12 years	13,480	22,470	12	436	752	0	172	280	0	14,088	23,502	12	37,602
13-17 years	10,492	11,775	6	272	280	0	76	106	0	10,840	12,161	6	23,007
18-20 years	3,573	3,301	9	64	51	0	65	121	0	3,702	3,473	9	7,184
21-24 years	4,843	3,840	5	83	46	0	87	216	0	5,013	4,102	5	9,120
25-44 years	25,676	18,585	30	304	221	0	448	791	1	26,428	19,597	31	46,056
45-64 years	20,013	13,493	17	157	105	0	343	519	2	20,513	14,117	19	34,649
65-74 years	2,115	1,118	1	18	14	0	94	100	0	2,227	1,232	1	3,460
75+ years	858	384	0	4	2	0	53	67	4	915	453	4	1,372
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	81,050	74,966	80	1,338	1,471	0	1,338	2,200	7	83,726	78,637	87	162,450
Pregnant Women	647			11			6			664	0	0	664

Comments on Data (for Age):	<input type="text"/>
Comments on Data (for Gender):	<input type="text"/>
Comments on Data (for Race/Ethnicity):	<input type="text"/>
Comments on Data (Overall):	<input type="text"/>

Footnotes:

IV: Populations and Services Reports

Table 14 (URS Table 3) - Profile Of Persons Served In The Community Mental Health Settings, State Psychiatric Hospitals And Other Settings

This table provides a profile for the clients that received public funded mental health services in community mental health settings, in state psychiatric hospitals, in other psychiatric inpatient programs, and in residential treatment centers for children.

Note: Clients can be duplicated between Rows: e.g., The same client may be served in both state psychiatric hospitals and community mental health centers during the same year and thus would be reported in counts for both rows.

Service Setting	Age 0-17			Age 18-20			Age 21-64			Age 65+			Age Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Total
Community Mental Health Programs	24,928	35,661	18	3,666	3,381	9	51,304	36,491	55	3,059	1,550	5	0	0	0	82,957	77,083	87	160,127
State Psychiatric Hospitals	7	7	0	129	254	0	2,420	3,774	0	178	220	0	0	0	0	2,734	4,255	0	6,989
Other Psychiatric Inpatient	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Residential Treatment Centers	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Comments on Data (for Age):

Comments on Data (for Gender):

Comments on Data (Overall):

"State Psych Hosp" includes KCPC, ARH, CSH, ESH, and WSH. In 2010, KCPC was reported as "Other Psychiatric Inpatient"; the change is to make this table compatible with our new CLD reporting that began in 2011.

Footnotes:

IV: Populations and Services Reports

Table 15A - Profile of Persons Served With SMI/SED by Age and Gender

This table provides a profile for the clients that received public funded mental health services in community mental health settings, in state psychiatric hospitals, in other psychiatric inpatient programs, and in residential treatment centers for children.

Service Setting	Age 0-17			Age 18-20			Age 21-64			Age 65+			Age Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Total
Community Mental Health Programs	11,325	17,701	7	1,131	1,125	1	22,930	15,230	2	1,914	821	0	0	0	0	37,300	34,877	10	72,187
State Psychiatric Hospitals	4	1	0	31	62	0	1,005	1,227	0	60	37	0	0	0	0	1,100	1,327	0	2,427
Other Psychiatric Inpatient	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Residential Treatment Centers	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Comments on Data (for Age):

Comments on Data (for Gender):

Comments on Data (Overall):

Note: Clients can be duplicated between Rows: e.g., The same client may be served in both state psychiatric hospitals and community mental health centers during the same year and thus would be reported in counts for both rows.

Instructions:

- States that have county psychiatric hospitals that serve as surrogate state hospitals should report persons served in such settings as receiving services in state hospitals.
- If forensic hospitals are part of the state mental health agency system include them.
- Persons who receive non-inpatient care in state psychiatric hospitals should be included in the Community MH Program Row.
- Persons who receive inpatient psychiatric care through a private provider or medical provider licensed and/or contracted through the SMHA should be counted in the "Other Psychiatric Inpatient" row. Persons who receive Medicaid funded inpatient services through a provider that is not licensed or contracted by the SMHA should not be counted here.
- A person who is served in both community settings and inpatient settings should be included in both rows.
- RTC: CMHS has a standardized definition of RTC for Children: "An organization, not licensed as a psychiatric hospital, whose primary purpose is the provision of individually planned programs of mental health treatment services in conjunction with residential care for children and youth primarily 17 years old and younger. It has a clinical program that is directed by a psychiatrist, psychologist, social worker, or psychiatric nurse who has a master's degree or doctorate. The primary reason for the admission of the clients is mental illness that can be classified by DSM-IV codes-other than the codes for mental retardation, developmental disorders, and substance-related disorders such as drug abuse and alcoholism (unless these are co-occurring with a mental illness)."

Footnotes:

IV: Populations and Services Reports

Table 15 B/C (URS Tables 14A/14B) - Profile of Persons With SMI/SED Served by Age, Gender, Race and Ethnicity

Table 15B

This is a developmental table similar to Table 2A. and 2B. This table requests counts for persons with SMI or SED using the definitions provided by the CMHS. Table 2A. and 2B. included all clients served by publicly operated or funded programs. This table counts only clients who meet the CMHS definition of SMI or SED. For many states, this table may be the same as Tables 2A. and 2B. For 2007, states should report using the Federal Definitions of SMI and SED if they can report them, if not, please report using your state's definitions of SMI and SED and provide information below describing your state's definition. Please report the data under the categories listed - "Total" are calculated automatically.

	Total			American Indian or Alaska Native			Asian			Black or African American			Native Hawaiian or Other Pacific Islander			White			Hispanic * use only if data for table 14b are not available			More Than One Race Reported			Race Not Available			
	Female	Male	Not Available	Total	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available
0-12 years	5,989	11,111	5	17,105	10	24	0	2	3	0	765	1,625	0	5	7	0	4,927	8,894	5	0	0	0	174	342	0	106	216	0
13-17 years	5,336	6,590	2	11,928	7	14	0	2	4	0	657	916	0	3	4	0	4,459	5,443	2	0	0	0	129	102	0	79	107	0
18-20 years	1,131	1,125	1	2,257	2	1	0	1	1	0	154	169	0	1	1	0	940	930	1	0	0	0	18	8	0	15	15	0
21-64 years	22,930	15,230	2	38,162	62	45	0	43	24	0	2,465	1,857	0	11	13	0	19,872	12,862	2	0	0	0	122	46	0	355	383	0
65-74 years	1,392	627	0	2,019	0	0	0	1	1	0	131	42	0	1	0	0	1,155	511	0	0	0	0	1	0	0	103	73	0
75+ years	522	194	0	716	0	1	0	0	0	0	34	9	0	1	0	0	312	107	0	0	0	0	0	0	0	175	77	0
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	37,300	34,877	10	72,187	81	85	0	49	33	0	4,206	4,618	0	22	25	0	31,665	28,747	10	0	0	0	444	498	0	833	871	0

Comments on Data (for Age):	<input type="text"/>
Comments on Data (for Gender):	<input type="text"/>
Comments on Data (for Race/Ethnicity):	The increases mainly occurred in the eastern regions of KY. It is expected that these regions are now more culturally open to reporting multi-race.
Comments on Data (Overall):	<input type="text"/>

1. State Definitions Match the Federal Definitions

Yes No Adults with SMI, if No describe or attach state definition:

See General Notes

Diagnoses included in the state SMI definition:

See General Notes

Yes No Children with SED, if No describe or attach state definition:

Diagnoses included in the state SED definition:

Table 15C

Of the total persons served, please indicate the age, gender and the number of persons who meet the Federal definition of SMI and SED and who are Hispanic/Latino or not Hispanic/Latino. The total persons served who meet the Federal definition of SMI or SED should be the total as indicated in Table 14A. Please report the data under the categories listed - "Total" are calculated automatically.

	Not Hispanic or Latino			Hispanic or Latino			Hispanic or Latino Origin Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Total
0-12 years	5,741	10,636	5	175	360	0	73	115	0	5,989	11,111	5	17,105
13-17 years	5,162	6,389	2	116	137	0	58	64	0	5,336	6,590	2	11,928
18-20 years	1,104	1,101	1	16	17	0	11	7	0	1,131	1,125	1	2,257
21-64 years	22,579	15,002	2	231	148	0	120	80	0	22,930	15,230	2	38,162
65-74 years	1,354	607	0	9	10	0	29	10	0	1,392	627	0	2,019
75+ years	499	186	0	1	0	0	22	8	0	522	194	0	716
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	36,439	33,921	10	548	672	0	313	284	0	37,300	34,877	10	72,187
Comments on Data (for Age):	<input type="text"/>												
Comments on Data (for Gender):	<input type="text"/>												
Comments on Data (for Race/Ethnicity):	<input type="text"/>												
Comments on Data (Overall):	Hispanic is known on CMHCclients & unknown for hospital clients.												

Footnotes:

IV: Populations and Services Reports

Table 16 (URS Table 6) - Profile of Client Turnover

Profile of Service Utilization	Total Served at Beginning of Year (unduplicated)	Admissions During the year (duplicated)	Discharges During the year (duplicated)	Length of Stay (in Days): Discharged Patients		For Clients in Facility for 1 Year or Less: Average Length of Stay (in Days): Residents at end of year		For Clients in Facility More Than 1 Year: Average Length of Stay (in Days): Residents at end of year	
				Average (Mean)	Median	Average (Mean)	Median	Average (Mean)	Median
State Hospitals	465	9,093	9,209						
Children (0 to 17 years)	0	8	8	6	4	0	0	0	0
Adults (18 yrs and over)	465	9,085	9,201	18	8	29	11	1,351	970
Age Not Available	0	0	0	0	0	0	0	0	0
Other Psychiatric Inpatient	0	0	0						
Children (0 to 17 years)	0	0	0	0	0	0	0	0	0
Adults (18 yrs and over)	0	0	0	0	0	0	0	0	0
Age Not Available	0	0	0	0	0	0	0	0	0
Residential Tx Centers	0	0	0						
Children (0 to 17 years)	0	0	0	0	0	0	0	0	0
Adults (18 yrs and over)	0	0	0	0	0	0	0	0	0
Age Not Available	0	0	0	0	0	0	0	0	0
Community Programs	59,861	111,656							
Children (0 to 17 years)	22,972	41,781							
Adults (18 yrs and over)	36,888	69,865							
Age Not Available	1	10							

Comments on Data (State Hospital):

Comments on Data (Other Inpatient):

Comments on Data
(Residential Treatment):

KY does not have Residential Tx Centers for Children.

Comments on Data
(Community Programs):

Covers all 14 CMHCs

Comments on Data (Overall):

Footnotes:

V: Performance Indicators and Accomplishments

Table 17 (URS Table 17) - Profile of Adults with Serious Mental Illnesses Receiving Specific Services During the Year

ADULTS WITH SERIOUS MENTAL ILLNESS				
	Receiving Family Psychoeducation	Receiving Integrated Treatment for Co-occurring Disorders (MH/SA)	Receiving Illness Self Management	Receiving Medication Management
Age				
18-20	0	0	0	0
21-64	0	0	0	0
65-74	0	0	0	0
75	0	0	0	0
Not Available	0	0	0	0
TOTAL	0	0	0	0
Gender				
Female	0	0	0	0
Male	0	0	0	0
Not Available	0	0	0	0
Race				
American Indian or Alaska Native	0	0	0	0
Asian	0	0	0	0
Black or African American	0	0	0	0
Native Hawaiian or Pacific Islander	0	0	0	0
White	0	0	0	0
Hispanic *	0	0	0	0
More Than One Race Reported	0	0	0	0

Race Not Available	0	0	0	0
--------------------	---	---	---	---

Hispanic / Latino Origin				
Hispanic / Latino origin	0	0	0	0
Non Hispanic / Latino	0	0	0	0
Not Available	0	0	0	0

Do you monitor fidelity for this service?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
IF YES,				
What fidelity measure do you use?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Who measures fidelity?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
How often is fidelity measured?	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Is the SAMHSA EBP Toolkit used to guide EBP Implementation?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
Have staff been specifically trained to implement the EBP?	<input type="checkbox"/> Yes <input type="checkbox"/> No			

*Hispanic is part of the total served. Yes No

Comments on Data (overall): <input type="text" value="KY has not implemented these EBPs according to the definitions in URS."/>
Comments on Data (Family Psychoeducation): <input type="text"/>
Comments on Data (Integrated Treatment for Co-occurring Disorders): <input type="text"/>
Comments on Data (Illness Self Management): <input type="text"/>
Comments on Data (Medication Management): <input type="text"/>

*Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as a Ethnic Origin are not available

Footnotes:

V: Performance Indicators and Accomplishments

Table 18A (URS Table 4) - Profile of Adult Clients By Employment Status

This table describes the status of adults clients served in the report year by the public mental health system in terms of employment status. The focus is on employment for the working age population, recognizing, however, that there are clients who are disabled, retired or who are homemakers, care-givers, etc and not a part of the workforce. These persons should be reported in the "Not in Labor Force?" category. This category has two subcategories: retired and other. (The totals of these two categories should equal the number in the row for "Not in Labor Force?". Unemployed refers to persons who are looking for work but have not found employment.

Data should be reported for clients in non-institutional settings at time of discharge or last evaluation.

Adults Served	18-20			21-64			65+			Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Avail	Total
Employed: Competitively Employed Full or Part Time (includes Supported Employment)	459	344	5	8,221	5,966	11	108	70	1	0	0	0	8,788	6,380	17	15,185
Unemployed	1,062	913	0	12,705	11,077	10	95	65	1	0	0	0	13,862	12,055	11	25,928
Not In Labor Force: Retired, Sheltered Employment, Sheltered Workshops, Other (homemaker, student, volunteer, disabled, etc.)	2,008	1,914	3	28,604	16,907	28	2,453	1,158	3	0	0	0	33,065	19,979	34	53,078
Not Available	137	210	1	1,774	2,541	6	403	257	0	0	0	0	2,314	3,008	7	5,329
Total	3,666	3,381	9	51,304	36,491	55	3,059	1,550	5	0	0	0	58,029	41,422	69	99,520

How Often Does your State Measure Employment Status? At Admission At Discharge Monthly Quarterly Other, describe:

What populations are included: All clients Only selected groups, describe:

Comments on Data (for Age):

Comments on Data (for Gender):

Comments on Data (Overall):

Footnotes:

V: Performance Indicators and Accomplishments

Table 18B (URS Table 4A) - Profile Of Adult Clients By Employment Status: By Primary Diagnosis Reported

The workgroup exploring employment found that the primary diagnosis of consumers results in major differences in employment status. The workgroup has recommended that we explore the ability of states to report employment by primary diagnosis and the impact of diagnosis on employment. The workgroup recommended 5 diagnostic clusters for reporting.

Clients Primary Diagnosis	Employed: Competitively Employed Full or Part Time (includes Supported Employment)	Unemployed	Not In Labor Force: Retired, Sheltered Employment, Sheltered Workshops, Other (homemaker, student, volunteer, disabled, etc.)	Employment Status Not Available	Total
Schizophrenia & Related Disorders (295)	393	771	7,038	516	8,718
Bipolar and Mood Disorders (296, 300.4, 301.11, 301.13, 311)	8,249	13,872	29,625	2,467	54,213
Other Psychoses (297, 298)	200	432	1,398	269	2,299
All Other Diagnoses	6,343	10,853	15,017	2,077	34,290
No Dx and Deferred DX (799.9, V71.09)	0	0	0	0	0
Diagnosis Total	15,185	25,928	53,078	5,329	99,520

Comments on Data (for Diagnosis):

Footnotes:

V: Performance Indicators and Accomplishments

Table 19 (URS Table 15) - Living Situation Profile

Number of Clients in Each Living Situation as Collected by the Most Recent Assessment in the Reporting Period
All Mental Health Programs by Age, Gender, and Race/Ethnicity

Please provide unduplicated counts, if possible. This table provides an aggregate profile of persons served in the reporting year. The reporting year should be the latest state fiscal year for which data are available. This profile is based on a client's last known Living Situation.

Please report the data under the Living Situation categories listed - "Total" are calculated automatically.

	Private Residence	Foster Home	Residential Care	Crisis Residence	Children's Residential Treatment	Institutional Setting	Jail / Correctional Facility	Homeless / Shelter	Other	NA	Total
0-17	57,215	1,751	508	0	0	148	18	131	0	838	60,609
18-64	55,915	196	3,637	0	0	3,844	1,041	4,559	0	27,817	97,009
65+	3,003	4	378	0	0	617	7	39	0	784	4,832
Not Available	0	0	0	0	0	0	0	0	0	0	0
TOTAL	116,133	1,951	4,523	0	0	4,609	1,066	4,729	0	29,439	162,450
Female	61,704	1,001	2,030	0	0	1,826	353	1,811	0	15,001	83,726
Male	54,374	950	2,490	0	0	2,783	713	2,918	0	14,409	78,637
Not Available	55	0	3	0	0	0	0	0	0	29	87
TOTAL	116,133	1,951	4,523	0	0	4,609	1,066	4,729	0	29,439	162,450
American Indian/Alaska Native	216	7	7	0	0	1	2	10	0	52	295
Asian	113	0	1	0	0	6	1	5	0	51	177

Black/African American	12,103	283	535	0	0	495	140	934	0	2,922	17,412
Hawaiian/Pacific Islander	92	0	1	0	0	3	0	6	0	24	126
White/Caucasian	97,362	1,532	3,806	0	0	3,880	898	3,484	0	24,686	135,648
Hispanic *	0	0	0	0	0	0	0	0	0	0	0
More than One Race Reported	1,502	56	25	0	0	9	7	30	0	167	1,796
Race/Ethnicity Not Available	4,745	73	148	0	0	215	18	260	0	1,537	6,996
TOTAL	116,133	1,951	4,523	0	0	4,609	1,066	4,729	0	29,439	162,450

	Private Residence	Foster Home	Residential Care	Crisis Residence	Children's Residential Treatment	Institutional Setting	Jail / Correctional Facility	Homeless / Shelter	Other	NA	Total
Hispanic or Latino Origin	2,240	72	48	0	0	26	10	47	0	366	2,809
Non Hispanic or Latino Origin	113,219	1,861	4,441	0	0	2,192	1,051	4,663	0	28,669	156,096
Hispanic or Latino Origin Not Available	674	18	34	0	0	2,391	5	19	0	404	3,545
TOTAL	116,133	1,951	4,523	0	0	4,609	1,066	4,729	0	29,439	162,450

Comments on Data:	Hispanic is known on CMHC clients & unknown for hosp.clients. Crisis is not considered a living situation but instead is a service delivered. No child res. Tx facilities in KY.
How Often Does your State Measure Living Situation?	<input checked="" type="radio"/> At Admission <input type="radio"/> At Discharge <input type="radio"/> Monthly <input type="radio"/> Quarterly <input type="radio"/> Other: Describe <input type="text"/>

*Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as an Ethnic Origin are not available

Footnotes:

V: Performance Indicators and Accomplishments

Table 20 (URS Table 19B) - Profile of Change in School Attendance

For Consumers in Service for at least 12 months

T1		T2			T1 to T2 Change			Impact of Services										
"T1" Prior 12 months (more than 1 year ago)		"T2" Most Recent 12 months (this year)			If Suspended at T1 (Prior 12 Months)			If Not Suspended at T1 (Prior 12 Months)			Over the last 12 months, the number of days my child was in school have							
# Suspended or Expelled	# Not Suspended or Expelled	No Response	# Suspended or Expelled	# Not Suspended or Expelled	No Response	# with an Expelled or Suspended in T2	# with No Suspension or Expulsion at T2	No Response	# with an Expelled or Suspended in T2	# with No Suspension or Expulsion at T2	No Response	# Greater (Improved)	# Stayed the Same	# Fewer days (gotten worse)	# Not Applicable	No Response	Total Responses	
Total	28	181	0	22	187	0	10	18	0	12	169	0	20	170	19	0	0	209
Gender																		
Female	7	65	0	6	66	0	1	6	0	5	60	0	6	60	6	0	0	72
Male	21	116	0	16	121	0	9	12	0	7	109	0	14	110	13	0	0	137
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Age																		
Under 18	28	172	0	22	180	0	10	18	0	12	160	0	20	163	19	0	0	202

For Consumers Who Began Mental Health Services during the past 12 months

T1		T2			T1 to T2 Change			Impact of Services										
"T1" 12 months prior to beginning services		"T2" Since Beginning Services (this year)			If Suspended at T1 (Prior 12 Months)			If Not Suspended at T1 (Prior 12 Months)			Since starting to receive MH Services, the number of days my child was in school have							
# Suspended or Expelled	# Not Suspended or Expelled	No Response	# Suspended or Expelled	# Not Suspended or Expelled	No Response	# with an Expelled or Suspended in T2	# with No Suspension or Expulsion at T2	No Response	# with an Expelled or Suspended in T2	# with No Suspension or Expulsion at T2	No Response	# Greater (Improved)	# Stayed the Same	# Fewer days (gotten worse)	# Not Applicable	No Response	Total Responses	
Total	16	60	0	16	60	0	7	9	0	9	51	0	13	52	11	0	0	76
Gender																		

Female	4	20	0	5	19	0	2	2	0	3	17	0	3	18	3	0	0	24
Male	12	40	0	11	41	0	5	7	0	6	34	0	10	34	8	0	0	52
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Age																		
Under 18	15	59	0	16	58	0	7	8	0	9	50	0	12	51	11	0	0	74

Source of School Attendance Information:

- 1. Consumer survey (recommended items)
- 2. Other Survey: Please send us items
- 3. Mental health MIS
- 4. State Education Department
- 5. Local Schools/Education Agencies
- 6. Other (specify)

Measure of School Attendance:

- 1. School Attendance
- 2. Other (specify):

Mental health programs include:

- 1. Children with SED only
- 2. Other Children (specify)
- 3. Both

Region for which data are reported:

- 1. The whole state
- 2. Less than the whole state (please describe)

What is the Total Number of Persons Surveyed or for whom School Attendance Data Are Reported
Child/Adolescents

285

1. If data is from a survey, what is the total number of people from which the sample was drawn?
2. What was your sample size? (How many individuals were selected for the sample)?
3. How many survey contacts were made? (surveys to valid phone numbers or addresses)
4. How many surveys were completed? (survey forms returned or calls completed) If data source was not a Survey, how many persons were data available for?
5. What was your response rate? (number of Completed surveys divided by number of Contacts)

285
285
285
285
100 %

State Comments/Notes:

Data are on a subset of KY's SED population - only IMPACT Program clients who are most severe. IMPACT had follow-up interviews at 6,12,18, & 24 months during 2011; starting with 2012, only 12 month follow-ups were completed.

Footnotes:

V: Performance Indicators and Accomplishments

Table 21 (URS Table 9) NOMS Social Connectedness and Improved Functioning

Adult Consumer Survey Results	Number of Positive Responses	Responses	Percent Positive (calculated)
1. Social Connectedness	4,143	5,190	80%
2. Functioning	3,779	5,102	74%
Child/Adolescent Consumer Survey Results	Number of Positive Responses	Responses	Percent Positive (calculated)
3. Social Connectedness	2,761	2,983	93%
4. Functioning	1,945	2,865	68%
Comments on Data:			<input type="text"/>

Adult Social Connectedness and Functioning Measures

1. Did you use the recommended new Social Connectedness Questions? Yes No Measure used
2. Did you use the recommended new Functioning Domain Questions? Yes No Measure used
3. Did you collect these as part of your MHSIP Adult Consumer Survey? Yes No
If No, what source did you use?

Child/Family Social Connectedness and Functioning Measures

4. Did you use the recommended new Social Connectedness Questions? Yes No Measure used
5. Did you use the recommended new Functioning Domain Questions? Yes No Measure used
6. Did you collect these as part of your YSS-F Survey? Yes No
If No, what source did you use?

Footnotes:

V: Performance Indicators and Accomplishments

Table 22A (URS Table 11) - Summary Profile of Client Evaluation of Care

Adults Consumer Survey Results:	Number of Positive Responses	Responses	Confidence Interval*
1. Reporting Positively About Access.	4,244	4,619	0
2. Reporting Positively About Quality and Appropriateness for Adults	4,239	4,355	0
3. Reporting Positively About Outcomes.	2,726	3,525	0
4. Adults Reporting on Participation In Treatment Planning.	5,009	5,420	0
5. Adults Positively about General Satisfaction with Services.	5,056	5,491	0

Child/Adolescent Consumer Survey Results:	Number of Positive Responses	Responses	Confidence Interval*
1. Reporting Positively About Access.	3,188	3,387	0
2. Reporting Positively about General Satisfaction for Children.	2,947	3,170	0
3. Reporting Positively about Outcomes for Children.	2,119	3,049	0
4. Family Members Reporting on Participation In Treatment Planning for their Children	3,068	3,262	0
5. Family Members Reporting High Cultural Sensitivity of Staff.	2,820	2,954	0

Please enter the number of persons responding positively to the questions and the number of total responses within each group. Percent positive will be calculated from these data.

* Please report Confidence Intervals at the 95% level. See directions below regarding the calculation of confidence intervals.

Comments on Data:

See General Notes

Adult Consumer Surveys

1. Was the Official 28 Item MHSIP Adult Outpatient Consumer Survey Used? Yes No

1.a. If no, which version:

- 1. Original 40 Item Version Yes
- 2. 21-Item Version Yes
- 3. State Variation of MHSIP Yes
- 4. Other Consumer Survey Yes

1.b. If other, please attach instrument used.

1.c. Did you use any translations of the MHSIP into another language? 1. Spanish

2. Other Language:

Adult Survey Approach

2. Populations covered in survey? (Note all surveys should cover all regions of state) All Consumers In State Sample of MH Consumers

2.a. If a sample was used, what sample methodology was used? 1. Random Sample

2. Stratified / Random Stratified Sample

3. Convenience Sample

4. Other Sample:

2.b. Do you survey only people currently in services, or do you also Survey Persons no longer in service? 1. Persons Currently Receiving Services

2. Persons No Longer Receiving Services

3. Please Describe the populations included in your sample: (e.g., all adults, only adults with SMI, etc.) 1. All Adult Consumers In State

2. Adults With Serious Mental Illness

3. Adults Who Were Medicaid Eligible Or In Medicaid Managed Care

4. Other, describe (for example, if you survey anyone served in the last 3 months, describe that here):

4. Methodology of collecting data? (Check all that apply)

	Self-Administered	Interview
Phone	<input type="radio"/> Yes	<input type="radio"/> Yes
Mail	<input type="radio"/> Yes	
Face-to-face	<input type="radio"/> Yes	<input type="radio"/> Yes
Web-Based	<input type="radio"/> Yes	<input type="radio"/> Yes

4.b. Who administered the Survey? (Check all that apply) 1. MH Consumers

2. Family Members

3. Professional Interviewers

4. MH Clinicians

5. Non Direct Treatment Staff

6. Other, describe:

5. Are Responses Anonymous, Confidential and/or Linked to other Patient Databases?
- 1. Responses are Anonymous
 - 2. Responses are Confidential
 - 3. Responses are Matched to Client Databases

6. Sample Size and Response Rate

- 6.a. How Many Surveys were Attempted (sent out or calls initiated)?
- 6.b. How many survey Contacts were made? (surveys to valid phone numbers or addresses)?
- 6.c. How many surveys were completed? (survey forms returned or calls completed)
- 6.d. What was your response rate? (number of Completed surveys divided by number of Contacts)
- 6.e. If you receive "blank" surveys back from consumers (surveys with no responses on them), did you count these surveys as "completed" for the calculation of response rates?

Yes No

7. Who Conducted the Survey

- 7.a. SMHA Conducted or contracted for the Survey (survey done at state level) Yes No
- 7.b. Local Mental Health Providers/County mental health providers conducted or contracted for the survey (survey was done at the local or regional level) Yes No

7.c. Other, describe:

We contract with our 14 CMHCs and they implement a convenience sample conducted in the spring in a sample of their outpatient clinics. We do not receive # of initial attempts or contacts (questions 6a & 6b); we only receive the completed surveys.

* Report Confidence Intervals at the 95% confidence level

Note: The confidence interval is the plus-or-minus figure usually reported in newspaper or television opinion poll results. For example, if you use a confidence interval of 4 and 47% percent of your sample picks an answer you can be "sure" that if you had asked the question of the entire relevant population between 43% (47-4) and 51% (47+4) would have picked that answer. The confidence level tells you how sure you can be. It is expressed as a percentage and represents how often the true percentage of the population who would pick an answer lies within the confidence interval. The 95% confidence level means you can be 95% certain; the 99% confidence level means you can be 99% certain. Most researchers use the 95% confidence level. When you put the confidence level and the confidence interval together, you can say that you are 95% sure that the true percentage of the population is between 43% and 51%. (From www.surveysystem.com)

Child / Family Consumer Surveys

1. Was the MHSIP Children / Family Survey (YSS-F) Used? Yes
- 1.a. If no, what survey did you use?
- If no, please attach instrument used.*
- 1.c. Did you use any translations of the Child MHSIP into another language?
- 1. Spanish
 - 2. Other Language:

Child Survey Approach

2. Populations covered in survey? (Note all surveys should cover all regions of state) All Consumers In State Sample of MH Consumers
- 2.a. If a sample was used, what sample methodology was used?
- 1. Random Sample
 - 2. Stratified / Random Stratified Sample
 - 3. Convenience Sample
 - 4. Other Sample:

- 2.b. Do you survey only people currently in services, or do you also Survey Persons no longer in service?
- 1. Persons Currently Receiving Services
 - 2. Persons No Longer Receiving Services

2a. If yes to 2, please describe how your survey persons no longer receiving services.

3. Please Describe the populations included in your sample: (e.g., all children, only children with SED, etc.)
- 1. All Child Consumers In State
 - 2. Children With Serious Mental Illness
 - 3. Children who were Medicaid Eligible or in Medicaid Managed Care
 - 4. Other, describe (for example, if you survey anyone served in the last 3 months, describe that here):

4. Methodology of collecting data? (Check all that apply)

	Self-Administered	Interview
Phone	<input type="radio"/> Yes	<input type="radio"/> Yes
Mail	<input type="radio"/> Yes	
Face-to-face	<input type="radio"/> Yes	<input type="radio"/> Yes
Web-Based	<input type="radio"/> Yes	<input type="radio"/> Yes

- 4.b. Who administered the Survey? (Check all that apply)
- 1. MH Consumers
 - 2. Family Members
 - 3. Professional Interviewers
 - 4. MH Clinicians
 - 5. Non Direct Treatment Staff
 - 6. Other, describe:

5. Are Responses Anonymous, Confidential and/or Linked to other Patient Databases?
- 1. Responses are Anonymous
 - 2. Responses are Confidential
 - 3. Responses are Matched to Client Databases

6. Sample Size and Response Rate

6.a. How Many Surveys were Attempted (sent out or calls initiated)?

6.b. How many survey Contacts were made? (surveys to valid phone numbers or addresses)?

6.c. How many surveys were completed? (survey forms returned or calls completed)

6.d. What was your response rate? (number of Completed surveys divided by number of Contacts)

6.e. If you receive "blank" surveys back from consumers (surveys with no responses on them), did you count these surveys as "completed" for the calculation of response rates? Yes

No

7. Who Conducted the Survey

7.a. SMHA Conducted or contracted for the Survey (survey done at state level) Yes No

7.b. Local Mental Health Providers/County mental health providers conducted or contracted for the survey (survey was done at the local or regional level) Yes No

7.c. Other, describe:
We contract with our 14 CMHCs and they implement a convenience sample conducted in the spring in a sample of their outpatient clinics. We do not receive # of initial attempts or contacts (questions 6a & 6b); we only receive the completed surveys.

Footnotes:

V: Performance Indicators and Accomplishments

Table 22B (URS Table 11A) - Consumer Evaluation of Care By Consumer Characteristics: (Optional Table by Race/Ethnicity)

Adult Consumer Survey Results:

*State used the 2 question version for Hispanic Origin Yes No Please check the appropriate box on the left. The "Totals" formula will automatically adjust to account for which method your state used to ask about Hispanic Origin/Status

Indicators	Total		American Indian or Alaska Native		Asian		Black or African American		Native Hawaiian or Other Pacific Islander		White		More than One Race Reported		Other / Not Available		Hispanic Origin*	
	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses
1. Reporting Positively About Access.	4,244	4,619	121	125	11	15	361	410	18	19	3,399	3,672	0	0	334	378	74	82
2. Reporting Positively About Quality and Appropriateness.	4,239	4,355	72	74	17	18	396	407	8	8	3,418	3,505	0	0	328	343	76	82
3. Reporting Positively About Outcomes.	2,726	3,525	79	98	6	8	256	319	13	15	2,198	2,848	0	0	174	237	42	59
4. Reporting Positively about Participation in Treatment Planning	5,009	5,420	147	155	19	22	422	471	30	30	3,974	4,281	0	0	417	461	96	106
5. Reporting Positively about General Satisfaction	5,056	5,491	136	146	10	15	404	448	21	25	4,095	4,410	0	0	390	447	79	90
6. Social Connectedness	4,143	5,190	114	142	11	20	387	460	22	30	3,328	4,173	0	0	281	365	78	103
7. Functioning	3,779	5,102	101	130	10	20	353	445	22	28	3,029	4,126	0	0	264	353	69	96

Child/Adolescent Family Survey Results:

*State used the 2 question version for Hispanic Origin Yes No Please check the appropriate box on the left. The "Totals" formula will automatically adjust to account for which method your state used to ask about Hispanic Origin/Status

Indicators	Total		American Indian or Alaska Native		Asian		Black or African American		Native Hawaiian or Other Pacific Islander		White		More than One Race Reported		Other / Not Available		Hispanic Origin*	
	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses
Reporting Positively About Access.	3,188	3,387	36	40	244	246	269	302	2	2	2,322	2,454	0	0	315	343	280	295
Reporting Positively About General	2,947	3,170	28	30	238	240	237	278	1	1	2,165	2,316	0	0	278	305	268	276

Satisfaction																		
Reporting Positively About Outcomes.	2,119	3,049	35	41	204	232	155	270	2	3	1,529	2,210	0	0	194	293	216	274
Reporting Positively Participation in Treatment Planning for their Children.	3,068	3,262	31	36	237	242	268	291	0	1	2,232	2,371	0	0	300	321	269	278
Reporting Positively About Cultural Sensitivity of Staff.	2,820	2,954	41	43	222	231	236	256	2	2	2,042	2,124	0	0	277	298	259	270
6. Social Connectedness	2,761	2,983	23	25	13	15	255	284	19	21	2,219	2,382	0	0	232	256	102	112
7. Functioning	1,945	2,865	32	38	10	10	156	268	2	3	1,554	2,255	0	0	191	291	73	113

Comments on Data:

Please enter the number of persons responding positively to the questions and the number of total responses within each group. Percent positive will be calculated from these data.

Footnotes:

V: Performance Indicators and Accomplishments

Table 23 (URS Table 19A) - Profile Of Criminal Justice Or Juvenile Justice Involvement

1. If your SMHA has data on Arrest records from alternatives sources, you may also report that here. If you only have data for arrests for consumers in this year, please report that in the T2 columns. If you can calculate the change in Arrests from T1 to T2, please use all those columns.
2. Please complete the check boxes at the bottom of the table to help explain the data sources that you used to complete this table.
3. Please tell us anything else that would help us to understand your indicator (e.g., list survey or MIS questions; describe linking methodology and data sources; specify time period for criminal justice involvement; explain whether treatment data are collected).

For Consumers in Service for at least 12 months

	T1			T2			T1 to T2 Change						Assessment of the Impact of Services					
	"T1" Prior 12 months (more than 1 year ago)			"T2" Most Recent 12 months (this year)			If Arrested at T1 (Prior 12 Months)			If Not Arrested at T1 (Prior 12 Months)			Over the last 12 months, my encounters with the police have...					
	Arrested	Not Arrested	No Response	Arrested	Not Arrested	No Response	# with an Arrest in T2	# with No Arrest at T2	No Response	# with an Arrest in T2	# with No Arrest at T2	No Response	# Reduced (fewer encounters)	# Stayed the Same	# Increased	# Not Applicable	No Response	Total Responses
Total	109	3575	0	120	3564	0	32	77	0	88	3487	0	92	3498	94	0	0	3684
Total Children/Youth (under age 18)	5	105	0	2	108	0	0	5	0	2	103	0	5	103	2	0	0	110
Female	3	45	0	1	47	0	0	3	0	1	44	0	3	44	1	0	0	48
Male	2	60	0	1	61	0	0	2	0	1	59	0	2	59	1	0	0	62
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Adults (age 18 and over)	104	3470	0	118	3456	0	32	72	0	86	3384	0	87	3395	92	0	0	3574
Female	52	1713	0	57	1708	0	15	37	0	42	1671	0	43	1678	44	0	0	1765
Male	52	1757	0	61	1748	0	17	35	0	44	1713	0	44	1717	48	0	0	1809
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

For Consumers Who Began Mental Health Services during the past 12 months

	T1		T2		T1 to T2 Change				Assessment of the Impact of Services					
	"T1" 12 months prior to beginning services		"T2" Since Beginning Services (this year)		If Arrested at T1 (Prior 12 Months)		If Not Arrested at T1 (Prior 12 Months)		Since starting to receive MH Services, my encounters with the police have...					

	Arrested	Not Arrested	No Response	Arrested	Not Arrested	No Response	# with an Arrest in T2	# with No Arrest at T2	No Response	# with an Arrest in T2	# with No Arrest at T2	No Response	# Reduced (fewer encounters)	# Stayed the Same	# Increased	# Not Applicable	No Response	Total Responses
Total	421	5458	11	450	5434	0	175	246	0	270	5188	0	322	5225	332	11	0	5890
Total Children/Youth (under age 18)	15	530	11	25	520	0	8	7	0	17	513	0	8	519	18	11	0	556
Female	5	188	3	7	186	0	3	2	0	4	184	0	2	186	5	3	0	196
Male	10	342	8	18	334	0	5	5	0	13	329	0	6	333	13	8	0	360
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Adults (age 18 and over)	406	4928	0	425	4914	0	167	239	0	253	4675	0	314	4706	314	0	0	5334
Female	188	2481	0	208	2466	0	82	106	0	121	2360	0	143	2378	148	0	0	2669
Male	218	2447	0	217	2448	0	85	133	0	132	2315	0	171	2328	166	0	0	2665
Not Available	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Time period in which services were received:

7/1/2011 - 6/30/2012

Please Describe the Sources of your Criminal Justice Data

Source of adult criminal justice information:

- 1. Consumer survey (recommended questions)
- 2. Other Consumer Survey: Please send copy of questions
- 3. Mental health MIS
- 4. State criminal justice agency
- 5. Local criminal justice agency
- 6. Other (specify)

Sources of children/youth criminal justice information:

- 1. Consumer survey (recommended questions)
- 2. Other Consumer Survey: Please send copy of questions
- 3. Mental health MIS
- 4. State criminal/juvenile justice agency
- 5. Local criminal/juvenile justice agency
- 6. Other (specify)

Measure of adult criminal justice involvement:

- 1. Arrests
- 2. Other (specify)

Measure of children/youth criminal justice involvement:

- 1. Arrests
- 2. Other (specify)

Mental health programs included:

- 1. Adults with SMI only
- 2. Other adults (specify)
- 3. Both (all adults)
- 1. Children with SMI only
- 2. Other Children (specify)
- 3. Both (all Children)

Region for which adult data are reported:

1. The whole state

2. Less than the whole state (please describe)

Region for which children/youth data are reported:

1. The whole state

2. Less than the whole state (please describe)

What is the Total Number of Persons Surveyed or for whom Criminal Justice Data Are Reported

1. What was your sample size? (How many individuals were selected for the sample)?

Child/Adolescents

Adults

2. How many survey Contacts were made? (surveys to valid phone numbers or addresses)

3. How many surveys were completed? (survey forms returned or calls completed) If data source was not a Survey, How many persons were CJ data available for?

4. What was your response rate? (number of Completed surveys divided by number of Contacts)

State Comments/Notes:

MIS currently only contains Arrest information on a subset of the clients being treated for mental health disorders. Generally, only those clients with substance abuse diagnoses (in addition to mental health disorders) are included in the data herein.

Footnotes:

V: Performance Indicators and Accomplishments

Table 24 (URS Table 16) - Profile of Adults With Serious Mental Illnesses And Children With Serious Emotional Disturbances Receiving Specific Services

Age	Adults with Serious Mental Illness (SMI)				Children with Serious Emotional Disturbance (SED)			
	N Receiving Supported Housing	N Receiving Supported Employment	N Receiving Assertive Community Treatment	Total unduplicated N - Adults with SMI Served	N Receiving Therapeutic Foster Care	N Receiving Multi-Systemic Therapy	N Receiving Family Functional Therapy	Total unduplicated N - Children with SED
0-12 years	0	0	0	0	90	0	0	17,105
13-17 years	0	0	0	0	104	0	0	11,928
18-20 years	2	11	0	1,269	6	0	0	988
21-64 years	129	456	0	38,162	0	0	0	0
65-74 years	3	5	0	2,019	0	0	0	0
75+ years	2	0	0	716	0	0	0	0
Not Available	0	0	0	0	0	0	0	0
Total	136	472	0	42,166	200	0	0	30,021

Gender	Adults with Serious Mental Illness (SMI)				Children with Serious Emotional Disturbance (SED)			
	N Receiving Supported Housing	N Receiving Supported Employment	N Receiving Assertive Community Treatment	Total unduplicated N - Adults with SMI Served	N Receiving Therapeutic Foster Care	N Receiving Multi-Systemic Therapy	N Receiving Family Functional Therapy	Total unduplicated N - Children with SED
Female	83	226	0	25,538	89	0	0	11,762
Male	53	246	0	16,626	111	0	0	18,251
Not Available	0	0	0	2	0	0	0	8

Ethnicity	Adults with Serious Mental Illness (SMI)				Children with Serious Emotional Disturbance (SED)			
	N Receiving Supported Housing	N Receiving Supported Employment	N Receiving Assertive Community Treatment	Total unduplicated N - Adults with SMI Served	N Receiving Therapeutic Foster Care	N Receiving Multi-Systemic Therapy	N Receiving Family Functional Therapy	Total unduplicated N - Children with SED
American Indian / Alaska Native	1	1	0	110	1	0	0	56
Asian	0	1	0	71	0	0	0	11
Black / African American	12	120	0	4,713	25	0	0	4,111
Hawaiian / Pacific Islander	0	0	0	27	0	0	0	20

White	119	337	0	35,880	146	0	0	24,542
Hispanic *	0	0	0	0	0	0	0	0
More than one race	0	3	0	183	13	0	0	759
Not Available	4	10	0	1,182	15	0	0	522

Hispanic/Latino Origin	Adults with Serious Mental Illness (SMI)				Children with Serious Emotional Disturbance (SED)			
	N Receiving Supported Housing	N Receiving Supported Employment	N Receiving Assertive Community Treatment	Total unduplicated N - Adults with SMI Served	N Receiving Therapeutic Foster Care	N Receiving Multi-Systemic Therapy	N Receiving Family Functional Therapy	Total unduplicated N - Children with SED
Hispanic / Latino origin	0	2	0	420	4	0	0	800
Non Hispanic / Latino	135	468	0	41,470	196	0	0	28,900
Not Available	1	2	0	276	0	0	0	321

	Adults with Serious Mental Illness (SMI)				Children with Serious Emotional Disturbance (SED)			
	N Receiving Supported Housing	N Receiving Supported Employment	N Receiving Assertive Community Treatment	Total unduplicated N - Adults with SMI Served	N Receiving Therapeutic Foster Care	N Receiving Multi-Systemic Therapy	N Receiving Family Functional Therapy	Total unduplicated N - Children with SED

Do you monitor fidelity for this service?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
IF YES,								
What fidelity measure do you use?	<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	
Who measures fidelity?	<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	
How often is fidelity measured?	<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	
Is the SAMHSA EBP Toolkit used to guide EBP Implementation?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Have staff been specifically trained to implement the EBP?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

* Hispanic is part of the total served.

Yes No

Comments on Data (overall):

Comments on Data (Supported Housing):

During the last year, the BHDID has required CMHCs to spend 50% of their Block Grant allocations on EBPs; previously, this requirement had not existed. It is expected that this has increased reporting of EBPs including Supported Housing.

Comments on Data (Supported Employment):

Training was supported by a Dartmouth Grant Award.

Comments on Data (Assertive Community Treatment):

KY BHDID does not have funding needed to support ACT.

Comments on Data (Therapeutic Foster Care):

Comments on Data (Multi-Systemic Therapy):

KY BHDID does not have funding needed to support MST.

Comments on Data (Family Functional Therapy):

KY BHDID does not have funding needed to support FFT.

* Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as a Ethnic Origin are not available

Footnotes:

V: Performance Indicators and Accomplishments

Table 25A (URS Table 20A) - Profile of Non-Forensic (Voluntary and Civil-Involuntary) Patients Readmission to Any State Psychiatric Inpatient Hospital Within 30/180 Days of Discharge

	Total number of Discharges in Year	Number of Readmissions to ANY STATE Hospital within		Percent Readmitted	
		30 days	180 days	30 days	180 days
TOTAL	8375	808	2111	9.65 %	25.21 %
Age					
0-12 years	0	0	0	0.00 %	0.00 %
13-17 years	7	0	0	0.00 %	0.00 %
18-20 years	445	52	99	11.69 %	22.25 %
21-64 years	7474	727	1930	9.73 %	25.82 %
65-74 years	316	21	66	6.65 %	20.89 %
75+ years	133	8	16	6.02 %	12.03 %
Not Available	0	0	0	0.00 %	0.00 %
Gender					
Female	3516	321	864	9.13 %	24.57 %
Male	4859	487	1247	10.02 %	25.66 %
Gender Not Available	0	0	0	0.00 %	0.00 %
Race					
American Indian/Alaska Native	3	0	0	0.00 %	0.00 %
Asian	17	2	6	11.76 %	35.29 %
Black/African American	826	52	206	6.30 %	24.94 %
Hawaiian/Pacific Islander	0	0	0	0.00 %	0.00 %

White	7410	741	1868	10.00 %	25.21 %
Hispanic *	48	4	10	8.33 %	20.83 %
More than one race	15	1	3	6.67 %	20.00 %
Race Not Available	56	8	18	14.29 %	32.14 %
Hispanic/Latino Origin					
Hispanic/Latino Origin	48	4	10	8.33 %	20.83 %
Non Hispanic/Latino	8327	804	2101	9.66 %	25.23 %
Hispanic/Latino Origin Not Available	0	0	0	0.00 %	0.00 %

Are Forensic Patients Included? Yes No

Comments on Data:

ARH, CSH, ESH, WSH only. Hispanic is reported as a Race in KY Facility data. "Asian" and "Pacific Islander" are reported in the same category in KY Facility data; "Hawaiian" is not collected by facility data.

**Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as a Ethnic Origin are not available*

Footnotes:

V: Performance Indicators and Accomplishments

Table 25B (URS Table 20B) - Profile of Forensic Patients Readmission to Any State Psychiatric Inpatient Hospital Within 30/180 Days of Discharge

	Total number of Discharges in Year	Number of Readmissions to ANY STATE Hospital within		Percent Readmitted	
		30 days	180 days	30 days	180 days
TOTAL	834	0	12	0.00 %	1.44 %
Age					
0-12 years	0	0	0	0.00 %	0.00 %
13-17 years	1	0	0	0.00 %	0.00 %
18-20 years	51	0	0	0.00 %	0.00 %
21-64 years	755	0	12	0.00 %	1.59 %
65-74 years	24	0	0	0.00 %	0.00 %
75+ years	3	0	0	0.00 %	0.00 %
Not Available	0	0	0	0.00 %	0.00 %
Gender					
Female	113	0	1	0.00 %	0.88 %
Male	721	0	11	0.00 %	1.53 %
Gender Not Available	0	0	0	0.00 %	0.00 %
Race					
American Indian/Alaska Native	0	0	0	0.00 %	0.00 %
Asian	2	0	0	0.00 %	0.00 %
Black/African American	227	0	5	0.00 %	2.20 %
Hawaiian/Pacific Islander	0	0	0	0.00 %	0.00 %

White	600	0	7	0.00 %	1.17 %
Hispanic *	3	0	0	0.00 %	0.00 %
More than one race	2	0	0	0.00 %	0.00 %
Race Not Available	0	0	0	0.00 %	0.00 %
Hispanic/Latino Origin					
Hispanic/Latino Origin	3	0	0	0.00 %	0.00 %
Non Hispanic/Latino	831	0	12	0.00 %	1.44 %
Hispanic/Latino Origin Not Available	0	0	0	0.00 %	0.00 %

Comments on Data:

Represents KCPC only - this facility serves adults only.

**Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as a Ethnic Origin are not available*

Footnotes:

V: Performance Indicators and Accomplishments

Table 26 (URS Table 21) - Profile of Non-Forensic (Voluntary and Civil-Involuntary Patients) Readmission to Any Psychiatric Inpatient Care Unit (State Operated or Other Psychiatric Inpatient Unit) within 30/180 Days of Discharge

	Total number of Discharges in Year	Number of Readmissions to ANY Psychiatric Inpatient Care Unit Hospital within		Percent Readmitted	
		30 days	180 days	30 days	180 days
TOTAL	9209	812	2189	8.82 %	23.77 %
Age					
0-12 years	0	0	0	0.00 %	0.00 %
13-17 years	8	0	0	0.00 %	0.00 %
18-20 years	496	52	99	10.48 %	19.96 %
21-64 years	8229	731	2007	8.88 %	24.39 %
65-74 years	340	21	67	6.18 %	19.71 %
75+ years	136	8	16	5.88 %	11.76 %
Not Available	0	0	0	0.00 %	0.00 %
Gender					
Female	3629	321	881	8.85 %	24.28 %
Male	5580	491	1308	8.80 %	23.44 %
Gender Not Available	0	0	0	0.00 %	0.00 %
Race					
American Indian/Alaska Native	3	0	0	0.00 %	0.00 %
Asian	19	2	6	10.53 %	31.58 %
Black/African American	1053	53	237	5.03 %	22.51 %
Hawaiian/Pacific Islander	0	0	0	0.00 %	0.00 %

White	8010	744	1911	9.29 %	23.86 %
Hispanic *	51	4	12	7.84 %	23.53 %
More than one race	17	1	4	5.88 %	23.53 %
Race Not Available	56	8	19	14.29 %	33.93 %
Hispanic/Latino Origin					
Hispanic/Latino Origin	51	4	12	7.84 %	23.53 %
Non Hispanic/Latino	9158	808	2177	8.82 %	23.77 %
Hispanic/Latino Origin Not Available	0	0	0	0.00 %	0.00 %

1. Does this table include readmission from state psychiatric hospitals? Yes No

2. Are Forensic Patients Included? Yes No

Comments on Data:

ARH, CSH, ESH, WSH & KCPC. Hispanic is reported as a Race in KY Facility data. "Asian" and "Pacific Islander" are reported in the same category in KY Facility data; "Hawaiian" is not collected by facility data.

**Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as a Ethnic Origin are not available*

Footnotes: